



**Australian Government**

---

**AusAID**

**20 February 2010**

|                                  |
|----------------------------------|
| <p><b>Request for Tender</b></p> |
|----------------------------------|

**Mama Graon - Vanuatu Land Program**

## REQUEST FOR TENDER

### Mama Graon - Vanuatu Land Program

AusAID is seeking proposals from organisations interested in providing services for the Mama Graon-Vanuatu Land Program (the “**Project**”). If your organisation chooses to lodge a proposal (the “**Tender**”) it must be submitted on the terms of this document and the attached Parts (together referred to as the “**Request for Tender**” or “**RFT**”). The required services (the “**Services**”) are described in detail in Part 3 - Scope of Services.

### STRUCTURE OF THE RFT

The RFT is separated into two (2) Sections and several Parts. References in the RFT to Parts and Sections are to Parts and Sections of this RFT.

**Section 1** details the Project Specific Tender Conditions (**Part 1**) and the Project Specific Contract Conditions (**Part 2**), the Scope of Services (**Part 3**), and the Basis of Payments (**Part 4**).

**Section 2** details the Standard Tender Conditions (**Part 5**) and the Standard Contract Conditions (**Part 6**).

The two sections are designed to clearly identify standard clauses applicable to AusAID tenders and contracts and by extension to highlight particular requirements (either in tendering or the contract) that will apply for this particular Project.

The conditions (Tender and Contract) contained in this RFT apply to this stage of the procurement process and supersedes any earlier stage conducted by AusAID.

Tenderers are encouraged to fully inform themselves of the Contract Conditions (both Project Specific and Standard) when preparing their Tenders and to make any enquiries before the Tender enquiry closing time, referred to in **Clause 2, Part 5**.

It is AusAID’s intention to contract on the basis of the Contract Conditions provided in this RFT.

### AusAID Contracts Charter

AusAID has published a Contracts Charter describing AusAID’s approach to contracting aid activities, expectations of contractors and what contractors may expect from AusAID. Tenderers are encouraged to access and inform themselves of the Charter which is available on URL: <http://www.ausaid.gov.au/business/pdf/charter.pdf>

## SECTION 1 – PROJECT SPECIFIC TENDER AND CONTRACT CONDITIONS

### PART 1 – PROJECT SPECIFIC TENDER CONDITIONS

#### 1. TENDER PARTICULARS

**Closing Time:**

(Clauses 1.11 and 1.15, Part 5)

**2.00 pm** local time in Canberra  
Australian Capital Territory, 13 April 2010.

**Mode of submission:**

(Clause 1.1, Part 5)

**Either:**

Electronically, via AusTender at <https://tenders.gov.au> before the tender **Closing Time**;

**or**

in hard copy, by depositing by hand in the Canberra Tender Box before the tender **Closing Time**.

**Electronic Tender**

**Lodgement Address:**

(Clause 1.11, Part 5)

Via AusTender at <https://tenders.gov.au>

**Canberra Tender**

**Box Address:**

(Clause 1.15, Part 5)

Tender Box, Ground Floor, AusAID,  
255 London Circuit,  
Canberra ACT 2601, AUSTRALIA.

**Business Hours**

**for hard copy**

**lodgement:**

(Clause 1.15, Part 5)

Monday to Friday, 8.30 am to 5.00 pm  
local time in Canberra, Australian Capital Territory  
Excluding Public holidays.

**File Format for**

**Electronic Tenders:**

(Clause 4.3, Annex C to Part 5)

PDF (Portable Document Format)

**Number of Copies of**

**Tender:**

(Clause 1.6, Part 5)

**For electronic tender lodgement**

**Tenderer Declaration:** One (1) electronic copy in a separate file.

**Tender Schedule A - Technical Proposal:** One (1) electronic copy containing all parts and annexes. The Tenderer's contact name, position and contact details (phone, email and fax) must be listed on the front cover of the Technical Proposal.

**Tender Schedule B – Specified Personnel:** One (1) electronic copy in a separate file. The Tenderer's contact name, position and contact details (phone, email and fax) must be listed on the front cover of this Tender Schedule.

**Tender Schedule C - Financial Proposal:** One (1) electronic copy in a separate file. The Tenderer's contact name, position and contact details (phone, email and fax) must be listed on the front cover of the Financial Proposal.

**Tender Schedule D - Financial Assessment material:** One (1) electronic copy in a separate file.

**For hard copy tender lodgement**

**Tenderer Declaration:** One (1) signed original, to be included with the Financial Proposal.

**Tender Schedule A - Technical Proposal:** One (1) printed Original and six (6) copies containing all parts and annexes. The Tenderer's contact name, position and contact details (phone, email and fax) must be listed on the front cover of the Technical Proposal.

**Tender Schedule B – Specified Personnel:** One (1) printed Original. The Tenderer's contact name, position and contact details (phone, email and fax) must be listed on the front cover of this Tender Schedule.

**Tender Schedule C - Financial Proposal:** One (1) printed Original, in a separate, sealed envelope. The Tenderer's contact name, position and contact details (phone, email and fax) must be listed on the front cover of the Financial Proposal.

**Tender Schedule D - Financial Assessment material:** One (1) printed copy in a separate sealed envelope.

**One (1) CD,** containing the files specified as for electronic lodgement.

**Endorsement of hard copy Tenders:**  
(Clause 1.16, Part 5)

**Tender Validity**

**Period:**  
(Clause 1.8, Part 5)

**Contact Person:**  
(Clause 2.1, Part 5)

**Tender Enquiries:**  
(Clause 2, Part 5)

**Page limits:**  
(Clauses 7.15 and 7.17, Part 5)

**Information:**

**“Tender for the Mama Graon - Vanuatu Land Program.”**

180 days

Melanie Warburton  
Fax: + 61 2 6206 4885  
Email address: [vanuatulandprogram@ausaid.gov.au](mailto:vanuatulandprogram@ausaid.gov.au)

Tender enquiries must be made before 5.00pm local time Canberra Australian Capital Territory on **31 March 2010**.

Tender Schedule A - 12 pages plus annexes.  
Tender Schedule B - Curriculum Vitae 5 pages each

The following documents are attached:

1. AusAID Project Design Document (PDD);
2. NZAID Activity Design Document (ADD);
3. Vanuatu Land Sector Framework 2009-2018 (Draft);
4. Review of the Vanuatu Land Use Planning Project (1995-2000).
5. MS Word versions of:
  - i. Tenderer Declaration
  - ii. Past Experience Form (Tender Schedule A, Annex 1)
  - iii. Financial Tables (Tender Schedule C); and
6. Extract of Umbrella MOU between the Government of Australia and the Government of Vanuatu.

The Request for Tender and any associated documents are available from the AusTender website <https://tenders.gov.au>

**2. PRE-TENDER BRIEFING**

- 2.1 AusAID intends to hold the pre-tender briefing in Port Vila, Vanuatu on **11 March 2010 at the Chief's Nakamal from 9.00am to 1.00pm.**
- 2.2 Tenderers planning to attend the pre-tender briefing are requested to send advanced notification by email, indicating the name of the organisation and the number of people planning to attend, to [anna.naupa@dfat.gov.au](mailto:anna.naupa@dfat.gov.au) , by 4.15pm local time in Port Vila, Vanuatu **2 March 2010.**

**3. ALTERNATIVE TENDERS**

- 3.1 AusAID reserves the right to accept and consider alternative Tenders providing they:
- (a) are submitted with a conforming Tender;
  - (b) clearly identify the differences and improvements offered in the alternative Tender;
  - (c) are fully costed; and
  - (d) are clearly marked with the name of the Project and the words “Alternative Tender”.
- 3.2 Alternative Tenders will be considered only after completion of the technical assessment of conforming Tenders.
- 3.3 Only the alternative Tender of the preferred tenderer (following TAP assessment of conforming Tenders) will be assessed.
- 3.4 Alternative Tenders will be technically assessed against the selection criteria contained in this RFT.

**4. TENDER ASSESSMENT**

- 4.1 A Technical Assessment Panel (TAP) will assess the technical capacity of Tenders based on the information provided in Tender Schedules including Tenderers' response to the selection criteria, as well as performance at interview and performance information where applicable. Material tendered in response to one selection criterion may be used in the evaluation of other selection criteria.
- 4.2 AusAID reserves the right to short-list Tenderers. Those Tenderers short-listed for further consideration shall be invited to attend an interview with the TAP.
- 4.3 The TAP may be convened in one of several locations in Australia or New Zealand depending largely on the geographical location of tenderers.
- 4.4 Up to three (3) people from any one tenderer may be invited to the TAP interview including the nominated Program Director, and the Tenderer's senior management representative who would have on-going responsibility for, and engagement with, the project once implemented.

## 5. SCORE WEIGHTINGS

- 5.1 The technical assessment of the proposal will account for **80%** of the overall score using the following formula:

$$\text{Technical Score} = \frac{\text{Tenderer's Weighted Technical Score (out of 100)}}{\text{Highest Weighted Technical Score (out of 100)}} \times 80\%$$

- 5.2 Following consideration of the technical merit of Tenders, a like-for-like price assessment will be undertaken by AusAID of the proposals that are assessed by the Technical Assessment Panel (TAP) as technically suitable.

- 5.3 The like-for-like price assessment will represent **20%** of the overall score. The following formula for the scoring and ranking of Tenders on the basis of price will be used:

$$\text{Price Score} = \frac{\text{Bid Price of Lowest Priced Technically Suitable Bid}}{\text{Tenderer's Bid Price}} \times 20\%$$

- 5.4 Following the final assessment and calculation of the final aggregate scores, confirmation of the Tenderer's financial capacity to meet the contractual obligations referred to in **Clause 9 of this Part** and consideration of other factors referred to in **Clause 7.8, Part 5**, a recommendation for further action will be made to the appropriate AusAID delegate. Tenderers should be aware that the delegate is not bound to accept the TAP recommendation and may direct that other action be taken in accordance with this RFT.

## 6. TENDER SCHEDULE A – TECHNICAL PROPOSAL (RESPONSE TO SELECTION CRITERIA)

- 6.1 **Tender Schedule A** of the Tender must contain all information required in the following format:
- (a) a technical proposal that substantively and individually addresses the selection criteria provided in **Clause 6.2 of this Part** taking into consideration “other factors” under **Clause 7.8, Part 5**; and
  - (b) the required annexes included in **Clause 6.4 of this Part**.

## 6.2 Selection Criteria

|           | Selection Criteria                                                                                                                                              | Weighting   |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| <b>A.</b> | <b>Organisational Capacity and Experience</b>                                                                                                                   | <b>20%</b>  |
| 1A        | Demonstrated capacity to manage Programs of a similar scope, cost and complexity.                                                                               |             |
| 2A.       | Demonstrated and relevant experience in working with partner government systems                                                                                 |             |
| 3A        | Demonstrated experience in managing training programs, workshops and awareness campaigns.                                                                       |             |
| <b>B.</b> | <b>Approach</b>                                                                                                                                                 | <b>40%</b>  |
| 1B.       | Analysis of weaknesses, limitations and significant risks to implementation drawn from the designs supporting the Program.                                      |             |
| 2B.       | Analysis of strengths from the designs supporting the Program and situation in country which can be used to create opportunities for successful implementation. |             |
| 3B.       | Bidders approach to implementation and management arrangements to ensure success.                                                                               |             |
| <b>C</b>  | <b>Key Management and Project Staff</b>                                                                                                                         | <b>25%</b>  |
| 1C.       | Nominated Program Director                                                                                                                                      |             |
| 2C        | Managing Contractor Manager and key management team members (refer also to Clause 6.3 below regarding CVs for Key Management personnel).                        |             |
| 3C        | Specified Personnel (as listed in Specified Personnel table at Clause 7.11 below).                                                                              |             |
| <b>D.</b> | <b>Project Personnel Recruitment</b>                                                                                                                            | <b>15%</b>  |
| 1D        | Strategy for recruitment of international and national staff.                                                                                                   |             |
| 2D        | Demonstrated experience in staff recruitment and access to relevant technical networks.                                                                         |             |
| 3D.       | Demonstrated program management capacity and ability to support and performance manage a multi-national team in the field including in remote locations         |             |
|           | <b>Total</b>                                                                                                                                                    | <b>100%</b> |

6.3 **Note to Tenderers:** Tenderers are required to provide the CVs of headquarters based key project staff (refer Criterion 2C above) with responsibility for overall management of the project. At a minimum the CVs for the Tenderer's nominated senior management person and for personnel responsible for recruitment of project staff should be provided.

## 6.4 Annexes

### Annex 1 – Past Experience Form

Details of relevant activities or projects in which the Tenderer has been involved which demonstrate the Tenderer's ability to fulfil the objectives of the Project must be presented in the format outlined below. This annex must not contain more than five (5) examples and details of each activity must not exceed one (1) A4 page.

Tenderers must provide information in the Referees section of the Past Experience Forms in accordance with **Clauses 7.18 – 7.21, Part 5** of the RFT.

#### PAST EXPERIENCE FORM

|                                                                                                                                                                                                     |  |          |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|--|
| Activity Name:                                                                                                                                                                                      |  |          |  |
| Activity Value:                                                                                                                                                                                     |  |          |  |
| Activity Location(s):                                                                                                                                                                               |  |          |  |
| Activity Duration                                                                                                                                                                                   |  |          |  |
| Client/Donor:                                                                                                                                                                                       |  |          |  |
| Year Completed:                                                                                                                                                                                     |  |          |  |
| Brief description of the activity and the Organisation's role:                                                                                                                                      |  |          |  |
|                                                                                                                                                                                                     |  |          |  |
| Brief description of activity outcomes:                                                                                                                                                             |  |          |  |
|                                                                                                                                                                                                     |  |          |  |
| Statement of the similarities between this activity and the requirements of the activity currently being tendered and how this activity supports your statements addressing the Selection Criteria: |  |          |  |
|                                                                                                                                                                                                     |  |          |  |
| Nominated Activity Referees:                                                                                                                                                                        |  |          |  |
| 1. Name:                                                                                                                                                                                            |  | 2. Name: |  |
| Address:                                                                                                                                                                                            |  | Address: |  |
| Email:                                                                                                                                                                                              |  | Email:   |  |
| Phone:                                                                                                                                                                                              |  | Phone:   |  |

### Annex 2 – Work Plan

A detailed work plan for the first six (6) months showing dependencies, e.g. A Gantt Chart or Critical Path Method identifying resources, dependencies and milestones. Dates referring to commencement or mobilisation are indicative only and may be varied by AusAID. This annex must be presented on A3 paper.



### Annex 3 – Inception Plan

An outline Inception Plan for the first three (3) months of the Project. The Plan should outline:

- (a) establishment of communication channels with AusAID, the Australian Diplomatic Mission and Stakeholders;
- (b) a description of all obligations required to be performed by the Contractor to implement the Services, and their timing, including but not limited to;
  - (i) establishment of report preparation and delivery mechanisms;
  - (ii) establishment of financial control procedures;
  - (iii) establishment of all other management and administration requirements; and
- (c) other matters specified in the Scope of Services, **Part 3** of this RFT including;
  - (i) critical steps to operationalise the Program including the key issue of recruiting staff;
  - (ii) identified priorities for the Inception Period;
  - (iii) indicative dates for key events in the Inception Period; and
  - (iv) any other important factors considered by the Tenderer to be critical in the Inception Phase.

### Annex 4 – Letters of Association and other details of other proposed sub-contractors

**Clause 9.2, Part 5** requires that AusAID is provided with assurance of the Associate's corporate commitment and involvement in the Project in the form of a single page Letter of Association.

**Clauses 9.4, Part 5** also requires that details are provided for other work to be sub-contracted and proposed sub-contractors, where these are reasonably known at the time of tender and who have made known their willingness to be involved with the Project limited to a single page per organisation.

### Annex 5 – Commonwealth Government Policies Compliance

Tenderers are required to disclose in this annex if they are non compliant and/or have (or have had) issues associated with policies named in **Clause 17, Part 5**.

#### 7. TENDER SCHEDULE B – SPECIFIED PERSONNEL

7.1 **Tender Schedule B** must contain all information on proposed Specified Personnel in the following format:

- (a) a list of proposed team members in the table (in landscape) format provided and in accordance with the instructions included in **Clauses 7.2-7.3** below; and

- (b) a curriculum vitae for each proposed team member that conforms with the requirements outlined in **Clauses 7.16 and 7.17, Part 5**.
- 7.2 Tenderers must provide the information in the Referees column of the Specified Personnel table in accordance with **Clauses 7.18 - 7.21, Part 5**.
- 7.3 The Commitments column in the Specified Personnel table must include details of proposed team members' commitments to other projects (both AusAID and others) for the period of the Project. In addition to existing commitments, tenderers must detail potential commitments. Potential commitments include nominations in any contemporaneous AusAID or other tenders. Where a proposed team member has an existing commitment to another AusAID project, Tenderers must also detail the duration of the position in the other AusAID project as reflected in the AusAID contract for that project.
- 7.4 Tenderers are reminded of the requirements of **Clause 8, Part 6** particularly in relation to the availability of specified personnel. AusAID will consider as materially inaccurate, and will therefore reject, any Tender which does not disclose the fact that a proposed team member has an existing and continuing commitment to another AusAID project.
- 7.5 AusAID's strong preference is that individuals with conflicting commitments are not included in Tenders. Where team members with conflicting commitments are nominated, AusAID will assess on a case-by-case basis the impacts of the personnel changes and may require further information from the Tenderer in relation to managing the transition.
- 7.6 Tenderers are reminded of the requirements of **Clause 19.2, Part 5** particularly in relation to providing police clearance certificates for all Project Personnel nominated in the Specified Personnel table for positions specified as working with children.
  - (a) Tenderers must request the required criminal record checks in sufficient time to ensure that police clearance certificates can be provided in accordance with **Clause 19.2, Part 5**.
  - (b) Tenderers should note that in Australia, national criminal record checks are available through the Australian Federal Police and take around 20 working days. The type of employment should be specified as 'overseas employment.' Overseas, different checking procedures apply in each country and may take six weeks or longer. Individuals need to give their consent to a criminal record check and should be informed of the purpose for which it will be used, including sighting by AusAID.

**Note for Tenderers: Selection of Long Term Adviser and "Kastom" personnel**

- 7.7 The position of Program Director will be filled by one of the two major Long Term Adviser roles being either the International Indigenous Land Specialist **or** the Participatory Land Governance Adviser. The Tenderer will nominate personnel for one of the positions, proposing that person also as the Program Director.
- 7.8 Subject to successful contract negotiations, AusAID will work with the preferred tenderer to jointly identify and recruit the remaining long term technical adviser for the position of **either** (a) International Indigenous Land Specialist **or** (b) the Participatory Land Governance Adviser depending on which position the Contractor has nominated as the

Program Director. All arrangements for the recruitment process and contracting will be facilitated by the Contractor.

- 7.9 The Contractor will source, select and recommend a short list of three (3) to five (5) candidates for the Long term Adviser position; AusAID will then participate in the Contractor's interviews of the short-listed candidates and consideration of the candidates' technical expertise, proposed fees and an overall "Value for Money" assessment. The appointment of Long Term Technical Assistance will be subject to AusAID's final approval.
- 7.10 Once the recruitment process is finalised the Contract will be amended to include both the details and costs of the long term Adviser and the Adviser will be included in the contract as "Specified Personnel".
- 7.11 The GoV and the Chiefs have indicated a strong interest in the selection of some longer-term advisers, as well as those short-term advisers dealing with the customary area. A consultative approach will be necessary for the recruitment process for certain positions. This is to be done in consultation with the preferred tenderer at a later date as agreed in a program workplan.

#### SPECIFIED PERSONNEL

| Position                                                                                                                                                   | Name | Total Inputs in person months |          | Referee Contact Details |    | Commitments |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|------|-------------------------------|----------|-------------------------|----|-------------|
|                                                                                                                                                            |      | Home Base                     | Overseas | #1                      | #2 |             |
| <b>Program Director filling either the International Indigenous Land Specialist OR the Participatory Land Governance Adviser position [see note below]</b> |      |                               |          |                         |    |             |
| <b>GIS Specialist</b>                                                                                                                                      |      |                               |          |                         |    |             |
| <b>Land Registry Adviser</b>                                                                                                                               |      |                               |          |                         |    |             |
| <b>Lease Processing Adviser</b>                                                                                                                            |      |                               |          |                         |    |             |
| <b>Land Services Adviser</b>                                                                                                                               |      |                               |          |                         |    |             |

**Note:** The Program Director will also occupy the position of **either** the International Indigenous Land Specialist **or** the Participatory Land Governance Adviser. **Tenderers should clearly indicate which of these Technical positions** the nominated Program Director will occupy. The remaining unfilled major Long Term Adviser role will be jointly recruited by AusAID and the Contractor.

## 8. TENDER SCHEDULE C - FINANCIAL PROPOSAL

- 8.1 **Tender Schedule C** - the financial proposal must contain the information required and in the format detailed in this clause. To facilitate understanding of taxation in Vanuatu refer to the “Extract of the MOU between the Government of Australia and the Government of Vanuatu” (attached as Document 6).
- 8.2 It must:
- (a) be a fully costed fixed price based on the outputs and/or inputs as specified in the Scope of Services, including:
    - (i) escalation and any allowance for foreign exchange rate variations;
    - (ii) necessary insurances required by the Contract Conditions and for the performance of the Services;
  - (b) be expressed in Australian dollars; and
  - (c) include detailed information on assumptions used in preparing the pricing.
- 8.3 Any escalators, foreign exchange rate variations, or other price risks, must be built into the fixed price proposal but separately disclosed as a single escalator factor. AusAID will not consider any “across the board” escalators subsequently applied to any rates or Project costs.
- 8.4 Information provided in the tables will be used for any financial assessment and for the like-for-like price assessment.
- 8.5 Tenderers are required to complete Table 1 to Table 6 (refer below).
- 8.6 Tenderers are required to complete Table 1 inclusive of the costs as specified in Part 4, Basis of Payment, Clause 3.

**Table 1: Long Term Technical Assistance (LTTA) Costs – International Staff**

| Personnel                                    | Monthly Rate 2010 and 2011<br>AUD | Monthly Rate 2012<br>AUD          | Monthly Rate 2013<br>AUD          | Monthly Rate 2014<br>AUD          | Monthly Rate 2015<br>AUD         | Total Cost<br>AUD                      |
|----------------------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|----------------------------------|----------------------------------------|
| <b>(Program Director) insert either/or *</b> | 18 months<br>(Tenderer to insert) | 12 months<br>(Tenderer to insert) | 12 months<br>(Tenderer to insert) | 12 months<br>(Tenderer to insert) | 6 months<br>(Tenderer to insert) | 60 months<br>(Tenderer to insert Cost) |
| <b>Land Registry Adviser</b>                 | 9 months<br>(Tenderer to insert)  | 9 months<br>(Tenderer to insert)  |                                   |                                   |                                  | 18 months<br>(Tenderer to insert Cost) |
| <b>Lease Processing Adviser</b>              | 6 months<br>(Tenderer to insert)  | 6 months<br>(Tenderer to insert)  |                                   |                                   |                                  | 12 months<br>(Tenderer to insert Cost) |
| <b>Land Services Adviser</b>                 |                                   | 6 months<br>(Tenderer to insert)  | 12 months<br>(Tenderer to insert) | 1 month<br>(Tenderer to insert))  |                                  | 19 months<br>(Tenderer to insert Cost) |
| <b>GIS Specialist</b>                        | 12 months                         | 2 months                          | 2 months                          |                                   |                                  | 16 months                              |

|  |                      |                      |                      |  |              |                           |
|--|----------------------|----------------------|----------------------|--|--------------|---------------------------|
|  | (Tenderer to insert) | (Tenderer to insert) | (Tenderer to insert) |  |              | (Tenderer to insert Cost) |
|  |                      |                      |                      |  | <b>Total</b> | (Tenderer to insert Cost) |

- \* **Note:** The Program Director will also occupy the position of **either** the International Indigenous Land Specialist **or** the Participatory Land Governance Adviser. **Tenderers' should clearly indicate which of these Technical positions** the nominated Program Director will occupy. AusAID will work with the selected contractor to jointly recruit the other position. Do not fill in the shaded areas of the table.

8.7 Tenderers are required to complete Table 2 (Long Term Technical Assistance Costs – National Staff) inclusive of the costs as specified in Part 4, Basis of Payment, Clause 3.

**Table 2: Long Term Technical Assistance Costs – National Staff**

| Specified Personnel                                                   | Monthly Rate 2010 and 2011 AUD  | Monthly Rate 2012 AUD          | Monthly Rate 2013 AUD          | Monthly Rate 2014 AUD          | Monthly Rate 2015 AUD          | Total Cost AUD                                    |
|-----------------------------------------------------------------------|---------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|---------------------------------------------------|
| <b>National Kastom Land Adviser</b>                                   | 18 months (Tenderer to insert)  | 12 months (Tenderer to insert) | 12 months (Tenderer to insert) | 12 months (Tenderer to insert) | 6 months (Tenderer to insert)  | 60 months (Tenderer to insert)                    |
| <b>Administrative Officer Supporting National Kastom Land Adviser</b> | 18 months (Tenderer to insert)  | 12 months (Tenderer to insert) | 12 months (Tenderer to insert) | 12 months (Tenderer to insert) | 6 months (Tenderer to insert)  | 60 months (Tenderer to insert)                    |
| <b>Local Kastom Land Officers (x 6)</b>                               | 36 months (Tenderer to insert)  | 72 months (Tenderer to insert) | 72 months (Tenderer to insert) | 72 months (Tenderer to insert) | 36 months (Tenderer to insert) | 288 months or 48 months each (Tenderer to insert) |
| <b>M&amp;E Adviser</b>                                                | 18 months (Tenderer to insert)  | 12 months (Tenderer to insert) | 12 months (Tenderer to insert) | 12 months (Tenderer to insert) | 6 months (Tenderer to insert)  | 60 months (Tenderer to insert)                    |
| <b>Participatory Governance Facilitators (x 2)</b>                    | 36 months (Tenderer to insert)  | 24 months (Tenderer to insert) | 24 months (Tenderer to insert) | 24 months (Tenderer to insert) | 12 months (Tenderer to insert) | 120 months (Tenderer to insert)                   |
| <b>Land Registry Support Staff (x 5)</b>                              | 45 months (Tenderer to insert)  | 60 months (Tenderer to insert) | 21 months (Tenderer to insert) |                                |                                | 126 months (Tenderer to insert)                   |
| <b>Gender Focal Point</b>                                             | 18 months (Tenderer to insert)  | 12 months (Tenderer to insert) | 12 months (Tenderer to insert) | 12 months (Tenderer to insert) | 6 months (Tenderer to insert)  | 60 months (Tenderer to insert)                    |
| <b>Public Awareness Adviser</b>                                       | 15 months (Tenderer to insert)  | 3 months (Tenderer to insert)  |                                |                                |                                | 18 months (Tenderer to insert)                    |
| <b>National Mediation Specialist</b>                                  | 8 months x (Tenderer to insert) | 5 months (Tenderer to insert)  |                                |                                |                                | 13 months (Tenderer to insert)                    |
| <b>National Training Specialist</b>                                   | 12 months (Tenderer to insert)  | 12 months (Tenderer to insert) | 6 months (Tenderer to insert)  |                                |                                | 30 months (Tenderer to insert)                    |

|                                                      |                                           |                                          |                   |  |              |                                           |
|------------------------------------------------------|-------------------------------------------|------------------------------------------|-------------------|--|--------------|-------------------------------------------|
|                                                      | <i>to insert)</i>                         | <i>to insert)</i>                        | <i>to insert)</i> |  |              | <i>to insert)</i>                         |
| <b>National Communication Specialist</b>             | 12 months<br>( <i>Tenderer to insert)</i> | 3 months<br>( <i>Tenderer to insert)</i> |                   |  |              | 15 months<br>( <i>Tenderer to insert)</i> |
| <b>Customary Land Unit Technical Support Officer</b> | 15 months<br>( <i>Tenderer to insert)</i> | 9 months<br>( <i>Tenderer to insert)</i> |                   |  |              | 24 months<br>( <i>Tenderer to insert)</i> |
|                                                      |                                           |                                          |                   |  | <b>Total</b> | ( <i>Tenderer to insert)</i>              |

**Note:** Do not fill in the shaded areas of the Table

8.8 Short Term Adviser costs are defined in the Scope of Services, tenderers do not need to provide an amount for Short Term Advisers.

8.9 Tenderers are required to complete Table 3 (Refer to Part 4, Basis of Payments, Annex C, Table C-1).

**Table 3: Procurement A**

| <b>Item</b>            | <b>Number of units</b>  | <b>Indicative Cost AUD/Unit</b>  | <b>Total Cost AUD</b>          |
|------------------------|-------------------------|----------------------------------|--------------------------------|
| Laptop/ Computer suite | 29<br><i>See Note 1</i> | <i>Tenderer to insert amount</i> | <i>Tenderer to insert cost</i> |
| Vehicle                | 9<br><i>See Note 2</i>  | <i>Tenderer to insert amount</i> | <i>Tenderer to insert cost</i> |

**Note 1:** The laptop/ PC suite includes the computer, surge protector, printer and software. It is expected there will be a need for an initial allocation of 15 and then a replacement buy of 14, if necessary, during the Program.

**Note 2:** Vehicles should be suitable for the duration of the Program: no allowance has been made for the replacement of project vehicles.

8.9 Tenderers are required to complete Table 4 (Refer Part 4, Basis of Payments, Annex D, Table D-1).

**Table 4: Procurement B**

| <b>Item Description</b>  | <b>Unit Cost</b>                | <b>Number</b> | <b>Total</b>                          |
|--------------------------|---------------------------------|---------------|---------------------------------------|
|                          | <b>AUD</b>                      |               | <b>AUD</b>                            |
| <b>Sub-Component 1.3</b> | <i>Tenderer to insert costs</i> |               | <i>Tenderer to insert costs below</i> |
| Laptop computer          |                                 | 2             |                                       |
| 5 x PCs for CLU          |                                 | 5             |                                       |
| Printers                 |                                 | 2             |                                       |
| Scanner A4               |                                 | 1             |                                       |
| TV                       |                                 | 2             |                                       |
| DVD player               |                                 | 2             |                                       |
| Filing cabinets          |                                 | 4             |                                       |
| Digital camera           |                                 | 2             |                                       |

| Item Description          | Unit Cost | Number | Total                          |
|---------------------------|-----------|--------|--------------------------------|
|                           | AUD       |        | AUD                            |
| O/H Projector             |           | 1      |                                |
| Desks and chairs          |           | 4      |                                |
| Whiteboards               |           | 3      |                                |
| <b>Component 2</b>        |           |        |                                |
| <b>Hardware/Software</b>  |           |        |                                |
| PCs                       |           | 6      |                                |
| Tables and Chairs         |           | 3      |                                |
| A3 Scanner (survey plans) |           | 1      |                                |
| A4 Scanner (Geo Docs)     |           | 1      |                                |
|                           |           |        |                                |
| <b>TOTAL</b>              |           |        | <i>Tenderer to insert cost</i> |

- 8.10 Tenderers are required to complete Table 5 (refer Part 4, Basis of Payment, Clause 5.3 and 5.4).

**Table 5: LTTA Program Related International Travel Costs**

| Position and Part                                                 | Travel Description/ Reason                          | Number of Trips         | Breakup Cost AUD                                                         | Cost AUD                       |
|-------------------------------------------------------------------|-----------------------------------------------------|-------------------------|--------------------------------------------------------------------------|--------------------------------|
| <b><i>Example</i></b><br><i>Program Director</i><br><i>Part A</i> | <i>Port Vila to Suva for Regional Land Workshop</i> | <i>5 (one per year)</i> | <i>Travel Amount</i><br><i>Accommodation Amount</i><br><i>Allowances</i> |                                |
|                                                                   | <i>Tenderer to insert details</i>                   |                         |                                                                          | <i>Tenderer to insert cost</i> |
|                                                                   |                                                     |                         |                                                                          |                                |
|                                                                   |                                                     |                         |                                                                          |                                |
|                                                                   |                                                     |                         | <b>Total Cost</b>                                                        | <i>Tenderer to insert cost</i> |

- 8.11 Tenderers are required to complete Table 6, including Item No. 11: Management Fee (refer draft Basis of Payment Clause 3, Clause 4 and Clause 6).
- 8.12 Table 6 (Summary of Project costs) will be used by the AusAID in the financial assessment of the tender (refer Clause 5.2 and 5.3 above).

Table 6 – Summary of project costs

| Item No. | Item Description          | AUD Cost excluding GST                                        | Reference/comment                                                          |
|----------|---------------------------|---------------------------------------------------------------|----------------------------------------------------------------------------|
| 1        | LTTA International Staff  | <i>Tenderer to insert cost from Table 1</i>                   | Refer Table 1 above and Clause 3, Part 4, Basis of Payment                 |
| 2        | LTTA: National Staff      | <i>Tenderer to insert cost from Table 2</i>                   | Refer Table 2 above and Clause 3, Part 4, Basis of Payment                 |
| 3        | STTA Part A Personnel     | AUD2,450,500                                                  | Fixed amount specified by AusAID: Refer Clause 4, Part 4, Basis of Payment |
| 4        | STTA Part B Personnel     | AUD2,595,900                                                  | Fixed amount specified by AusAID: Refer Clause 4, Part 4, Basis of Payment |
| 5        | Procurement (Part A )     | AUD2,271,800                                                  | Fixed amount specified by AusAID: Refer Clause 5, Part 4, Basis of Payment |
| 6        | Procurement (Part A)      | <i>Tenderer to insert cost from Table 3</i>                   | <i>Items to be costed by Tenderer</i>                                      |
| 7        | Procurement (Part B)      | AUD620,000                                                    | Fixed amount specified by AusAID: Refer Clause 5, Part 4, Basis of Payment |
| 8        | Procurement (Part B)      | <i>Tenderer to insert cost from Table 4</i>                   | <i>Items to be costed by Tenderer</i>                                      |
| 9        | LTTA International Travel | <i>Tenderer to insert cost from Table 5</i>                   | <i>Items to be costed by Tenderer</i>                                      |
| 10       | Imprest Account Funds     | AUD1,147,500                                                  | Amount specified by AusAID Refer Clause 7, Part 4, Basis of Payment        |
| 11       | Management Fee            | <i>Tenderer to insert cost</i>                                | Refer Clause 6, Part 4, Basis of Payment                                   |
|          | Total                     | <i>Tenderer to insert Total cost (Total of 1 to 11 above)</i> |                                                                            |

- 8.13 Tenderers must detail in the financial proposal the leave entitlements of nominated Specified Personnel (Specified Personnel Table; Clause 7.11 above). Where annual recreation leave entitlements exceed 2 trips or a total of 4 weeks per 12 month period, a justification must also be provided along with a narrative description of how the Tenderer will ensure, during Specified Personnel absences, the continued quality of Services. Tenderers should note that leave entitlements and the management strategy may be assessed by the TAP or AusAID as part of the technical assessment process.



9. **TENDER SCHEDULE D – FINANCIAL ASSESSMENT**

- 9.1 No Contract will be entered into unless AusAID has satisfied itself of the financial capacity of the Tenderer to undertake the contractual obligations. In this regard, AusAID requires that each Tenderer provide information on its financial status at **Tender Schedule D**. Two options are available.

Option 1

This option is mandatory for:

- (a) those Tenderers who have not been subject to a financial assessment by AusAID in the 12 months preceding the date of release of this Tender; or
- (b) those Tenderers who have been subject to a financial assessment by AusAID within the 12 months preceding the date of release of this Tender who have experienced a material change in financial position since the last financial assessment was completed by AusAID; or
- (c) those Tenderers who have finalised their latest annual financial statements since the Tenderers last financial assessment by AusAID.

Under this Option 1, Tenderers must provide details of the following:

- 1. the name of the tendering entity and its ultimate owner(s).
- 2. the names and financial relationships between the tendering entity, its parent entity (if relevant) and other related entities within the group.
- 3. the previous three years' annual financial statements for the tendering entity. These annual financial statements must be prepared in accordance with Generally Accepted Accounting Standards applicable to the Tenderers country of residence (audited if available). The annual financial statements for each year must include:
  - a) a balance sheet;
  - b) a profit and loss statement; and
  - c) a cashflow statement

each prepared on an accrual accounting basis.

An auditor's statement of financial viability or short form financial statements are not acceptable.

- 4. contact name and telephone number of the Tenderer's financial accountant.
- 5. a statement detailing any other tendering opportunities being pursued by the tendering entity or the group and the likely impact of such tendering opportunities on the financial capacity of the Tenderer to discharge its contractual obligations to AusAID associated with this RFT.

Option 2

This option may be considered by a Tenderer where:

- (a) a Tenderer has been subject to an AusAID financial assessment in the previous twelve months, except where the Tenderers latest annual financial statements have been finalised since the last financial assessment by AusAID; and
- (b) the Tenderer can demonstrate to the satisfaction of AusAID that their financial circumstances have not materially changed since the time of the previous financial assessment.

Tenderers who consider that they may qualify for Option 2 must provide the following information:

1. the date of its most recent financial assessment and the name of the tender for which this assessment was undertaken;
2. an explanation of why a Tenderer believes a further financial assessment is not warranted; and
3. a statutory declaration declaring that the Tenderer's financial position has not altered materially since the date of the previous assessment.

Where a Tenderer considers itself exempt from the need to provide the full financial information (Option 1) and chooses instead to supply with the information in Option 2 AusAID reserves the right to subsequently require the Tenderer to provide the information required in Option 1.

A Tenderer must respond to either Option 1 or Option 2 to satisfy the requirements of this Tender.

Tenderers should be aware that AusAID may wish to contact the nominated financial accountant to obtain further information to assess the financial capacity of the Tenderer to undertake the Contract.

AusAID reserves the right to engage appropriate external expertise to assist with the analysis of the financial information. A report on the financial capacity of the Tenderer to undertake the Contract may be provided to either the delegate or the TAP.

The financial information of Tenderers will be treated confidentially.

## **PART 2 - PROJECT SPECIFIC CONTRACT CONDITIONS**

**Note to Tenderers:** These Project Specific Contract Conditions are presented as **Part 2** of this RFT, they will appear as Part A in the consolidated Contract.

**CONTENTS**

|                                                      |           |
|------------------------------------------------------|-----------|
| <b>PART A – PROJECT SPECIFIC CONTRACT CONDITIONS</b> | <b>21</b> |
| 1. INTERPRETATION                                    | 21        |
| 2. TERM OF CONTRACT                                  | 22        |
| 3. ACCOUNTS AND RECORDS                              | 22        |
| 4. NOTICES                                           | 22        |
| 5. MANAGEMENT SERVICES                               | 23        |
| 6. INCEPTION PLAN                                    | 23        |
| 7. EFFECTIVENESS REVIEW GROUP                        | 24        |
| 8. ANNUAL PLAN                                       | 25        |
| 9. SUB-CONTRACTING                                   | 25        |
| 10. MEETINGS                                         | 26        |
| 11. RIGHT OF AusAID TO RECOVER MONEY                 | 26        |
| 12. GOVERNMENT TAXES, DUTIES AND CHARGES             | 27        |
| 13. IMPREST ACCOUNT                                  | 29        |
| 14. UNCONDITIONAL FINANCIAL UNDERTAKING              | 31        |
| 15. PERFORMANCE GUARANTEE                            | 32        |

## PART A – PROJECT SPECIFIC CONTRACT CONDITIONS

In addition to the Standard Conditions detailed in Part B the following Project Specific Contract Conditions apply.

### 1. INTERPRETATION

#### 1.1 Definitions

In this Contract, unless the context otherwise requires:

**"Activity Design Document"** or **"ADD"** means the activity design document prepared by NZAID.

**"Changed Tax"** means a new tax imposed or a change in an existing Commonwealth, State or Territory Government or Partner Country tax after the commencement of this Contract described in **Clause 12** (Project Commencement) **below**.

**"Implementation Phase"** means the parts of the Services described in the final PDD and ADD, and included in the Implementation Scope of Services including any construction work.

**"Independent Auditor"** means an auditing firm that does not have any affiliations with, and is not currently engaged by, the Contractor in any manner.

**"Long Term Technical Adviser"** or **"LTTA"** means an adviser working continuously for **six** months or longer on the Project.

**"NZAID"** means New Zealand's International Aid and Development Agency.

**"Partner Country"** means the country/countries in which the services are to be delivered in, as specified in Schedule 1.

**"Payment Milestone"** means a milestone identified in **Annex B Table 1 to Schedule 2 (Milestone Payments Table)** and for which the Contractor is entitled to receive a payment in accordance with the Contract.

**"Project Design Document"** or **"PDD"** means the project design document prepared by AusAID.

**"Program Director"** means the specified person nominated in **Table 1, Schedule 1 (Long Term Personnel)**.

**"Related Corporation"** has the meaning set out in section 50 of the *Corporations Act 2001*.

**"Schedule of Rates"** means the rates as detailed in **Annex A Table 1 and Annex A Table 2 to Schedule 2**.

**"Short Term Technical Advisers"** or **"STTA"** means advisers working on the Project for less than **six** months continuously.

## RFT Part 2 - Project Specific Contract Conditions

"**Stakeholders**" means any body, institution, organization or governmental authority in the Partner Country or non-government organization having any interest in the Project as identified in the Scope of Services.

"**Tender**" means the tender submitted by the Contractor together with accompanying documentation as an offer to undertake the Services.

"**Third Party Issues**" means any issues or events which may affect the Services and which are clearly within the control of a party other than AusAID, the Australian Diplomatic Mission in or having responsibility for the Partner Country or the Contractor.

### 2. TERM OF CONTRACT

- 2.1 The term of this Contract commences upon execution by both parties, being the date indicated at the front of this Contract, and continues until all obligations under this Contract have been fulfilled or earlier notice of termination under this Contract.
- 2.2 The Contractor must commence the Services in the Partner Country no later than [*insert Services Start Date*] and must complete the Services by [*insert Services End Date*].

### 3. ACCOUNTS AND RECORDS

- 3.1 The statement of Project expenditure referred to in Standard Conditions **Clause 15.1(e)** (Accounts and Records) must be provided on a **3 monthly** basis and must indicate:
- (a) total expenditure of the Project to date;
  - (b) disaggregated expenditure for the Project to date identifying all categories of expenditure including the Fees component and Reimbursable items;
  - (c) total expenditure for the period of **3 months**; and
  - (d) forward expenditure and expenses by category for the period of **3 months**.

### 4. NOTICES

- 4.1 For the purposes of Standard Conditions **Clause 40** (Notices), the address of a Party is the address set out below or another address of which that Party may from time to time give notice in writing to each other Party:

#### AusAID

|                 |                                                                                       |
|-----------------|---------------------------------------------------------------------------------------|
| To:             | Desk Name                                                                             |
| Attention:      | Desk Officer                                                                          |
| Postal Address: | Australian Agency for International Development<br>PO Box 111<br>Port Vila<br>Vanuatu |
| Street Address: | Australian High Commission<br>Winston Churchill Avenue<br>Port Vila, Vanuatu          |
| Facsimile:      | +678 22292                                                                            |

#### Contractor:

## **RFT Part 2 - Project Specific Contract Conditions**

To: Contractor's Name

Attention: Contact Person

Postal Address: Contractor's Postal Address

Street Address: Contractor's Head Office Street Address

Facsimile: Contractor's Fax

### **5. MANAGEMENT SERVICES**

- 5.1 The Contractor must provide all Management Services necessary for the provision of the Services. In addition to the other requirements specified by the Contract, at a minimum the Contractor must provide the following Management Services:
- (a) provide pre-mobilisation briefings to Contractor Personnel. The briefings are to cover details of the project objectives, security, medical/health situation, accommodation in-country, cultural environment, detail on the project objectives and relevant contract obligations;
  - (b) decision-making within the Contractor's organisation and the advising of AusAID of decisions required by AusAID;
  - (c) pro-actively identifying and rectifying problems or recommending strategies to AusAID on how to rectify problems, which may arise in, or during the performance of, the Services;
  - (d) managing those risks which are the Contractor's responsibility under the Contract in accordance with the Risk Management Plan including in relation to Supplies after delivery and before their incorporation into the Project; and
  - (e) attendance at briefings with AusAID and status reporting to AusAID on progress at the times required by AusAID.

### **6. INCEPTION PLAN**

- 6.1 The Contractor must supply a detailed Inception Plan within 28 days of the Project Start Date, in a form agreed with AusAID and for written approval by AusAID.
- 6.2 The Inception Plan is subject to AusAID approval. It must include provision for:
- (a) the establishment of communication channels with AusAID, the Australian Diplomatic Mission and Stakeholders;
  - (b) a description of all obligations required to be performed by the Contractor to implement the Services, and their timing, including without limitation:
    - (i) the supply and deployment of Long and Short Term Advisers and overall approach to their assignments;
    - (ii) the establishment of procedures relevant to the Procurement Services;

- (iii) a planned approach to coordination of all aspects of implementation of the Services including identification of Supplies, mobilisation of personnel and obtaining necessary approvals; and
    - (iv) a planned approach to coordination of all aspects of implementation and management of sub-contracts.
  - (c) the establishment of report preparation and delivery mechanisms;
  - (d) the establishment of financial control procedures;
  - (e) the establishment of all other management and administration requirements; and
  - (f) any other matters specified in **Clause 9.2 (a)(ii)** of **Schedule 1**.
- 6.3 The Contractor must make changes to the Inception Plan as requested by AusAID. Both Parties shall give fair and reasonable consideration to changes in costs necessarily incurred by either Party as a consequence of such changes to the Inception Plan.
- 6.4 Once approved in writing by AusAID the Inception Plan will be deemed to be annexed to this Contract. Changes to the Inception Plan will be subject to a Variation Order as described in Standard Conditions **Clause 12** (Contract Amendments and Variation).

## **7. EFFECTIVENESS REVIEW GROUP**

- 7.1 The Program will also be supported by an Effectiveness Review Group (ERG) established under AusAID's Pacific Land Program to oversee all of its country and regional land initiatives.
- 7.2 Information on the role of the ERG is provided at Clause 5.14 of the Scope of Services.
- 7.3 The Contractor must:
- (a) attend and participate in those ERG meetings which AusAID directs it in writing to attend;
  - (b) when required by AusAID, consult with the ERG on matters related to the Project including:
    - (i) progress and performance of the Services;
    - (ii) any matters, circumstances or events which may be affecting or impacting upon the Contractor's relationship with the Partner Country, Stakeholders, AusAID or the ERG and suggest actions to avoid or counteract any adverse effects on the relationships;
    - (iii) any matters, circumstances or events which may affect the Project and if there are anticipated or contingent problems or difficulties, suggestions to avoid or counteract those problems or difficulties; and
    - (iv) any issues or concerns which the Contractor may want to raise with AusAID.
  - (c) co-operate with and assist in any way requested by the ERG in the performance of its monitoring and review;



## RFT Part 2 - Project Specific Contract Conditions

- (d) co-operate with and assist the ERG by providing all necessary information and Contractor Personnel and ensuring its Contractor Personnel are available and willing to assist in answering inquiries or requests for information in respect of the performance of the Services; and
- (e) provide to the ERG copies of all reports, notices, information or other Project material which the ERG reasonably requires to fully and efficiently perform its monitoring and review as soon as practicable after such material is produced or received by the Contractor.

### 8. ANNUAL PLAN

- 8.1 The Contractor must provide to AusAID, within **3** months of the Project Start Date, and by **31 March** in each following year of the Project, an Annual Plan which, before it is implemented, must be approved by AusAID.
- 8.2 The Annual Plan should be prepared in accordance with directions provided in writing by AusAID, must be consistent with this Contract and must include the following matters:
  - (a) the Contractor's plan for performance of the Services required for the period of the Annual Plan;
  - (b) the Contractor's proposed strategy for coordinating any Third Party Issues and for providing the Services in a flexible manner as is appropriate; and
  - (c) a detailed budget for the period of the Annual Plan.
- 8.3 The Contractor must make amendments to the Annual Plan as reasonably requested by AusAID.
- 8.4 Within 30 days of receipt of the Annual Plan in accordance with **Clause 8.1 above** AusAID shall notify the Contractor in writing when a decision is likely to be made to reject or accept the Annual Plan. If such Annual Plan has not been approved or rejected within the stated period of 30 days AusAID shall give fair and reasonable consideration to granting an extension of time to the Contractor in respect of the performance of the Services.
- 8.5 Acceptance by AusAID of an Annual Plan does not represent a change to the Contract. The Contract may only be varied in accordance with the Standard Conditions **Clause 12** (Contract Amendments and Variation).

### 9. SUB-CONTRACTING

- 9.1 In addition to the Standard Conditions **Clause 11** (Sub-Contracting) the following conditions apply to sub-contracts entered into by the Contractor:
  - (a) the Contractor must obtain the prior written approval of AusAID to sub-contracts with any party, except Specified Personnel, to the value of **AUD100,000** or more. In granting its approval AusAID may impose such conditions, in AusAID's opinion are appropriate, in relation to a proposed sub-contract; and

## RFT Part 2 - Project Specific Contract Conditions

- (b) if AusAID objects to the Contractor's recommended sub-contractor, or AusAID nominates a particular sub-contractor, the Contractor must enter into an agreement with such other sub-contractor as directed by AusAID on the basis of remuneration approved by AusAID and provide AusAID with a copy of the executed sub-contract.

9.2 Standard Conditions **Clauses 11.1** (Sub-contracting) **(d) and (e) (with respect to Deeds of Novation and Substitution)** will only apply to sub-contracts valued at **AUD100,000** or more.

9.3 The Contractor must not enter into any contract for the procurement of any Supplies or services from any Related Corporation without AusAID's prior approval.

### 10. MEETINGS

10.1 The Program Director must attend meetings at Post and, where appropriate, in Canberra, to review or discuss the Contract including the following matters:

- (a) the general progress of the Project;
- (b) matters arising from the Contractors reports to AusAID;
- (c) any issues arising as a result of communication by either Party with Stakeholders;
- (d) any other Third Party Issues and the Contractor's proposal for resolution of any issue referred to in **(c) above**;
- (e) any variations proposed to the Project including in relation to timing, whether or not any such variations have been agreed to by AusAID;
- (f) Contract performance matters;
- (g) the accuracy of invoices; and
- (h) such other matters in relation to which either Party provides five (5) Business Days' notice in writing to the other Party.

10.2 AusAID may require an implementation briefing in Canberra prior to mobilisation. The Program Director included in the Specified Personnel must attend this meeting. AusAID may also require the Program Director and other Specified Personnel to attend a meeting at the Australian Diplomatic Mission in or having responsibility for the Partner Country before commencement of implementation.

10.3 The Contractor acknowledges and agrees that the costs of any meetings under this clause are included in the Fees. AusAID may determine the length of the meetings required, but AusAID expects that such meetings shall be for approximately 8 hours (excluding meal breaks).

### 11. RIGHT OF AusAID TO RECOVER MONEY

11.1 Without limiting AusAID's rights under any provision of the Contract, any payment or debt owed by the Contractor to AusAID in relation to the Contract may be deducted by AusAID from the amount of payment of any claim for Fees, including Reimbursable

## RFT Part 2 - Project Specific Contract Conditions

Costs or from any other moneys payable or due to the Contractor or may be recovered in any court of competent jurisdiction.

11.2 AusAID may review any payments made to the Contractor at any time and:

- (a) if the total of the amount paid to the Contractor is greater than AusAID determines by review to be payable under the Contract, then AusAID must notify the Contractor in writing of the amount of refund it has determined to be repayable;
- (b) the Contractor must within 28 days after receipt of AusAID's notification:
  - (i) refund the excess to AusAID; or
  - (ii) provide AusAID with evidence supporting the Contractor's opinion concerning the amount of the refund;
- (c) failure by the Contractor to provide evidence as required in **Clause 11.2(b)(ii) above** will, in the absence of payment in full of the refund claimed, be deemed to be evidence of the Contractor's acceptance that the amount of refund is correct and payable to AusAID on demand or deductible from subsequent payments due under the Contract; and
- (d) AusAID must, within 28 days of receipt after the Contractor's evidence supporting its opinion concerning the amount of the refund, consider the Contractor's evidence and give the Contractor written notice either:
  - (i) calling for payment within 28 days of the refund determined by AusAID's review; or
  - (ii) calling for payment within 28 days of the refund as re-determined following its consideration of the Contractor's evidence; or
  - (iii) of AusAID's agreement that there is no refund payable.

11.3 If the Contractor fails within the relevant time to make a refund to AusAID of an overpayment determined under **Clause 11.2**, or pay any amount due to AusAID, the amount of the refund or payment is recoverable by AusAID from the Contractor by deducting the amount from subsequent payments owed to the Contractor or in any court of competent jurisdiction as a debt due and payable to AusAID by the Contractor.

11.4 Where AusAID deducts the amount of a debt or payment in accordance with this clause, it must advise the Contractor in writing that it has done so.

## 12. GOVERNMENT TAXES, DUTIES AND CHARGES

12.1 Except to the extent referred to in this clause and Standard Conditions **Clause 21** (Goods and Services Tax), each Party must bear and is responsible for its own costs in connection with the preparation, execution, and carrying into effect of the Contract.

12.2 Except where the Contract, the Treaty between Australia and the Partner Country or the MOU provides otherwise, all taxes:

## RFT Part 2 - Project Specific Contract Conditions

- (a) imposed or levied in Australia or overseas during the term of the Contract in connection with the performance of the Contract; and
  - (b) which are not already included in the Fees payable by AusAID under the Contract, must be paid by the Contractor.
- 12.3 The Contractor must bear and is responsible for all stamp duty and other fees, whether levied in Australia or in the Partner Country, on or in respect of:
- (a) the Contract, the Project, and any sub-contracts entered into for the performance of the Services;
  - (b) the sale, purchase, lease, assignment, licence or transfer of any property under the Contract;
  - (c) the obtaining of any approvals, consents or authorisations in respect of the Project; and
  - (d) any instrument or transaction contemplated by or necessary to give effect to the Contract.
- 12.4 Subject to **Clause 12.8 below** if any new or existing government tax, duty or charge (“Changed Tax”) levied in Australia or the Partner Country in connection with the performance of the Services under this Contract is introduced, increases, decreases or is removed in its entirety and this affects the cost to the Contractor of providing the Services, the Contractor must give AusAID:
- (a) written notice of the increase, decrease or removal;
  - (b) written notice of the net effect of the Changed Tax on the cost of supplying the Services; and
  - (c) in the case of a decrease or a removal, any supporting evidence of the change and an explanation of its effect on the Fees,
- as soon as practicable after the change in the Changed Tax is announced or the Contractor becomes aware of the increase, decrease or removal.
- 12.5 An increase in the Fees under **Clause 12.4 above** shall not be approved and AusAID is not obliged to pay the amount claimed to be attributable to the change in the Changed Tax unless and until the Contractor provides AusAID with evidence of the net effect of the change in the Changed Tax on the cost of supplying the Services and AusAID is satisfied that:
- (a) the claimed increase is actually attributable to that Changed Tax and takes into account reductions in any other Changed Tax; and
  - (b) the net change in the Changed Tax has affected the Fees for supplying the Services,
- and the increase shall take effect from the date on which the Changed Tax became effective.

## RFT Part 2 - Project Specific Contract Conditions

- 12.6 A decrease in Fees under **Clause 12.4 above** shall take effect from the date on which the change in the Changed Tax becomes effective.
- 12.7 The Contractor may claim a Changed Tax adjustment only once in respect of any change.
- 12.8 **Clause 12.4 above** does not apply to income tax, taxes on turnover or revenue or similar taxes imposed on or in respect of income, turnover or revenue.

### 13. IMPREST ACCOUNT

- 13.1 The Contractor shall establish an Imprest Account in accordance with this **Clause 13**.
- 13.2 The Contractor must undertake a selection process in order to recommend a commercial banking institution which represents value for money for AusAID approval, with which to establish the separate Imprest Account.
- 13.3 The Imprest Account will be titled the “**Vanuatu Land Program Imprest Account**”. In correspondence with AusAID the Imprest Account will be referred to by title.
- 13.4 Money in the Imprest Account is the money of the Contractor and is not held by the Contractor on trust for AusAID or as agent for AusAID.
- 13.5 The Contractor agrees that the money in the Imprest Account is to be used for the purpose of funding approved Project activities. No money paid into the Imprest Account, or paid to the Contractor for the purposes of the Imprest Account shall be intermingled with the Contractor’s other money.
- 13.6 The Contractor is responsible for the management of (including scheduling, acquittal and disbursement) and reporting on the Imprest Account.
- 13.7 The Contractor must produce and submit to AusAID for approval within sixty (60) days of the Project Start Date a Manual of Operations which details the management arrangements and operational procedures for the Imprest Account. Where appropriate the Manual of Operations must be developed in consultation with counterparts using counterpart systems, forms and processes as much as possible.
- 13.8 The Contractor in consultation with the Partner Government, where appropriate, will appoint two personnel from one or both of their respective organisations as principal signatories to the Imprest Account. In the case of the Contractor, the personnel shall be Specified Personnel. Both are to sign withdrawals. In circumstances where one of the principal signatories is absent from the Project, a third approved nominee may act as an alternative signatory to the Imprest Account. All principal and alternative signatories will be named in the Manual of Operations for the Imprest Account and approved by AusAID.
- 13.9 The funds held in the Imprest Account shall be used solely for the purpose of funding activities through:
  - (a) The Customary Land Study and Research Fund;
  - (b) The Public Awareness Information Program and Gender Mainstreaming Fund;

## RFT Part 2 - Project Specific Contract Conditions

- (c) The Professional Land Services Support Fund;
- 13.10 Bank charges relating to the Imprest Account shall be paid with monies from the Imprest Account and acquitted in the same way other expenditure is acquitted.
- 13.11 Interest earned on the Imprest Account shall remain in the Imprest Account and shall be used for Project purposes and acquitted to AusAID as such.
- 13.12 Define when AusAID will advance money into the Imprest Account. Subject to **Clause 13.13** and **13.14** below, monies for the Imprest Account will be paid by AusAID to the Contractor on a three (3) monthly basis.
- 13.13 The first payment is payable following AusAID approval of the Manual of Operations and each subsequent payment is payable following AusAID approval of the Contractor's:
  - (a) acquittal of the previous payment; and
  - (b) cashflow projection of funds required for each subsequent payment, over the next twelve month period.
- 13.14 Amounts payable in accordance with **Clause 13.13** above, will be paid within 10 days of notification received by AusAID's Finance Section.
- 13.15 The balance of the Imprest Account must be reported as at 30 March, 30 June, 30 September and 31 December each year, within 10 days of the end of each period, to the AusAID officer specified for the purposes of Standard Conditions **Clause 40** (Notices), with a copy of the balance provided to AusAID's Chief Finance Officer by:

**Email (Preferably):** [accountsprocessing@ausaid.gov.au](mailto:accountsprocessing@ausaid.gov.au)

**Post:** AusAID  
Attention: Chief Finance Officer  
GPO Box 887  
Canberra ACT 2601  
Australia

- 13.16 On every anniversary of the Commencement Date, an audit of the Imprest Account and all documentation relating to the Imprest Account for the previous twelve months must be conducted by an independent external auditor. The audit must be completed within 28 days of each anniversary of the Commencement Date and three copies of each audit report sent to AusAID within 7 days of the Contractor's receipt of the audit report. One copy must be sent to the relevant AusAID officer specified for the purposes of Standard Conditions **Clause 40** (Notices), one copy must be sent to the Director, Audit and the third copy sent to the Chief Finance Officer at the following address:

AusAID  
Attention: Director, Audit / Chief Finance Officer (delete as appropriate)  
GPO Box 887  
Canberra ACT 2601  
Australia

## RFT Part 2 - Project Specific Contract Conditions

- 13.17 A final independent audit of the Imprest Account and all documentation relating to the Imprest Account must be provided with the Project Completion Report for the period since the last independent audit provided in accordance with **Clause 13.16** above.
- 13.18 The cost of an audit conducted in accordance with **Clauses 13.16 and 13.17** is included in the fees payable to the Contractor, and the cost of any corrections or remedial work required to the Imprest Account pursuant to audit findings is to be borne by the Contractor.
- 13.19 The Imprest Account and all documentation relating to the Imprest Account, including documentation related to the use of the Imprest Account funds may be subject to audit by or on behalf of AusAID at any time and from time to time.
- 13.20 If, upon the premature termination of the Contract or at the end of the Contract, money remains in the Imprest Account, the Contractor shall pay to AusAID an amount equal to the total amount of money (including any accrued interest) remaining in the Imprest Account.
- 13.21 In the event that AusAID becomes aware that any money cannot be accounted for by the Contractor in the Imprest Account including upon premature termination of the Contract, AusAID has the right to recover the amount in question (plus interest equal to the interest that would have been earned on the funds had they remained in the Imprest Account) from monies due and owing to the Contractor under this Contract or as a debt due to the Commonwealth, whichever AusAID in its absolute discretion considers appropriate.
- 13.22 This clause shall survive expiration or termination of this Contract.

### 14. UNCONDITIONAL FINANCIAL UNDERTAKING

***Note to Tenderers: AusAID reserves the right to include this clause and shall make a final determination on its inclusion pursuant to a financial assessment of the preferred tenderer.***

- 14.1 The Contractor must, on or before the Project Start Date, provide to AusAID a performance security in respect of the Services in the form of an unconditional and irrevocable financial undertaking from a financial institution authorised to carry on banking business under the *Banking Act 1959* (Cth) in Australia and approved by AusAID.
- 14.2 The performance security provided must be in the form appearing in **Schedule 5** and must be provided at the Contractor's cost, for the maximum aggregate sum of **\$120,000** and be available for the term of the Contract.
- 14.3 AusAID is not obliged to make any payments under the Contract, whether or not such payments are due, prior to receipt of the performance security duly executed and, where applicable, stamped, in accordance with **Clause 14.1 above**.
- 14.4 If AusAID becomes entitled to exercise all or any of its rights to recover moneys under or in relation to the Contract, AusAID may exercise all or any of its rights under the performance security.

**15. PERFORMANCE GUARANTEE**

***Note to Tenderers: AusAID reserves the right to include this clause and shall make a final determination on its inclusion pursuant to a financial assessment of the preferred tenderer.***

- 15.1 The Contractor must, at its expense, provide to AusAID; within 10 Business Days of the Project Start Date, a performance guarantee executed by a guarantor delivered to AusAID, guaranteeing the performance by the Contractor of its obligations under the Contract, which must be substantially in the form appearing in **Schedule 6**.



**PART 3: DRAFT SCOPE OF SERVICES**

**MAMA GRAON – VANUATU LAND PROGRAM**

**Note to Tenderers:** This **Part 3** of the RFT will appear as Schedule 1 of the consolidated Contract.

## **SCHEDULE 1 – DRAFT SCOPE OF SERVICES**

### **MAMA GRAON - VANUATU LAND PROGRAM**

#### **1. BACKGROUND**

##### **Introduction**

- 1.1 Land use and land development has been a charged issue in Vanuatu for over a century. Through the 20th century, there was a constant cycle of land booms (and busts), until by 1972 over a third of the country's land had been alienated for agricultural purposes and land was for the first time subdivided for expatriate residential development. It was this loss of land that first gave rise to a national political consciousness among ni-Vanuatu, and became the mobilising issue for the independence movement.
- 1.2 At Independence in 1980, the new Constitution proclaimed: "All land in the Republic of Vanuatu belongs to the indigenous custom owners and their descendants." Freehold titles were cancelled, and the land formally restored to its former owners. However, the majority of European planters were granted long-term leases over their lands, and remained. Land titles within the Port Vila municipal area were protected, with freehold automatically replaced by a relatively secure urban leasehold system. The main effect of the Constitution was that long-term leases replaced freehold title. A Supreme Court decision in 1995 concluded that, while the sale of land was prohibited by the Constitution, there was no bar on granting long-term leases, even if that would deprive the indigenous owners of their land for several generations. The law provides that the indigenous owners can only recover their land at the end of the lease if the lessees are compensated for any improvements.
- 1.3 These tensions are often exacerbated by land disputes. Vanuatu has a great variety of indigenous systems of land use and ownership, and none of them is codified. Indigenous titles depend upon oral histories, 'memory culture', complex local categories and varying inheritance practices. Within a single community, there may be different types of interest in land, attaching to individuals, families, clans or the community at large. The precise status of particular plots may be known to only a few people in the community, and disputes are common, particularly where there has been inter-marriage between communities.
- 1.4 The current pattern of development is potentially highly corrosive of the social order, and could generate serious tensions in the future. Poor management of development ends up harming both the investors and local communities. Yet ni-Vanuatu communities are clearly not inherently opposed to development. Sensitive development of land for tourism, generating jobs and business opportunities for local communities, would be widely welcomed.
- 1.5 The National Land Summit (September 2006) was a watershed in the development of the land sector in Vanuatu. Organised by the government on the initiative of the National Council of Chiefs and the Vanuatu Cultural Centre, with broad participation from different social groups and commercial interests, the Summit provided an opportunity for intensive debate on land and development. Among its recommendations were:

- (a) clearer definitions of customary ownership, and stronger processes for determining ownership and resolving disputes;
  - (b) reform of the land administration, to reduce the Minister’s discretionary powers, increase community participation in decision making and strengthen the role of government as an intermediary to ensure fair dealings by all parties; and
  - (c) strengthening government capacity to enforce planning regulations governing issues such as public access.
- 1.6 Following on from the Summit, the Government of Vanuatu requested Australian and New Zealand assistance to support land reforms in Vanuatu. In July – September 2008, AusAID and NZAID fielded two separate scoping and design missions to Vanuatu to develop their respective land programs. Further discussions with the Government of Vanuatu ensued on how best to provide support in an over consulted sector with limited absorptive capacity.
- 1.7 To that end, AusAID and NZAID have agreed to harmonise their development assistance to the land sector in Vanuatu by engaging one Contractor to implement the two designs produced to support the Government of Vanuatu’s request for support. Proposed assistance is in full accord with the Constitution and in keeping with the Vanuatu Government’s Comprehensive Reform Program and Priorities and Action Agenda 2006 – 2011 (PAA). Government coordination of long term reform will be guided by a Land Sector Framework which AusAID and NZAID are guided by. Oversight of the framework will occur through a multi-stakeholder Vanuatu Land Governance Committee.

### **Australian Aid Program**

- 1.8 Key components of the AusAID Program are:
- (a) Support to customary decision-making;
  - (b) Respect for and clarification of *kastom* (shared customs, traditional values and beliefs of Vanuatu’s people);
  - (c) Multi-stakeholder participation and ownership;
  - (d) Effective links with dispute mediation and conflict resolution;
  - (e) Clear and transparent enforcement of current formal laws related to lease agreements;
  - (f) Improvement in basic Government services and enforcement related to land use;
  - (g) Careful harmonisation of support to match absorptive capacity; and
  - (h) Studies that establish a foundation for future policy and legislative reform.

### **New Zealand Aid Program**

- 1.9 Key components of the NZAID Program are:

- (a) Mediation support services and training;
- (b) Public awareness and training;
- (c) Capacity Development of the Customary Land Unit;
- (d) Developing an IT strategy and IT plans; and
- (e) Improving the effectiveness and procedures of:
  - (i) Land Survey Records;
  - (ii) Mapping and Imagery; and
  - (iii) Valuation services.

### **Key Documents**

1.10 The following are key documents related to this Program:

- (a) AusAID Vanuatu Land Program, Program Design Document (PDD) dated 12 February 2009 (AusAID PDD);
- (b) Strengthening the Customary Land Tribunal and the Department of Lands' Land Information Management, Activity Design Document dated February 2009 (NZAID ADD);
- (c) Vanuatu Land Sector Framework 2009-2018 (Draft); and
- (d) Review of the Vanuatu Land Use Planning Project (1995-2000).

## **2. GOAL**

2.1 All Vanuatu people prosper from the equitable and sustainable development of their land, while ensuring stability and securing the heritage of future generations.

### **Purpose**

2.2 The purpose is to improve decision making, make it more transparent, and improve land management procedures and practices, and in doing so minimise the potential for conflict. This will primarily be achieved by undertaking capacity development of:

- (a) Vanuatu Land Governance Committee;
- (b) Malvatumauri National Council of Chiefs;
- (c) Customary Land Unit; and
- (d) Land Registry and Information Service.

**Overview of Services**

- 2.3 The Contractor is to implement the AusAID and NZAID designs which respond to Vanuatu's request for development assistance in the Land Sector. The Contractor is to manage the implementation to ensure it is coordinated, is an effective and efficient use of resources and is responsive to the needs of Vanuatu.
- 2.4 The Contractor is to work with Vanuatu Government Ministries and their agencies, primarily the Ministry of Lands, Customary Land Tribunal, and traditional leaders and provide technical advisers in specified areas. The Contractor and staff are to work with these Vanuatu agencies to:
- (a) improve the effectiveness of land administration and utilisation;
  - (b) improve the effectiveness and transparency of decision making; and
  - (c) raise the awareness of the rights of key stakeholders.
- 2.5 This requires the Contractor to:
- (a) recruit and administer international and national staff and manage their performance;
  - (b) manage funds and resources allocated for specific purposes; and
  - (c) assist Land Information Management through supporting and enhancing current land management information systems in the Department of Lands.
- 2.6 Each year of the Contract, the Contractor will consult with partner government representatives to develop an Annual Plan within the allocated budget of resources. Once the Plan has been agreed by all Parties it will be implemented.

**Part A Component Descriptions and Objectives**

- 2.7 The Part A Sub Goal is that all Vanuatu people benefit from the equitable and sustainable development of their land, while securing the heritage of future generations.
- 2.8 This will be delivered through three objectives:

**Objective A - 1: Informed Collective Decisions by Customary Landholders**

- (a) This objective ensures that communities have the right and support to make informed collective decisions on the use of their customary land based on *kastom*, delivering benefits not just to themselves, but to future generations.
- (b) Key processes and partnerships for implementation include:
  - (i) Improve the customary governance of land by the Malvatumauri. A National Kastom Land Office will be established to strengthen the Malvatumauri capacity to oversee, improve and support customary decision-making at the national, island and area level. The Program will support the Malvatumauri to manage these activities;

- (ii) Improve stakeholder and public understanding and awareness of customary land practices (including gender and familial land interests). This will include three elements: i) a Customary Land Study and Research Grant Fund; ii) a Public Awareness and Information Program; and iii) gender mainstreaming within the Malvatumauri and other customary groups. The Program will support the Vanuatu Cultural Centre to manage these activities; and
- (iii) Improve decision-making by customary landholders. The Program will assess and then implement a network of local Kastom Focal Points (KFP) to facilitate the delivery of information, referral and networking services that support decision-making on customary land at the local level. The agreed model will be initiated by the Program after broad consultation. Over the life of the Program, responsibility will be transferred to an agreed national body.

**Objective A - 2: Participatory Land Governance**

- (c) This objective recognises that land governance is not the sole responsibility of Government. The ongoing sustainable management of land depends on the fair dealings and diligence of all stakeholders, including the community.
- (d) Key processes and partnerships for implementation include:
  - (i) Support the effective and participatory sector wide governance of land. The Program will support the Government to manage and monitor the national Land Sector Framework. The Program will support the establishment, strengthening and operation of the multi-stakeholder Vanuatu Land Governance Committee (VLGC);
  - (ii) Establish and/or improve participatory, sustainable mechanisms that facilitate regional and local governance of land. Under the guidance of the VLGC, the Program will work with local Government, customary leaders, civil society and other stakeholders at the sub-national level; and
  - (iii) Improve the participatory mechanisms that facilitate formal dealings in land. In particular the Program will establish or improve the participatory yet sustainable processes needed to negotiate the open, accountable and transparent processes for leases on customary land (be they for public or private sector development). The Program will support the Department of Lands to identify and establish key processes, governance structures and oversight mechanisms.

**Objective A - 3: Effective and Enabling Services**

- (e) This objective delivers key services that underpin the two other objectives and will be delivered through a range of groups including Government, civil society and the private sector.
- (f) Key processes and partnerships for implementation include:

- (i) Establish a functioning Land Registry and Information Service. This will eradicate the land lease registration backlog and establish a land lease and land parcel information service. The Program will support the Department of Lands to manage this activity;
- (ii) Establish an effective land lease and development planning, assessment, permitting and enforcement service. The Program will support the Department of Lands (and other regulatory agencies) to establish an integrated development planning service which supports zoning and ensures compliance;
- (iii) Deliver a national land awareness, knowledge and gender mainstreaming campaign. The Program will support the Department of Lands to manage this activity; and
- (iv) Demonstrate effective organisational models and service delivery arrangements.

2.9 After Year 3, the Program will support the Department of Lands to assess improved, appropriate and sustainable organisational roles and responsibilities for implementation. The Program will be the primary mechanism that addresses 12 of the 20 National Land Summit Resolutions and will significantly contribute to a further seven. Australia's assistance will be structured through a program approach. This allows for flexible interventions, with delivery mechanisms that evolve over time. This first five year phase of assistance will establish the foundations for longer term partnerships. It is expected that future phases of the Program could:

- (a) Sustain achievements and broaden engagement in customary land, participatory governance and enabling services;
- (b) Begin a process of legislative reform;
- (c) Undertake more fundamental institutional reform within the Department of Lands; and
- (d) Improve service delivery in other areas of the Department of Lands (e.g. revenue generation).

2.10 A detailed Component Description for Part A is specified in the AusAID PDD Annex 4.

**Part B Component Descriptions and Objectives**

- 2.11 The Sub Goal of Part B is “Poverty reduced through sustainable development of customary lands and supporting land information systems”.
- 2.12 This Sub Goal reflects one of the areas of the NZAID Vanuatu Development Strategy outcomes which is the “reduction in actual and potential conflict associated with disputes over land ownership and user rights”. The Sub Goal also reflects the support for private sector development and employment contained in the Government of Vanuatu “Priorities and Action Agenda 2006-2011” which has a policy objective of facilitating long-term secure access to land.

**Objective B - 1: A strengthened Customary Lands Tribunal consistent with the GoV's national plans**

- 2.13 The activity objective “A strengthened Customary Lands Tribunal consistent with the GoV's national plans” will ensure that effective mechanisms are in place in Vanuatu to resolve land disputes through appropriate means and that there is a continuing emphasis on dispute resolution through the customary processes. A strengthened and improved tribunal process will ensure that there is emphasis on mediation as an important legislative first step to dispute resolution and should this process fail then the tribunal process will be streamlined to ensure that decisions are made as quickly as possible and that there is certainty in the decision-making process. This will ensure that land is available for use or economic development as quickly as possible.
- 2.14 Sub-components related to this Objective are:
- (a) Sub-Component 1.1 - Legislative review;
  - (b) Sub-Component 1.2 - Mediation support services;
  - (c) Sub-Component 1.3 - Public awareness and training; and
  - (d) Sub-Component 1.4 - Capacity Development of Customary Land Unit.

**Objective B - 2: A Land Information Management system that meets current and future needs and supports economic development**

- 2.15 The second objective “A Land Information Management system that meets current and future needs and supports economic development” will ensure that the GoV through the DoL is in a position to provide land information that can be used by government and the private sector as means of improving development activities. The land information systems will support operations within the DoL and ensure that suitable data is available for decision-making and record keeping, including the recording of information on land disputes and dispute resolution.
- 2.16 Sub-components related to this Objective are:
- (a) Sub-Component 2.1 - IT strategy;
  - (b) Sub-Component 2.2 - Land survey records;



- (c) Sub-Component 2.3 - Mapping and imagery; and
  - (d) Sub-Component 2.4 - Valuation services.
- 2.17 A detailed Objective and Sub-Component Description for Part B is specified in the NZAID ADD Section 2.
- 2.18 A Logical Framework Matrix for Part B is provided at NZAID ADD Annex 1.

**Part C Component Descriptions and Objectives**

- 2.19 The Goal of Part C is the effective and efficient management and coordination of Part A and B Services to achieve the Goal and Objectives of the Governments of Vanuatu, Australia and New Zealand.
- 2.20 The Objectives supporting this Goal are:
- (a) Objective 1: Effective consultation and coordination between stakeholders of the Vanuatu Land Program.
  - (b) Objective 2: Effective and efficient management of all resources provided to deliver services including personnel, funds, services and equipment.
  - (c) Objective 3: Effective and efficient reporting and monitoring and evaluation of Program activities and deliverables.
  - (d) Objective 4: Identification and management of risks to the Program in order to minimise their impact on the achievement of Program Goals and Objectives.

**3. COMMENCEMENT AND COMPLETION OF SERVICES**

- 3.1 The Contractor shall commence the Services on ***insert start date*** and complete the Services no later than ***insert end date*** (anticipated duration is 60 months) except for Part B as provided in **clause 3.2** and **clause 3.3 below**.
- 3.2 Part B of the services is sponsored by NZAID. For Part B, the NZAID ADD plans a three year activity. NZAID will undertake a review of implementation of this Part B during the third year to inform a decision by NZAID whether to extend support in these areas.
- 3.3 If NZAID decides to extend Part B, AusAID will notify the Contractor in writing before the end of the 3 year period and the Contractor shall continue to provide the services for Part B.

**4. SERVICES**

- 4.1 The goal, purpose and component structure of the Program to be implemented under this Contract are described in detail in the AusAID PDD and NZAID ADD. The AusAID PDD and NZAID ADD are the key reference documents and form part of this Contract and are attached as Annexes to this Schedule. They contain detailed elaboration of the Contractor's responsibilities described in this Schedule 1. They should therefore be read in conjunction with this Schedule 1 and where they prescribe in greater detail any of the services described below, the Contractor is to implement the services accordingly. In the

event of any inconsistency between them and the Contract, the Contract will take precedence.

- 4.2 The Contractor will deploy, support and manage the personnel, procurement and training inputs defined in this Contract (as derived from the AusAID PDD and NZAID ADD and the Annual Plans) in pursuit of the Program Goal and Objectives for the Inception Period where Milestones are defined in Annex 1 of this Schedule 1 Scope of Services and subsequently defined in the Annual Plans for later years.

## **PART A**

### **Australian Aid Program**

- 4.3 The Contractor shall perform the following Services in accordance with the AusAID PDD and the terms and conditions of this Contract:
- 4.4 The Contractor shall engage with and undertake relationship management with stakeholders and this includes consultation, coordination and support for the purpose of achieving the Vanuatu Land Program Goal and Objectives.
- 4.5 Stakeholders include:
- (a) Ministry of Lands;
  - (b) Ministry of Justice;
  - (c) Other Vanuatu Government Departments;
  - (d) Provincial Governments;
  - (e) The Vanuatu Land Governance Committee;
  - (f) Other donors (including AusAID's regional Pacific Land Program);
  - (g) The private sector (developers, real estate agents, etc),
  - (h) Malvatumauri;
  - (i) Customary Land Tribunal;
  - (j) Land Registry;
  - (k) Malvatumauri Kastom Land Office;
  - (l) The National Kastom Land Office;
  - (m) The Vanuatu Cultural Centre; and
  - (n) Local communities.
- 4.6 The Contractor shall:
- (a) manage the following allocated funds:
    - (i) Customary Land Study and Research Fund (up to AUD100,000 per year);
    - (ii) Professional Land Services Support Fund (up to AUD25,000 per Land Services Office per year); and

### **RFT Part 3 – Draft Scope of Services**

- (iii) Public Awareness Information Program and Gender Mainstreaming Fund (up to AUD30,000 per year);
- (b) conduct participatory governance and awareness workshops that aim to improve communication and feedback from local communities and the associated procurement of goods and services to facilitate the conduct of these workshops;
- (c) work with the Department of Lands to identify key processes, governance structures and oversight mechanisms that improve participatory mechanisms that facilitate formal dealings in land.
- (d) work with the Land Registry to raise its capacity to perform its role to a specified level of satisfaction of its customers. This includes:
  - (i) Reducing the Registry’s current backlog of work;
  - (ii) Establishing a land lease parcel information service;
  - (iii) Creating digital datasets on technology suitable for local conditions and staff resources; and
  - (iv) Improving the efficiency effectiveness and integrity of routine processing of land dealings;
- (e) support the Department of Lands (and other regulatory agencies) to establish a coordinated and integrated land lease and development service, dealing with all aspects of leasing including:
  - (i) initial land lease allocation and approvals;
  - (ii) consents for land lease transactions;
  - (iii) development applications; building approvals;
  - (iv) requests for change of lease type; lease conditions;
  - (v) rent reviews; and
  - (vi) a customer advisory service.
- (f) support the Program’s land national awareness, knowledge and gender mainstreaming campaign.

**PART B**

**New Zealand Aid Program**

- 4.7 The Contractor shall perform the following Services in accordance with the NZAID ADD and the terms and conditions of this Contract:
- 4.8 The Contractor shall:
- (a) undertake research in specified areas such as mediation and public awareness, and develop and implement training programs and workshops;
  - (b) undertake capacity development of the Customary Land Unit. This includes:
    - (i) Building capacity of existing staff;
    - (ii) Utilising short term advisers to support activities during peak activity periods; and
    - (iii) Developing and implementing sound administrative procedures and M&E activities;
  - (c) develop an IT Strategy and IT Plans in specialist areas within the Department of Lands; and
  - (d) develop more effective management of Land Survey Records including the provision of technical support in areas of responsibility in this sector;

**PART C**

**Management and Administration**

- 4.9 The Contractor shall perform the following Services in accordance with the terms and conditions of this Contract:
- 4.10 The Contractor shall:
- (a) engage with and undertake relationship management with stakeholders including consultation, coordination and support for the purpose of achieving the Vanuatu Program Goal and Objectives.
  - (b) recruit, remunerate, administer and performance manage staff;
  - (c) procure and manage Program property, goods and services including, where appropriate, insurance, maintenance, storage and security;
  - (d) manage and acquit funds allocated for specific purposes;
  - (e) provide regular reporting to key partners and stakeholders;
  - (f) provide secretarial and advisory support to specified committees appointed for the efficient management of the Program;

- (g) undertake regular monitoring and evaluation of Program activities to ensure that the Goal and Objectives are achieved. This includes developing a Monitoring and Evaluation Plan;
  - (h) monitor and manage risks to implementation to minimise their impact on the success of the Program. This includes producing a Risk management Plan which is regularly updated during the Program;
  - (i) cooperate and coordinate with, and facilitate the visit(s) of, teams such as the AusAID Pacific Lands Effectiveness Review Group (ERG) or personnel appointed by AusAID for the conduct of independent monitoring and evaluation of the Program;
  - (j) ensure implementation is coherent with AusAID cross-cutting policies particularly gender, anti-corruption and peace and conflict;
  - (k) establish an Imprest Account, in accordance with Clause 13 Part A of the Project Specific Contract Conditions, for the purpose of receiving allocated funds to be managed for implementation of the Scope of Services;
  - (l) appoint office support and administrative staff as appropriate to the needs of the Program and institute administrative, financial, procurement and human resource systems that comply with Australian Government Standards; and
  - (m) provide office furniture, equipment and consumables for Program staff where these are not provided by the GoV.
- 4.11 The Contractor personnel are to mentor counterparts and the Contractor is to assist key agencies involved in land management to develop and implement effective organisational models and service delivery arrangements.
- 4.12 Each year, the Contractor will develop and implement an Annual Plan, as described in Clause 7 of this Schedule 1.

## **5. MANAGEMENT ARRANGEMENTS**

### **Vanuatu Land Governance Committee and Program Management Committee**

- 5.1 The Vanuatu Land Governance Committee (VLGC) will oversee implementation of the Vanuatu Land Sector Framework. It is expected that it will also manage whatever donor coordination is necessary to ensure the logical, staged implementation of the Land Sector Framework strategies.
- 5.2 A working group of the Vanuatu Land Governance Committee will be appointed to work with AusAID and NZAID as the Vanuatu Land Program Management Committee (PMC). This PMC will have the oversight of the Program and be co-chaired by a representative of the VLGC and AusAID. The PMC will meet as required but at least every six months. Members will include:
- (a) Vanuatu representatives appointed by the Vanuatu Land Governance Committee;
  - (b) AusAID;

- (c) NZAID; and
  - (d) The Contractor. The Contractor is to provide secretarial and advisory support to the PMC as detailed in Clause 5.4 below.
- 5.3 The PMC will provide the direct policy, strategic direction and implementation oversight for the Vanuatu Land Program. Its roles will include:
- (a) Considering and providing advice on the strategic direction for the Program, with guidance from the Vanuatu Land Governance Committee.
  - (b) Coordinating policy discussions between the Program, Government and non-state actors;
  - (c) Ensuring that coordination with NZAID activities (and other donor engagements) is harmonised;
  - (d) Approving the Program’s Annual Plan and budget, and specifically approving the annual work programs of the National Kastom Land Office as endorsed by the Malvatumauri, as well as the annual priorities of the Land Study and Research Grant Fund (Part A Component 1);
  - (e) Ensuring that the participatory governance structures established under Part A Component 2 are fully representative of stakeholders;
  - (f) Reviewing the processes and procedures developed by the Program to manage formal dealings in land, in this way ensuring transparency, accountability and equity (Part A Component 3);
  - (g) Providing comment and recommendations to AusAID and NZAID on the performance of the Implementation Service Provider; and
  - (h) Responding to ongoing Program evaluation, in particular directly engaging with the Pacific Land Program’s Effectiveness Review Group (refer Clause 5.13 of this Schedule 1) in the Mid-term and Program Completion reviews.
- 5.4 The Contractor is to assist the Vanuatu Land Governance Committee to establish the PMC and draft its operating guidelines including its Code of Conduct. The Contractor is to provide secretariat services to meetings of the PMC. This includes:
- (a) Arranging and facilitating meetings;
  - (b) Consulting on and finalising the agenda;
  - (c) Preparing minutes; and
  - (d) Circulating relevant documentation.
- 5.5 The Contractor is to provide technical support to the PMC including reports on progress. The Contractor is to respond to and, where appropriate, implement recommendations of the PMC.

**Contractor Program Director**

- 5.6 The Contractor will nominate a Program Director. The Program Director will also fulfil one of the two major Long Term Advisor roles being either the International Indigenous Land Specialist or the Participatory Land Governance Adviser.
- 5.7 The Program Director is the primary point of contact for AusAID and NZAID on technical, administrative, reporting and contractual issues. The Contractor is to provide appropriate administrative and contractual support to the Program Director to ensure these tasks are efficiently managed and do not divert the Program Director unduly from key implementation and technical responsibilities.
- 5.8 The Program Director duties and responsibilities are specified at Annex B, Schedule 1 – Scope of Services. In addition, the Program Director will undertake regular liaison with key stakeholders for the purpose of keeping them informed of progress. Stakeholders include:
- (a) Director General Department of Lands;
  - (b) Director General Department of Justice;
  - (c) AusAID;
  - (d) NZAID; and
  - (e) Chair of the Vanuatu Land Governance Committee (if different to Director Generals of Lands and Justice).

**Primary Stakeholder Partnerships**

- 5.9 Given the outcomes proposed, a range of stakeholder partnerships are planned to support the implementation of the Program. The primary Government partnership will be with the Department of Lands and:
- (a) Part A Objective 1 will be delivered through the Malvatumauri, with support from the Vanuatu Cultural Centre.
  - (b) Part A Objective 2 will be delivered through the Vanuatu Land Governance Committee, its working groups and the Department of Lands.
  - (c) Part A Objective 3 will be delivered through the Department of Lands along with partners from the professional private sector and other agencies with a regulatory role for land.
- 5.10 Some of the other key stakeholders for each component of Part A are shown in AusAID PDD page 45 Figure 6, with the anticipated major partners highlighted against each objective.
- (a) Part B Objective 1 will be delivered through the Customary Lands Unit (at the time of writing this is located in the Department of Lands but may be relocated to the Department of Justice and Social Welfare during Program implementation.).

- (b) Part B Objective 2 will be delivered through the Department of Lands.
- 5.11 While potential partners are clustered under the primary outcome to which they contribute, there is potential for all partners to have wider roles across the Program.
- 5.12 The Contractor has responsibilities for both Program-wide management functions and operational functions related to each of the Program components. In all cases, the Contractor shall operate as a facilitator and enabler of other parties for the delivery and implementation of the Program, rather than being a direct implementer of all Program outputs and activities.

### **Management Plans and Strategies**

- 5.13 The Contractor shall prepare and manage the following:
  - (a) Inception Plan;
  - (b) Risk Management Plan;
  - (c) Monitoring and Evaluation Plan;
  - (d) Conflict Management Strategy; and
  - (e) Annual Plans.

### **AusAID’s Pacific Land Effectiveness Review Group (ERG)**

- 5.14 It is proposed that an Effectiveness Review Group (ERG) will be closely linked to AusAID’s Pacific Regional Land Initiative. The ERG may draw on specialist and evaluation expertise available through the regional program and will be established by AusAID Post in collaboration with the Pacific Land Program and country partners. The role of the ERG will be to visit Vanuatu-based activities at various stages of implementation in order to review the quality of implementation and the likely development impact. In particular the ERG will provide strategic advice to AusAID Post, country partners, and managing contractors on possible mechanisms to improve effectiveness. The ERG will be funded through AusAID’s Pacific Regional Land Initiative.

## **6. LOGISTICS AND ADMINISTRATION**

### **Contractor Accommodation**

Tenderers are to plan on the following for the purposes of their bids. This may be changed later based on what the situation is when the Contractor undertakes a preliminary scoping mission during the Inception Period. If this changes, then this will be clarified during contract negotiations or by a contract amendment.

- 6.1 The Contractor will establish its central office within the Department of Lands. The Department of Lands has agreed to provide rent-free office space and utilities sufficient to accommodate long and short-term advisory staff, as well as Contractor management and administrative staff.



**Provincial Kastom Officers**

6.2 The Contractor is to fund all office refurbishment, capital, and operating costs including:

- (a) Office furniture;
- (b) Office machines eg photocopier; and
- (c) Telephone and internet services and equipment.

**Procurements**

6.3 The Contractor is to procure goods and services necessary for the delivery of the Program and within the specified budget. The type of goods and services to be procured are:

- (a) computers;
- (b) software;
- (c) maintenance services for IT systems;
- (d) hire of venues for workshops;
- (e) support services for workshops;
- (f) publications;
- (g) training materials and equipment;
- (h) training packages; and
- (i) study tours.

6.4 The budget for procurement is specified in Schedule 2 - Basis of Payment.

6.5 Guidance on Program procurements is provided as follows:

- (a) Part A: See Annex C to this Schedule 1; and
- (b) Part B: See Annex D to this Schedule 1 and NZAID ADD Annex 3 Cost Summary, TA Inputs and Resources.

**Services in Support of the AusAID Pacific Lands Effectiveness Review Group (ERG)**

6.6 The Contractor will facilitate the visits of the ERG. This will include: arranging accommodation, schedules, travel, interpreters and meetings; providing or sourcing key documentation as requested; and advising the ERG on Program implementation, impacts and risks. The Contractor must cooperate with the ERG in all matters and attend those meetings, visits and other interactions that the ERG deems appropriate. The Contractor will review reports of the ERG, actively disseminate and discuss these with stakeholders and integrate recommendations into work plans. The Contractor will provide a summary report to the ERG reflecting action and progress against recommendations one month prior to each visit.

- 6.7 Key criteria to be used in Contractor Performance Assessment will include:
- (a) Quality of documents submitted as output reports, including their completeness, presentation, ease of understanding, analysis and identification of options, and appropriateness of recommendations;
  - (b) Performance of technical advisors, including level of technical expertise, understanding of the Vanuatu context, ability to build and maintain relationships, and contribution to capacity building;
  - (c) Quality of performance monitoring systems and reporting established, including appropriateness of indicators and targets, measurement of performance against targets, and analysis of results and identification of factors contributing or constraining performance; and
  - (d) Quality of communication systems and processes established, including the distribution and dissemination of information from the partnership program to partners, local governments, AusAID, NZAID and other donors; and the receipt and responsiveness to information and requests from partners and stakeholders.

**Public Relations**

- 6.8 If requested by AusAID, the Contractor shall draft public relations material about the Project (refer Clause 28 of the General Conditions of Contract). The Contractor shall provide assistance with logistical and other arrangements to facilitate occasional ad-hoc promotional activities associated with the project such as visits by senior Australian Government officials and parliamentarians.

**7. ANNUAL PLANS / ROLLING ANNUAL PLANS**

- 7.1 The Program will be implemented on a “rolling plan” basis whereby the Contractor’s outputs/activities and associated inputs (i.e. personnel, procurement, training) will be defined in Annual Plans in advance of the following time periods:
- (a) Inception Period – Contract Start Date to 31 March 2011 (ca. nine months);
  - (b) Year 1 – 1 April 2011 to 31 March 2012 (12 months);
  - (c) Year 2 – 1 April 2012 to 31 March 2013 (12 months);
  - (d) Year 3 – 1 April 2013 to 31 March 2014 (12 months);
  - (e) Year 4 – 1 April 2014 to 31 March 2015 (12 months); and
  - (f) Year 5 – 1 April 2015 to Contract End Date (ca. three months).
- 7.2 The Annual Plans that will be prepared by the Contractor will take into account the AusAID PDD and NZAID ADD and the amount of Contract funds available for the following year as advised by AusAID. Each Annual Plan, once agreed in writing by AusAID (on behalf of NZAID) will form part of this Contract, however, the Contract will also be amended upon AusAID’s agreement of each Annual Plan to formalise in this Schedule 1 the Contractor’s inputs (personnel, procurement, training), activities and

outputs for the year and in Schedule 2 the exact details of AusAID’s payment regime to the Contractor for the year.

- 7.3 In the Inception Period the Contractor will deploy, support and manage the personnel, procurement and training inputs in pursuit of the project outputs and specified Milestones for the Inception Period.

## **8. RESOURCES AND OUTPUTS**

### **Specified Personnel**

- 8.1 The Contractor is to provide the following Specified personnel:

**Table 1: Specified Personnel**

| <b>Position</b>                                               | <b>Name</b> | <b>Input (months)</b> |
|---------------------------------------------------------------|-------------|-----------------------|
| Participatory Land Governance Adviser / (Program Director)*   |             | 60                    |
| International Indigenous Land Specialist /(Program Director)* |             | 60                    |
| Land Registry Adviser                                         |             | 18                    |
| Lease Processing Adviser                                      |             | 12                    |
| Land Services Adviser                                         |             | 19                    |
| GIS Specialist                                                |             | 16                    |

**\* Note:** In the final Contract one of these positions will, concurrently, be the Project Director.

### **Inputs - Personnel**

- 8.2 The Program team will comprise a range of international and national advisers. The Program will also fund some positions within Government and civil society. The in-country staffing profile includes full-time equivalents of long-term international adviser support and full-time equivalents of long-term national adviser support. These long-term personnel will be supported by a team of short-term specialists drawn from a Consultant Pool, with proposed inputs and Terms of Reference prepared annually by the Contractor for PMC endorsement.
- 8.3 Subject to successful contract negotiations, the Contractor will appoint the nominated Program Director and will work with AusAID to jointly identify and recruit the remaining unfilled 60 month long term technical adviser for the position of **either** (a) International Indigenous Land Specialist **or** (b) the Participatory Land Governance Adviser prior to mobilisation.
- 8.4 AusAID will then participate in the Contractor’s interviews of the short-listed candidates and consideration of their technical expertise, proposed fees and a “Value for Money”

assessment. The appointment of Long Term Technical Assistance will be subject to AusAID’s final approval.

- 8.5 Once the recruitment process is finalised the Contract will be amended to include the Long Term Technical Assistance details and costs and the positions designated as Specified Personnel. All arrangements for the recruitment process and contracting will be facilitated by the Contractor.
- 8.6 Funding of short-term consultants from the Consultant Pool should give preference in all circumstances to national or Pacific regional professional support. It is anticipated that the AusAID Pacific Land Program will facilitate coordination between AusAID’s four country land programs in order to establish a register of national and regional skills that can be used across all programs. Only in cases where national or regional capacity cannot be found should international assistance be engaged.
- 8.7 Guidance on the timing of Part B Personnel Inputs is provided in the NZAID PDD at Annex 3 – Cost Summary, TA Inputs and Resources. After the Inception Period, the actual timing of inputs is to be planned by the Contractor through the Rolling Annual Plan process.
- 8.8 The Contractor will also, at its discretion, provide any other personnel either in-Australia or in Vanuatu necessary to meet its management and administration obligations under the contract. The cost for such personnel is to be part of the Management Fee.
- 8.9 The GoV and the Chiefs have indicated a strong interest in the selection of some longer-term advisers, as well as those short-term advisers dealing with the customary area. A consultative approach will be necessary for the recruitment process for certain positions. Where appropriate, these selection processes are to be negotiated and agreed between AusAID on behalf of NZAID and the Contractor.
- 8.10 The Contractor is to provide the following personnel inputs over the duration of the Contract:

### **Long Term Personnel**

- (a) Long Term personnel inputs are specified in Table 2 below.

**Table 2 - Table of Long Term Personnel**

| <b>Position</b>                                              | <b>Person Months</b> | <b>Location</b>                            | <b>Alignment</b> | <b>Comments</b>            |
|--------------------------------------------------------------|----------------------|--------------------------------------------|------------------|----------------------------|
| Participatory Land Governance Adviser (Program Director)*    | 60                   | Program Head Office<br>Department of Lands | Part A           | International recruitment. |
| International Indigenous Land Specialist (Program Director)* | 60                   | Program Head Office<br>Department of Lands | Part A           | International recruitment. |
| Land Registry Adviser                                        | 18                   | Program Head Office<br>Department of Lands | Part A           | International recruitment. |
| Lease Processing Adviser                                     | 12                   | Program Head Office<br>Department of Lands | Part A           | International recruitment. |
| Land Services Adviser                                        | 19                   | Program Head Office                        | Part A           | International recruitment. |

### RFT Part 3 – Draft Scope of Services

| Position                                                       | Person Months | Location                                   | Alignment | Comments                                                                                                                                            |
|----------------------------------------------------------------|---------------|--------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                |               | Department of Lands                        |           |                                                                                                                                                     |
| National Kastom Land Officer                                   | 60            | Malvatumauri                               | Part A    | National recruitment                                                                                                                                |
| Administrative Officer supporting National Kastom Land Officer | 60            | Malvatumauri                               | Part A    | National recruitment                                                                                                                                |
| Local Kastom Land Officers (x 6)                               | 288           | Provinces                                  | Part A    | National recruitment                                                                                                                                |
| M&E Adviser                                                    | 60            | Program Head Office<br>Department of Lands | Part A    | National recruitment                                                                                                                                |
| Participatory Governance Facilitators (x 2)                    | 120           | Program Head Office<br>Department of Lands | Part A    | National recruitment                                                                                                                                |
| Land registry Support Staff                                    | 126           | Program Head Office<br>Department of Lands | Part A    | National recruitment                                                                                                                                |
| Gender Focal Point                                             | 60            | Program Head Office<br>Department of Lands | Part A    | National recruitment                                                                                                                                |
| Public Awareness Adviser                                       | 18            | Program Head Office<br>Department of Lands | Part A    | National recruitment                                                                                                                                |
| National Mediation Specialist                                  | 17            |                                            | Part B    | National Recruitment<br>13 months continuous during Years 1 to 2 (and a further 4 months in Year 3 – see Table 3 below).                            |
| National Training Specialist                                   | 30            |                                            | Part B    | National Recruitment<br>30 months continuous over Years 1 to 3.                                                                                     |
| National Communication Specialist                              | 15            |                                            | Part B    | National Recruitment<br>15 months continuous during Years 1 and 2.                                                                                  |
| Customary Land Unit Technical Support Officer                  | 24            |                                            | Part B    | National Recruitment<br>24 months continuous during Years 1 and 2.<br>It is intended that Gov of Vanuatu will provide ongoing funding after Year 2. |
| GIS Specialist                                                 | 16            |                                            | Part B    | International Recruitment.<br>12 months for Year 1 (and 4 more months as one month inputs over Years 2 and 3 – see Table 3 below).                  |
|                                                                |               |                                            |           |                                                                                                                                                     |

\* **Note:** The Program Director will also occupy the position of Participatory Land Governance Adviser **or** International Indigenous Land Specialist.

(b) Position Descriptions for the Long Term Personnel are detailed in:

## RFT Part 3 – Draft Scope of Services

- (i) AusAID PDD Annex 6 – Position Descriptions; and
- (ii) NZAID ADD Annex 5 – Adviser Terms of Reference;
- (c) Position Descriptions / Terms of Reference of personnel to fill *kastom* positions (e.g. National *Kastom* Land Officer) are of particular interest to key partners in Vanuatu. The Contractor is to ensure these are updated and agreed before recruitment begins.
- (d) Position Descriptions for the following key positions to be staffed by international personnel are specified at Annex B to this Schedule 1 Scope of Services:
  - (i) Program Director (position “double-hatted” with either (ii) or (iii) below);
  - (ii) International Indigenous Land Specialist – Part A;
  - (iii) Participatory Land Governance Adviser – Part A; and
  - (iv) GIS Specialist – Part B.

### Short Term Advisers

- (e) Short Term Personnel resources are identified differently for Part A and B. In Part A they are approximately equal to the cost of 84 person months input. For Part B, they approximately equal to 95.5 person months but more detailed guidance on the inputs has been specified.
- (f) When the Contractor submits a proposal for the utilisation of a Short Term Adviser, it is to include a comprehensive budget for all costs not provided for elsewhere in the Contract associated with the Adviser’s deployment and completion of the task(s).
- (g) Short Term personnel resources are as follows:

#### **Part A: AUD2,450,500 approximately 84 person months**

- (i) Funding resources up to **AUD2,450,500** are available to fund approximately 84 person months for Short Term Advisers. An indicative profile for this is:
  - (A) International personnel about 52 months: and
  - (B) National personnel about 32 months.
- (ii) The AusAID PDD at Section 3.2 Table 3 (Short-term Consultancy Pool) provides an indicative list of specialist sectors likely to be drawn upon for Short Term Advisers.
- (iii) The Contractor is to give preference in all circumstances to national Vanuatu or Pacific regional professional support when engaging Short Term Advisers.

#### **Part B: AUD2,595,900 approximately 95.5 person months**

## RFT Part 3 – Draft Scope of Services

- (iv) The Contractor is to provide the following Short Term Adviser inputs in support of Part B services. See Table 3 and 4. The profile is:
- (A) International personnel about **55.5** months: and
- (B) National personnel about **40** months.
- (v) These inputs may need to be amended to be responsive to changing priorities during implementation. Such changes are to remain within the budgeted funds and may be proposed in the Annual Plan process and authorised by Contract Amendment.

**Table 3 - Part B Short Term Advisers in later years who are Long Term Advisers in Year 1**

| Position                      | Person Months   | Location | Comments                                                                       |
|-------------------------------|-----------------|----------|--------------------------------------------------------------------------------|
| National Mediation Specialist | 4               |          | National Recruitment<br>4 months during Year 3.                                |
| GIS Specialist                | 4               |          | International Recruitment.<br>4 months as one month inputs over Years 2 and 3. |
| <b>Total Table 3</b>          | <b>8 months</b> |          |                                                                                |

**Table 4 - Part B Short Term Advisers**

| Position                         | Person Months | Alignment     | Comments                                                             |
|----------------------------------|---------------|---------------|----------------------------------------------------------------------|
| Mediation Specialist             | 8             | CLU Component | International recruitment.<br>8 months over Year 1 and 2.            |
| Training Specialist              | 6             | CLU Component | International recruitment.<br>6 months over Year 1 and 2.            |
| Communication Specialist         | 6             | CLU Component | International recruitment.<br>6 months during Year 1.                |
| Institutional Specialist         | 3             | CLU Component | International recruitment.<br>3 months early in Year 1.              |
| Cadastra Data Capture Specialist | 7             | LIM Component | International recruitment.<br>7 by 1 month inputs over Years 1 to 3. |
| Land Cadastra Survey Specialist  | 5             | LIM Component | International recruitment.<br>5 by 1 month inputs over Years 1 to 3. |
| Geodetic Survey Specialist       | 1             | LIM Component | International recruitment.<br>1 month early in Year 2.               |
| Valuation Specialist             | 7             | LIM Component | International recruitment.<br>7 by 1 month inputs over Years 1 to 2. |
| IT Strategic Planner             | 2 weeks       | LIM Component | International recruitment.<br>2 weeks early in Year 1.               |
| IT Analyst/ Designer             | 4             | LIM Component | International recruitment.<br>4 by 1 month inputs over Years 1 to 2. |

## RFT Part 3 – Draft Scope of Services

| Position                    | Person Months      | Alignment     | Comments                                                                    |
|-----------------------------|--------------------|---------------|-----------------------------------------------------------------------------|
| IT Programmer               | 4                  | LIM Component | International recruitment.<br>4 by 1 month inputs over Years 1 to 2.        |
| Contracted data entry staff | 36                 |               | National recruitment<br>36 months of inputs over first 18 months of Program |
| <b>Total Table 4</b>        | <b>87.5 months</b> |               |                                                                             |

### Inputs – Funds

8.11 The Contractor is to manage the funds provided for the following purposes:

**Table 5 - Table of Funds**

| Title                                                              | Amount AUD       | Alignment | Comments                                                                                                                                                                                                                                                                                           |
|--------------------------------------------------------------------|------------------|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part A</b>                                                      |                  |           |                                                                                                                                                                                                                                                                                                    |
| Customary Land Study and Research Fund                             | 450,000          | Part A    | Up to AUD100,000 per year. Administered and managed by the Vanuatu Cultural Centre. See AusAID PDD Section 2.3.                                                                                                                                                                                    |
| Public Awareness Information Program and Gender Mainstreaming Fund | 135,000          | Part A    | Commencing in 2 <sup>nd</sup> Quarter of Implementation.<br>Up to AUD20,000 per year. Planned and managed by the Vanuatu Cultural Centre. See AusAID PDD Section 2.3<br>Up to AUD10,000 per year for initiatives identified and coordinated by the Gender Focal Point. See AusAID PDD Section 2.3. |
| Professional Land Services Support Fund                            | 562,500          | Part A    | Up to AUD25,000 per province per year commencing FY 2010/11. Managed by the Contractor. See AusAID PDD Section 2.3                                                                                                                                                                                 |
| <b>Total Part A</b>                                                | <b>1,147,500</b> |           |                                                                                                                                                                                                                                                                                                    |

8.12 The Contractor is to establish an Imprest Account to manage these funds.

8.13 The Contractor is responsible for developing the Imprest Account Manual in accordance with Clause 13 (Imprest Account) of Part A Project Specific Conditions in consultation with relevant counterparts managing the funds and payments.

8.14 For each Fund, the Contractor is to assist the relevant partner to prepare and approve a procedures manual for operation and the utilisation of the funds for the following:

### Customary Land Study and Research Fund

- (a) The Contractor is to establish and provide management support for a Customary Land Study and Research Grant Fund. The fund's purpose is to provide grants that support national and local studies to improve the understanding, documentation and legal recognition of *kastom* land practices. Up to AUD100,000 per year for five (5) years will be provided. The majority of studies should be associated with



the Vanuatu Cultural Centre, but preference will be given to partnerships, especially with other national or Pacific regional groups. The recommended annual plan and budget will be approved by a Program Management Committee as part of the Program's annual planning process.

- (b) The Customary Land Study and Research Grant Fund will be managed by the Vanuatu Cultural Centre, but overseen by a committee consisting of the Program Director, as well as representatives of the Vanuatu Cultural Centre, the Malvatumauri, and academe.

### **Public Awareness Information Program and Gender Mainstreaming Fund**

- (c) The Vanuatu Cultural Centre will also receive funding for a **Public Awareness and Information Program** (up to AUD20,000/annum). This program, to be developed with the support of Program advisers, will need to be approved by the Program Management Committee at the same time as the Program Annual Plan. This awareness program is vital for promoting the values and contribution of *kastom* land practices within the context of Vanuatu culture and development. In particular, the awareness program will promote the outcomes of Customary Land Study and Research Grants, as well as other priority messages. The Vanuatu Cultural Centre public awareness team will implement the enhanced awareness program, which must complement and not substitute for current funding by the Vanuatu Cultural Centre. Funding will be provided by the Contractor from the Imprest Account in small reimbursable tranches based on the approved Annual Awareness Plan.
- (d) The Program includes funding for a **Gender Mainstreaming Program** within the Malvatumauri and other customary groups (up to AUD10,000 per annum). This will be supported by appointing a Gender Focal Point within the Department of Lands. While the Gender Focal Point will work across the whole Program, s/he will specifically conduct gender awareness training within the Malvatumauri, and will also work with the National Kastom Land Officer, the Vanuatu Cultural Centre, the Department of Women's Affairs to ensure gender issues are appropriately integrated into the overall Program. It is hoped that through this support the Malvatumauri will, within the five (5) years of the Program, develop and agree on a Kastom Gender Policy that emphasises and protects the interests of women in *kastom* land dealings.

### **Professional Land Services Support Fund**

- (e) The Program will provide up to AUD25,000 per office (six (6) offices) per year for a 'competitive' Professional Land Services Support Fund. This will facilitate a suite of service support at the local level, addressing whatever major priority needs have been identified by the communities themselves (e.g. documentation of customary land practices, boundary mapping etc). Priorities will be selected locally, but approved nationally. The Professional Land Services Support Fund will respond to requests generated by the Kastom Focal Point officers and endorsed by the National Kastom Land Officer.
- (f) The Contractor is to support the training and capacity building of the Kastom Focal Point network. It will also assist with the development, management and

oversight of their annual programs. Annual programs will be consolidated nationally by the Kastom Land Office and endorsed by the Malvatumauri before being submitted to the Program Management Committee for approval as part of the annual planning process.

### **Outputs and Milestones**

- 8.15 The Contractor is to deliver the Outputs identified in the AusAID Project Design Document (PDD) and NZAID Activity Design Document (ADD). These will be planned and delivered under the Annual Plans. Indicative outputs are shown as follows:
- (a) Part A For a list of possible outputs envisioned by the AusAID design team, see AusAID PDD Annex 9 – Basis of Payment – Attachment 1; and
  - (b) Part B See NZAID ADD Annex 2 – Indicative Workplan.
- 8.16 Some outputs are also identified as Milestones which are used for the purposes of making milestone payments under Schedule 2 – Basis of Payment. Conditions for payment are specified in Schedule 2.
- 8.17 Milestones are shown at Schedule 1 Annex A and the Appendices to Annex A.
- 8.18 Milestones for each year are to be specified and agreed under the Rolling Annual Plan process.

## **9. REPORTING REQUIREMENTS**

- 9.1 Unless otherwise specified, the Contractor is to provide hardcopy Program reports to:
- (a) Director General Department of Lands;
  - (b) Director General Department of Justice;
  - (c) AusAID;
  - (d) NZAID; and
  - (e) Vanuatu Land Program Management Committee; and
- an electronic copy compatible with Microsoft Office 2003 sent to whom the VLPMC (Specify)
- 9.2 The Contractor must also provide the following reports by the date, in the format and the number of copies indicated:
- (a) The Contractor will provide the following reports:
    - (i) Six (6) monthly Progress Reports and Acquittals as follows:
      - a. Five (5) signed hardcopies and an electronic copy compatible with Microsoft Office 2003.

- b. One (1) per year (with the second being a more detailed Annual Report described in Clause 9.2(iv)) as at 30 September and due on 15 October each year.
- c. A report for each six (6) months that is brief and in a format and page length agreed by AusAID. It should provide key facts, the necessary analysis and conclusions, and exception reporting in relation to a relevant annual plan or design document. If relevant, it could include the outcomes of monitoring and evaluation activities and management of significant and/or emerging risks.
- d. Details that are solely of a contractual nature such as acquittals and utilisation of resources should be provided as a separate annex for AusAID and NZAID. (See Annex E to this Schedule 1).

(ii) Inception Plan

Guidance on the Inception Plan is given in the AusAID PDD Annex 8 Section I. It should also broadly follow the format of the Annual Plan. (See Annex F to this Schedule 1).

The Inception Plan is to cover the period up to 31 March 2011. It should provide:

- a. an update on the situation since the designs were completed;
- b. the way forward to establish and operationalise the Program including the key issue of recruiting and mobilising staff;
- c. identified priorities for the Inception Period;
- d. planned dates for key events (such as MOAs with key stakeholders and meetings) in the Inception Period; and
- e. a budget for the activities to be undertaken in this period;
- f. Five (5) signed hardcopies and an electronic copy compatible with Microsoft Office 2003.
- g. Due on date (specify) – approx end of Month 1 (in 2010).

(iii) A Risk Management Plan

- i. A detailed Risk Management Plan to be provided by 30 August 2010 that must identify:
  - a. all risks that can be reasonably anticipated;
  - b. the level of probability of the risk eventuating;
  - c. the impact on the project if the risk eventuates along with possible options for ameliorating the risk;

### **RFT Part 3 – Draft Scope of Services**

- d. the entity(s) responsible for managing the risk consistent with the PDD/ADD; and
- e. the approach to be taken to mitigate any impact.
- ii. The Risk Management Plan is to be updated annually by the Contractor and the updated Plan provided by 31 March each year with the Annual Plan.
- (iv) Annual Reports
  - a. Five (5) signed hardcopies and an electronic copy compatible with Microsoft Office 2003 due on 31 March each year.
  - b. A report for each program year in a format agreed by AusAID. It should provide key facts, the necessary analysis and conclusions, and exception reporting in relation to the relevant annual plan or design document. It is to include expenditure of funds or resources provided for Program implementation. It is to include the outcomes of monitoring and evaluation activities and management of significant and/ or emerging risks. (See Guidance at Annex F to this Schedule 1).
- (v) Annual Plans
  - a. Five (5) signed hardcopies and an electronic copy compatible with Microsoft Office 2003 due on 31 March each year.
  - b. See Guidance at Annex F of this Schedule.
  - c. The Annual Plan is to provide sufficient detail to facilitate a Contract Amendment describing milestones for the period and realignment of resources if this is necessary.
- (vi) Effectiveness Review Group (ERG) Brief
  - a. One (1) signed hardcopy and an electronic copy compatible with Microsoft Office 2003.
  - b. There is no brief due for the first visit but after the first visit of the ERG, it is due one (1) month before arrival of ERG for subsequent visits.
- (vii) Program Completion Report
  - a. One (1) signed hardcopy and an electronic copy compatible with Microsoft Office 2003 due on date (specify).
  - b. A Program Completion Report to be submitted to AusAID and the Vanuatu Land Program Management Committee no later than three (3) months prior to the conclusion of the program, prepared consistent with the prevailing AusAID guidance of “Aid Activity Completion Reports” (to be provided by AusAID).

**9.3 All reports must:**

- (a) Be provided in accordance with the specification **Clause 16** (Reports), Part B Standard Contract Conditions;
- (b) be accurate and not misleading in any respect;
- (c) be prepared as directed in writing by AusAID;
- (d) allow AusAID to properly assess progress under the Contract;
- (e) be provided in the format, number and on the media approved or requested by AusAID;
- (f) not incorporate either the AusAID or the Contractor's logo;
- (g) be provided at the time specified in this Schedule; and
- (h) incorporate sufficient information to allow AusAID to monitor and assess the success of the Services in achieving the objectives of AusAID's Gender and Development Policy.

**Annexes:**

- A. Summary Milestone Table
- B. Part A Program Procurements
- C. Part B Program Procurements
- D. Guidance on Reporting Concerning Use of Resources
- E. Guidance on Format for Annual Plan and Annual Report
- F. AusAID Project Design Document
- G. NZAID Activity Design Document

[Tenderers to note: Annexes F and G are attached to this RFT as separate documents but will be incorporated into the final Contract.]

**ANNEX A**

**SUMMARY MILESTONE TABLE**

Milestones are defined in the Appendices to this Annex A.

Appendices:

1. Milestones for Inception Period
2. Milestones for Later Periods (to be amended after approval of each Annual Plan)

## MILESTONES FOR INCEPTION PERIOD

**Table A-1 - Table of Milestones for Inception Period**

| <b>Milestone</b> | <b>Description</b>                                                                           | <b>Due</b>        | <b>Alignment</b>     | <b>Comments</b>                                                                                                                  |
|------------------|----------------------------------------------------------------------------------------------|-------------------|----------------------|----------------------------------------------------------------------------------------------------------------------------------|
| 1                | Inception Plan for Inception Period                                                          | End July 2010     | Part A and B         | To be confirmed but likely to be for period to 31 March 2011.                                                                    |
| 2                | IT Strategy                                                                                  | End of Aug 2010   | Part B Component 2.1 |                                                                                                                                  |
| 3                | Imprest Account Operations Manual                                                            | End Sept 2010     | Part A               |                                                                                                                                  |
| 4                | Program Team operational                                                                     | End Sept 2010     | Part A and B         | All <u>due</u> international long term staff deployed and 75% of <u>due</u> national long term staff engaged and commenced work. |
| 5                | Improved Valuation Services Standards and procedures developed and commenced implementation. | End of Jan 2011   | Part B Component 2.3 |                                                                                                                                  |
| 6                | Annual Report and Annual Plan                                                                | End of March 2011 | Part A and B         | For period 1 April 2011 to 31 March 2012.                                                                                        |

### **MILESTONES FOR LATER PERIODS**

These Milestones will be determined from the Annual Rolling Plans.

- Year 1    period from Contract start date to 31 Mar 2011
- Year 2    period from 1 Apr 2011 to 31 Mar 2012
- Year 3    period from 1 Apr 2012 to 31 Mar 2013
- Year 4    period from 1 Apr 2013 to 31 Mar 2014
- Year 5    period from 1 Apr 2014 to Contract end date



## ANNEX B

## PART A PROGRAM PROCUREMENTS

## Part A Procurements

Table C – 1 below provides an indicative list of Program procurements of goods and services required under Part A:

Table C-1

| Item                                        | Number of units  | Comments                                    |
|---------------------------------------------|------------------|---------------------------------------------|
| Laptop/ Computer suite                      | 29<br>See Note 1 |                                             |
| Vehicle                                     | 9<br>See Note 2  |                                             |
| Land registry information system            | 1                |                                             |
| Land Lease Information System               | 1                |                                             |
| Luganville Nodal System                     | 1                |                                             |
| Customary land and Training Workshops       |                  | Component 1<br>(Comp 1)                     |
| Specialist Training Workshops               |                  | Comp 1<br>Comp 2                            |
| Meetings Venue Hire and food etc            |                  | Comp 1<br>Comp 2<br>Comp 3                  |
| Workshop and Training materials             |                  | Comp 1<br>Comp 2<br>Comp 3                  |
| Provincial Governance Workshops             |                  | Comp 2                                      |
| Awareness Workshops (area/ island councils) |                  | Comp 2                                      |
| Multi-Stakeholder Participatory Workshops   |                  | Comp 2                                      |
| International Study Tours (Australia)       | 4                | Comp 2<br>Comp 3                            |
| Regional Study Tours (Pacific Land Program) | 2                | Comp 2                                      |
| Land Registry Training Package              |                  | Comp 3                                      |
| Zoning and Development Training Package     |                  | Comp 3                                      |
| Organisational Training Package             |                  | Comp 3                                      |
|                                             | Total            | See Schedule 2 Annex C for detail of costs. |

**Note 1:** The laptop/ PC suite includes the computer, surge protector, printer and software. It is expected there will be a need for an initial allocation of 15 and then a replacement buy of 14 if necessary during the Program. Laptop / PCs are anticipated for:

**Table C-2: PCs Table**

|                                                          | <i>Initial</i> | <i>Replacement at 2-3 years and/or repair</i> |
|----------------------------------------------------------|----------------|-----------------------------------------------|
| Customary Land Adviser (International)                   | 1              | 1                                             |
| National Kastom Land Officer                             | 1              | 1                                             |
| Local Kastom Land Officer (local - 6 positions)          | 6              | 6                                             |
| Administrative Support to Kastom Land Office             | 1              | 1                                             |
| Program Director/Land Governance Adviser (International) | 1              | 1                                             |
| Participatory Governance Facilitators (National)         | 2              | 2                                             |
| M&E Adviser (National)                                   | 1              | 1                                             |
| Awareness Program                                        | 1              | 1                                             |
| Visiting Advisers                                        | 1              |                                               |
| <b>Total</b>                                             | <b>15</b>      | <b>14</b>                                     |

**Note 2:** Vehicles should be suitable for the duration of the Program. Distribution of vehicles is anticipated as follows:

**Vehicle Table**

|                                                          | <i>Initial</i> |
|----------------------------------------------------------|----------------|
| National Kastom Land Office                              | 1              |
| Local Kastom Land Offices ( 6 offices)                   | 6              |
| Vanuatu Land Office (Main Office and Consultant vehicle) | 1              |
| Land Lease and Land Registry team Vehicle                | 1              |
| <b>Total</b>                                             | <b>9</b>       |

## ANNEX C

## PART B PROGRAM PROCUREMENTS

## Part B Procurements

Table D-1: Table of Procurements

| Item Description                                 | Quantity | Comments                               |
|--------------------------------------------------|----------|----------------------------------------|
| <b>Component 1</b>                               |          |                                        |
| <b>Sub-Component 1.1</b>                         |          |                                        |
| Travel costs for public consultation             | 6        | Visit to each province                 |
| Travel costs to discuss draft legislation        | 6        |                                        |
| Mediation "training of trainers" course          | 1        | 2 weeks training for 25 people         |
| Mediation training                               | 25       | 25 courses for up to 200 people        |
| Preparation materials                            | 1        |                                        |
| <b>Sub-Component 1.2</b>                         |          |                                        |
| Booklet production                               | 1        |                                        |
| DVD production                                   | 1        |                                        |
| Public awareness - Print, radio                  | 3        | Per annum                              |
| Internet based awareness-web site                | 1        |                                        |
| Public awareness-telephone - Digicel and Telecom | 3        | Per annum                              |
| Wan Smolbag                                      | 2        | Preparation of theatre                 |
| Public awareness workshops                       | 40       |                                        |
| Women's workshops                                | 40       | Held after Public Aware workshops      |
| Training of tribunal members                     | 20       |                                        |
| Training of Area Council secretaries etc         | 15       |                                        |
| Consumables                                      | 3        | per annum                              |
| <b>Sub-Component 1.3</b>                         |          |                                        |
| Laptop computer                                  | 2        | <i>Tenderer to specify cost in bid</i> |
| 5 x PC's for CLU                                 | 5        | <i>Tenderer to specify cost in bid</i> |
| Printers                                         | 2        | <i>Tenderer to specify cost in bid</i> |
| Scanner A4                                       | 1        | <i>Tenderer to specify cost in bid</i> |
| TV                                               | 2        | <i>Tenderer to specify cost in bid</i> |
| DVD player                                       | 2        | <i>Tenderer to specify cost in bid</i> |
| Filing cabinets                                  | 4        | <i>Tenderer to specify cost in bid</i> |
| Digital camera                                   | 2        | <i>Tenderer to specify cost in bid</i> |
| O/H Projector                                    | 1        | <i>Tenderer to specify cost in bid</i> |
| Desks and chairs                                 | 4        | <i>Tenderer to specify cost in bid</i> |
| Whiteboards                                      | 3        | <i>Tenderer to specify cost in bid</i> |
| Provincial travel                                | 18       | 6 trips per annum                      |
| Consumables                                      | n/a      | per annum                              |
| Overseas conference/meeting                      | 3        | per annum                              |
| Visit to Fiji to study customary activities      | 1        |                                        |
| Visit to NZ to study Maori custom issues         | 1        |                                        |

| Item Description                                 | Quantity | Comments                                     |
|--------------------------------------------------|----------|----------------------------------------------|
|                                                  |          |                                              |
| <b>Component 2</b>                               |          |                                              |
| <b>Hardware/Software</b>                         |          |                                              |
| PC's                                             | 6        | <i>Tenderer to specify cost in bid</i>       |
| Tables and Chairs                                | 3        | <i>Tenderer to specify cost in bid</i>       |
| A3 Scanner (survey plans)                        | 1        | <i>Tenderer to specify cost in bid</i>       |
| A4 Scanner (Geo Docs)                            | 1        | <i>Tenderer to specify cost in bid</i>       |
| ArcGIS x 2                                       | 2        |                                              |
| Maintenance                                      | 3        | Per annum                                    |
| MapInfo x 2                                      | 2        |                                              |
| Maintenance                                      | 3        | Per annum                                    |
| ProVec                                           | 1        |                                              |
| Zan                                              | 1        |                                              |
| Web-base Dev tools                               | 1        |                                              |
| Consumerables @ \$5,000 per year                 | n/a      |                                              |
| Upgrade Server                                   | 1        |                                              |
|                                                  |          |                                              |
| <b>MISC</b>                                      |          |                                              |
| Study Trip - Survey, Mapping and Valuation to NZ | 3        |                                              |
| Regional Conferences                             | 3        |                                              |
| ArcGIS - refresher training                      | 1        |                                              |
| MapInfo - refresher training                     | 1        |                                              |
|                                                  |          |                                              |
| <b>TOTAL</b>                                     |          | See Schedule 2 Annex D for details of costs. |

**ANNEX D**

**Guidance on Reporting Concerning Use of Resources**

1. The purpose of this reporting is to monitor the use of resources and confirm expenditure is tracking as planned and identify if there are possible shortfalls that need to be managed.
2. The following information should be provided for the period (as appropriate six (6) months or twelve (12) months):
  - a. Utilisation of Long Term Adviser Inputs:
    - i. During the last period;
    - ii. Since the start of the contract;
    - iii. Inputs remaining; and
    - iv. For Part A and Part B.
  - b. Utilisation of Short Term Adviser Inputs:
    - i. During the last period;
    - ii. Since the start of the contract;
    - iii. Inputs remaining; and
    - iv. For Part A and Part B.
  - c. Utilisation of Funds for Procurements:
    - i. During the last period;
    - ii. Since the start of the contract;
    - iii. Inputs remaining; and
    - iv. For Part A and Part B.
  - d. Utilisation of the Imprest Account Funds:
    - i. During the last period;
    - ii. Since the start of the contract;
    - iii. Inputs remaining; and
    - iv. For each specified purpose.

## ANNEX E

**Guidance on Format for Annual Plan and Annual Report**

AusGuide preparing an Annual Plan can be found at:

<http://www.ausaid.gov.au/ausguide/pdf/ausguideline4.4.pdf>

1. The format for the Annual Plan and Annual Report is to be proposed by the Contractor and developed through consultation with AusAID on behalf of NZAID and the Government of Vanuatu.
2. The Annual Plan and Annual Report are to be revised based on feedback coordinated by AusAID.

**Annual Plan**

3. The Annual Plan will be based on AusAID requirements as outlined in AusGuide and will include:
  - a. The strategic approach to be used for the year;
  - b. Proposed activities under each objective of the Program;
  - c. Proposed Milestones for payment (four to six) selected from outputs and activities and characterized as representing strategic steps towards achieving the Program Objectives;
  - d. Anticipated inputs by long-term advisers as well as proposed inputs from the Short-term Consultancy Pool. Short-term Consultancy Pool inputs should include a TOR for each engagement;
  - e. Proposed annual budget for the Program as negotiated with AusAID;
  - f. An updated Risk Management Matrix;
  - g. A summary of updates made to the Program Gender Strategy;
  - h. A summary of updates made to the Conflict Management Strategy; and
  - i. A summary of updates made to the M&E Framework.

**Annual Report**

4. An Annual Report (that will be submitted at the same time as the Annual Plan). The Contractor must ensure that reporting focuses on the quality and scope of the Contractor contribution to the Program and is no more than 10 pages in length plus supporting annexes, is streamlined and appropriately pitched to meet the expectations of both AusAID (and NZAID) and the Government of Vanuatu, and feeds directly into aid effectiveness review processes. It should include:
  - a. A summary of key issues and lessons learned;

- b. Outline of key conflict management issues arising during the year;
- c. Self-assessment of ISP performance in each of the core Contractor service areas, based on verifiable evidence wherever possible, and with analysis linked to the M&E Framework;
- d. A summary of the status of inputs provided by the Contractor, tracking against the requirements under this Contract;
- e. An Annex that provides a financial summary of the Program for the year and over the life of the program to date;
- f. An Annex that outlines all technical reports produced; and
- g. An Annex that summarises public awareness activities undertaken.

**PART 4 – DRAFT BASIS OF PAYMENT**

**MAMA GRAON – VANUATU LAND PROGRAM**

**Note to Tenderers:** This **Part 4** of the RFT will appear as Schedule 2 of the consolidated Contract.



**SCHEDULE 2 – DRAFT BASIS OF PAYMENT**

**MAMA GRAON - VANUATU LAND PROGRAM**

**1. TOTAL AMOUNT**

- 1.1 The total amount payable by AusAID to the Contractor shall not exceed the sum of **AUDXX** plus GST, if any to a maximum of **AUDXX** *[no more than 10% of the Total Amount]*.
- 1.2 AusAID shall not be liable for any Costs or expenditure incurred by the Contractor in excess of this amount.

**2. COMPONENTS**

- 2.1 The total amount payable consists of the following components:
- (a) Long Term Technical Assistance (LTTA) Costs (in accordance with **Clause 3** below);
  - (b) Short Term Technical Assistance (STTA) Costs (in accordance with **Clause 4** below);
  - (c) Reimbursable Costs (in accordance with **Clause 5** below);
  - (d) Management Fee (in accordance with **Clause 6** below); and
  - (e) Funds for Specific Purposes managed through an Imprest Account (in accordance with **Clause 7** below).
- 2.2 Payment of the above costs will be made as indicated below:
- (a) Regular Payments – Reimbursed quarterly, consisting of:
    - i. Long Term Technical Assistance (LTTA) Costs;
    - ii. Short Term Technical Assistance (STTA) Costs; and
    - iii. Reimbursable Costs.
  - (b) Replenishing of the Imprest Account on a quarterly basis in advance following:
    - i. acquittal of previous funds and
    - ii. submission of a budget for the quarter about to start.
  - (c) Milestone Payments for Management Fees (in accordance with **Clause 8** below).
- 2.3 All the above costs are fully inclusive of all escalators, including foreign exchange rate variations or other price risks as per the Contractor's tender for the award of this Contract. AusAID will not accept any escalators subsequently applied to any rates or Project costs.

**3. LONG TERM TECHNICAL ASSISTANCE (LTТА) COSTS**

- 3.1 The total amount payable in Long Term Technical Assistance Costs by AusAID to the Contractor shall not exceed **AUDXX** plus GST if any to a maximum of **AUDXX**.
- 3.2 Long Term Technical Assistance Costs will be reimbursed quarterly in arrears subject to receipt of a correctly rendered tax invoice.
- 3.3 The monthly fee rate for Long Term Technical Assistance is based on a calendar month or 20 working days per month (when fee is required to be pro-rated to a daily basis, such as at the start or end of the Contract).
- 3.4 Long Term Technical Assistance Costs are inclusive of:
- (a) salary and allowances;
  - (b) recruitment (advertising/selection) costs;
  - (c) any induction and ongoing learning and development costs;
  - (d) all mobilisation/demobilisation costs, that is costs incurred at the time of Specified Personnel mobilisation and demobilisation including any necessary, briefings, medical clearances and inoculations, uplift of effects, storage, and passport and visa costs; and
  - (e) accommodation, security and utilities costs;
  - (f) personnel related taxes, insurances and levies;
  - (g) superannuation levy, if any, as appropriate; and
  - (h) annual leave allowances.

but exclusive of:

- (i) all profit and management overheads.
- 3.5 Long Term Technical Assistance Costs, for long term international and long term national staff will be paid in accordance with the Basis of Payment Schedule 2 – Annex A (Table 1 and Table 2).

**4. SHORT TERM TECHNICAL ASSISTANCE (STТА) COSTS**

- 4.1 The total amount payable in Short Term Technical Assistance Costs by AusAID to the Contractor shall not exceed **AUDXX** plus GST if any to a maximum of **AUDXX**.
- 4.2 Short Term Technical Assistance Costs are comprised of two components which are:
- (a) Part A up to **AUD2,450,500** (about 84 person months) plus any GST; and
  - (b) Part B up to **AUD2,595,900** (about 95.5 person months) plus any GST.

## **RFT Part 4 – Draft Basis of Payment**

- 4.3 Short Term Technical Assistance Costs, for approved positions, will be reimbursed quarterly in arrears subject to receipt of a correctly rendered tax invoice.
- 4.4 The daily fee rate for STTA in-country is based on an eight hour working day. Any additional work undertaken within a 24 hour period cannot be claimed. Fees for part days worked shall be calculated pro-rata based on an eight (8) hour day.
- 4.5 Short Term Technical Assistance Costs are inclusive of:
- (a) Salary and allowances;
  - (b) International travel and allowances and Program travel and allowances in Vanuatu as per guidance specified at **Clause 5.4 below**;
  - (c) recruitment (advertising/selection) costs;
  - (d) any induction and ongoing learning and development costs;
  - (e) personnel related taxes, insurances and levies incurred for work travel; and
  - (f) superannuation levy, if any, as appropriate.

but exclusive of:

- (g) all profit and management overheads.
- 4.6 Payment for allowances will be limited to rates/ amounts and matters assessed by AusAID as reasonable.

### **5. REIMBURSABLE COSTS**

- 5.1 The total amount payable by AusAID to the Contractor for Reimbursable Costs shall not exceed **AUDXX** plus GST if any to a maximum amount of **AUDXX**.
- 5.2 Reimbursable Costs are comprised of the following components:
- (a) Travel Costs up to AUDXXX plus any GST; and
  - (b) Procurement Costs up to (**AUD2,891,800** plus AUDXXX) plus any GST comprised of:
    - i. Part A Procurement Costs up to (**AUD2,271,800** plus AUDXXX) (see Schedule 2 – Basis of Payment – Annex C) plus any GST; and
    - ii. Part B Procurement Costs up to (**AUD620,000** plus AUDXXX) (see Schedule 2 – Basis of Payment – Annex D) plus any GST.

**Travel**

- 5.3 The items listed in **Clause 5.4 below** for Long Term Technical Assistance Program related international travel will be reimbursed on a quarterly basis. Travel for Short Term Technical Assistance is covered under **Clause 4.5 above**.
- 5.4 The Contractor shall be reimbursed on the basis of the actual cost incurred for the following items identified as reimbursable, up to the specified limit, within thirty (30) days of AusAID's receipt of a correctly rendered invoice:
- (a) **Airfares:** reimbursed at economy class for each flight sector of four (4) hours or less and business class for each flight sector greater than four (4) hours duration. Any travel undertaken at cheaper rates (e.g. discount fares) does not entitle the Contractor to reimbursement of the cost of any higher class of travel. Travel must be via the most direct and cost effective route. A 'flight sector' means from any one flight departure point to any one flight landing point;
  - (b) **Compulsory Arrival and Departure Taxes, and Travel to and from Airport (e.g. taxis):** reimbursed at reasonable cost;
  - (c) **Hotel Accommodation:** reimbursed at lesser of actual cost incurred or **AUDXX**, per 24 hour period;
  - (d) **Travelling Allowance:** An agreed daily rate of **AUDXX** towards the costs of meals and incidentals; and
  - (e) **Transport Costs:** reasonable costs directly relating to the Services and for overseas Project purposes only.
- 5.5 Mobilisation and demobilisation of Long Term Technical Assistance is included in the Long Term Technical Assistance Costs detailed in **Clause 3 above**.

**Program Procurement**

- 5.6 The Contractor shall be reimbursed on the basis of the actual cost incurred for Program Procurement and related freight costs if any up to the specified limit, within thirty (30) days of AusAID's receipt of a correctly rendered invoice:
- 5.7 An indicative list of items covered by Program Procurement is shown at Schedule 1 – Scope of Services – Annex C (Part A) and Annex D (Part B).
6. **MANAGEMENT FEE**
- 6.1 The total amount payable in Management Fees shall not exceed **AUDXX** plus GST if any to a maximum of **AUDXX**.
- 6.2 The Management Fee is fixed for the life of the Program and include the following costs:
- (a) Program office set up and management costs including utilities such as telephone, IT services, water, power and sewerage where these are not provided by the Government of Vanuatu for:

- (i) Program head office; and
- (ii) Program offices (if any) required outside the head office such as in other locations in Port Vila and in the provinces;
- (b) all high level management and support costs for Personnel;
- (c) all costs relating to the support and management of the Program including cost of any Contractor administrative and local office staff;
- (d) vehicle costs for in-country Personnel;
- (e) taxation (other than Personnel related taxation which is covered at **Clauses 3.4 and 4.5 above**) as applicable
- (f) insurances (other than Personnel related insurance which is covered at **Clauses 3.4 and 4.5 above**);
- (g) all domestic and international communication costs;
- (h) all corporate and other overheads;
- (i) financing costs and financial management costs including those related to the Imprest Account;
- (j) Contractor local office costs if a separate office is required from the Program head office;
- (k) security costs;
- (l) procurement costs and those associated with subcontracting;
- (m) costs of complying with reporting obligations under this Contract;
- (n) other management overheads; and
- (o) profit.

6.3 Payment of the Management Costs provided in **Table 1 below** will be made in accordance with **Clause 8 below**.

**Table 1: Management Costs**

| <b>Period</b>                   | <b>Amount - AUD</b> | <b>Comment</b>                     |
|---------------------------------|---------------------|------------------------------------|
| Contract Start to 31 March 2011 | Amount              | Also called the “Inception Period” |
| 1 April 2011 to 31 March 2012   | Amount              | Year 1                             |
| 1 April 2012 to 31 March 2013   | Amount              | Year 2                             |
| 1 April 2013 to 31 March 2014   | Amount              | Year 3                             |
| 1 April 2014 to 31 March 2015   | Amount              | Year 4                             |
| 1 April 2015 to Contract End    | Amount              | Year 5                             |
| <b>Total</b>                    | <b>Amount</b>       |                                    |

## 7. FUNDS FOR SPECIFIC PURPOSES AND IMPREST ACCOUNT

- 7.1 The total amount payable for Specific Purposes through the Imprest Account shall not exceed **AUD1,147,500** plus GST if any to a maximum of **AUD114,750**.
- 7.2 Funds in the Imprest Account can only be used for the purposes described in this **Clause 7 below** and in accordance with the approved Imprest Account Manual. The funds will not be reallocated for any other purpose.
- 7.3 The total amount payable to the Imprest Account is comprised of the following components which are described more fully in the Scope of Services Schedule 1. They are:
- (a) Customary Land Study and Research Fund up to **AUD450,000** plus GST if any;
  - (b) Public Awareness Information Program and Gender Mainstreaming Fund up to **AUD135,000** plus GST if any; and
  - (c) Professional Land Services Support Fund up to **AUD562,500** plus GST if any.
- 7.4 Each quarter, the Contractor is to acquit funds provided for the Imprest Account.
- 7.5 The Contractor is to invoice quarterly in advance for replenishment of the Imprest Account. **Table 2** below provides indicative amounts per quarter for the Imprest Account:

**Table 2: Indicative Quarterly Tranches for the Imprest Account Replenishment**

| Year        | Q1<br>AUD | Q2<br>AUD | Q3<br>AUD | Q4<br>AUD    | Amount<br>AUD    |
|-------------|-----------|-----------|-----------|--------------|------------------|
| <b>2010</b> | -         | -         | Amount    | Amount       | Sub Total        |
| <b>2011</b> | Amount    | Amount    | Amount    | Amount       | Sub Total        |
| <b>2012</b> | Amount    | Amount    | Amount    | Amount       | Sub Total        |
| <b>2013</b> | Amount    | Amount    | Amount    | Amount       | Sub Total        |
| <b>2014</b> | Amount    | Amount    | Amount    | Amount       | Sub Total        |
| <b>2015</b> | Amount    | Amount    | -         | -            | Sub Total        |
|             |           |           |           | <b>Total</b> | <b>1,147,500</b> |

- 7.6 Any costs incurred by the Contractor in managing the Imprest Account are included under the Management Fee (see **Clause 6 above**).

## 8. MILESTONE PAYMENTS

- 8.1 The Management Fee specified in **Clause 6 above** payable to the Contractor will be paid progressively, within thirty (30) days of AusAID's acceptance of the satisfactory

completion of identified Milestones and a correctly rendered invoice, in accordance with Schedule 2 Annex B.

- 8.2 After the Inception Period (ending 31 March 2011), Milestones for the next and subsequent 12 months (or period) shall be specified in a Contract Amendment based on an approved Rolling Annual Plan.
- 8.3 Where a Milestone Payment is to follow acceptance of a report, AusAID shall not be obliged to make payment until all of the outputs to be achieved by the Contractor in the period covered by the report have been achieved.
- 8.4 It is AusAID corporate practice to inform Contractors as soon as reasonably possible, and in any case within 30 days of receipt of notice of the completion of an identified output or provision of a report whether or not that output or report is accepted.

**9. LEAVE**

- 9.1 Leave accrued during the assignment for Specified Personnel shall be deemed to be taken in the year it falls due and cannot be accumulated or paid out.

**10. CLAIMS FOR PAYMENT**

- 10.1 The Contractor's tax invoice must be submitted when due pursuant to this **Schedule** in a form identifiable with the Services.
- 10.2 All tax invoices must include a certification by a Company director of the Contractor, or their delegate:
- (a) that the invoice has been correctly calculated; and
  - (b) that the Services included in it have been performed in accordance with the Contract.
- 10.3 All claims for payment must be **made out to:**
- Chief Finance Officer**  
Australian Agency for International Development  
GPO Box 887  
CANBERRA ACT 2601
- 10.4 Tax invoices should be sent to the above address. Alternatively, AusAID will accept electronic tax invoices. These can be sent to [accountsprocessing@ausaid.gov.au](mailto:accountsprocessing@ausaid.gov.au)
- 10.5 Invalid invoices will be returned to contractors. Information on what constitutes a valid tax invoice can be found at <http://www.ato.gov.au/businesses/content.asp?doc=/content/50913.htm>

**Annexure:**

- A. Long Term Technical Advisers (LTTA) – Rates
- B. Milestones
- C. Part A Program Procurements
- D. Part B Program Procurements

## Long Term Technical Advisers (LTTA) – Rates

Annex A Table 1: Long Term Technical Assistance Costs – International Staff

| Personnel                                                                                                | Monthly Rate<br>2010 and 2011<br>AUD | Monthly Rate<br>2012<br>AUD          | Monthly Rate<br>2013<br>AUD          | Monthly Rate<br>2014<br>AUD          | Monthly Rate<br>2015<br>AUD         | Total Cost<br>AUD                         |
|----------------------------------------------------------------------------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|-------------------------------------|-------------------------------------------|
| <b>(Program Director) insert<br/>either/or *</b>                                                         | 18 months<br>(Tenderer to<br>insert) | 12 months<br>(Tenderer to<br>insert) | 12 months<br>(Tenderer to<br>insert) | 12 months<br>(Tenderer to<br>insert) | 6 months<br>(Tenderer to<br>insert) | 60 months<br>(Tenderer to insert<br>Cost) |
| <b>International Indigenous<br/>Land Specialist or the<br/>Participatory Land<br/>Governance Adviser</b> | 18 months<br>(Tenderer to<br>insert) | 12 months<br>(Tenderer to<br>insert) | 12 months<br>(Tenderer to<br>insert) | 12 months<br>(Tenderer to<br>insert) | 6 months<br>(Tenderer to<br>insert) | 60 months<br>(Tenderer to insert<br>Cost) |
| <b>Land Registry Adviser</b>                                                                             | 9 months<br>(Tenderer to<br>insert)  | 9 months<br>(Tenderer to<br>insert)  |                                      |                                      |                                     | 18 months<br>(Tenderer to insert<br>Cost) |
| <b>Lease Processing Adviser</b>                                                                          | 6 months<br>(Tenderer to<br>insert)  | 6 months<br>(Tenderer to<br>insert)  |                                      |                                      |                                     | 12 months<br>(Tenderer to insert<br>Cost) |
| <b>Land Services Adviser</b>                                                                             |                                      | 6 months<br>(Tenderer to<br>insert)  | 12 months<br>(Tenderer to<br>insert) | 1 months<br>(Tenderer to<br>insert)) |                                     | 19 months<br>(Tenderer to insert<br>Cost) |
| <b>GIS Specialist</b>                                                                                    | 12 months<br>(Tenderer to<br>insert) | 2 months<br>(Tenderer to<br>insert)  | 2 months<br>(Tenderer to<br>insert)  |                                      |                                     | 16 months<br>(Tenderer to insert<br>Cost) |
|                                                                                                          |                                      |                                      |                                      |                                      | <b>Total</b>                        | (Tenderer to insert<br>Cost)              |

\* **Note:** The Program Director will also occupy the position of **either** the International Indigenous Land Specialist **or** the Participatory Land Governance Adviser. **This table will be finalised during contract negotiation with the preferred tenderer.**



Annex A Table 2: Long Term Technical Assistance Costs – National Staff

| Specified Personnel                                                  | Monthly Rate<br>2010 and<br>2011<br>AUD | Monthly Rate<br>2012<br>AUD | Monthly Rate<br>2013<br>AUD | Monthly Rate<br>2014<br>AUD | Monthly Rate<br>2015<br>AUD | TOTAL COST<br>AUD                 |
|----------------------------------------------------------------------|-----------------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------------|
| National Kastom Land Adviser                                         | 18 months x<br>Rate                     | 12 months x<br>Rate         | 12 months x<br>Rate         | 12 months x<br>Rate         | 6 months x<br>Rate          | 60 months                         |
| Administrative Officer<br>Supporting National Kastom<br>Land Adviser | 18 months x<br>Rate                     | 12 months x<br>Rate         | 12 months x<br>Rate         | 12 months x<br>Rate         | 6 months x<br>Rate          | 60 months                         |
| Local Kastom Land Officers<br>(x 6)                                  | 36 months x<br>Rate                     | 72 months x<br>Rate         | 72 months x<br>Rate         | 72 months x<br>Rate         | 36 months x<br>Rate         | (288 months or 48<br>months each) |
| M&E Adviser                                                          | 18 months x<br>Rate                     | 12 months x<br>Rate         | 12 months x<br>Rate         | 12 months x<br>Rate         | 6 months x<br>Rate          | 60 months                         |
| Participatory Governance<br>Facilitators (x 2)                       | 36 months x<br>Rate                     | 24 months x<br>Rate         | 24 months x<br>Rate         | 24 months x<br>Rate         | 12 months x<br>Rate         | (120 months or 60<br>months each) |
| Land Registry Support Staff<br>(x 5)                                 | 45 months x<br>Rate                     | 60 months x<br>Rate         | 21 months x<br>Rate         |                             |                             | 126 months                        |
| Gender Focal Point                                                   | 18 months x<br>Rate                     | 12 months x<br>Rate         | 12 months x<br>Rate         | 12 months x<br>Rate         | 6 months x<br>Rate          | 60 months                         |
| Public Awareness Adviser                                             | 15 months x<br>Rate                     | 3 months x<br>Rate          |                             |                             |                             | 18 months                         |
| National Mediation<br>Specialist                                     | 8 months x<br>Rate                      | 5 months x<br>Rate          |                             |                             |                             | 13 months                         |
| National Training Specialist                                         | 12 months x<br>Rate                     | 12 months x<br>Rate         | 6 months x<br>Rate          |                             |                             | 30 months                         |
| National Communication                                               | 12 months x                             | 3 months x                  |                             |                             |                             | 15 months                         |

**RFT Part 4 - Annex A to Draft Basis of Payment**

| <b>Specified Personnel</b>                               | <b>Monthly Rate<br/>2010 and<br/>2011<br/>AUD</b> | <b>Monthly Rate<br/>2012<br/>AUD</b> | <b>Monthly Rate<br/>2013<br/>AUD</b> | <b>Monthly Rate<br/>2014<br/>AUD</b> | <b>Monthly Rate<br/>2015<br/>AUD</b> | <b>TOTAL COST<br/>AUD</b> |
|----------------------------------------------------------|---------------------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|---------------------------|
| <b>Specialist</b>                                        | Rate                                              | Rate                                 |                                      |                                      |                                      |                           |
| <b>Customary Land Unit<br/>Technical Support Officer</b> | 15 months x<br>Rate                               | 9 months x<br>Rate                   |                                      |                                      |                                      | 24 months                 |
|                                                          |                                                   |                                      |                                      |                                      | <b>Total</b>                         |                           |

**Total for International Staff      (Annex A Table 1)    AUDXXX**

**Total for National Staff            (Annex A Table 2)    AUDXXX**

**Total                                    AUDXXX**

### **MILESTONES**

Milestones are specified in the following Annexes which will be amended each year following approval of the Annual Rolling Plan:

- Appendix 1 Milestones for the Inception Period (to 31 March 2011;
- Appendix 2 Milestones for 1 April 2011 to 31 March 2012 (to be issued)
- Appendices 3, 4, 5 and 6 (to be issued)

## Milestones for Inception Period (to 31 March 2011)

Annex B Table 1: Milestone Payments

| Milestone Number    | Item and associated Schedule 1 Part                                                          | Verifiable Indicators                                                                                                                             | Timing             | Amount AUD                               |
|---------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------------------------------------------|
| 1                   | Inception Plan for Inception Period                                                          | Submitted to PMC and accepted by AusAID.                                                                                                          | End of July10      | 15% of amount for period                 |
| 2                   | IT Strategy                                                                                  | Submitted to PMC and accepted by AusAID.                                                                                                          | End of August 2010 | 20%                                      |
| 3                   | Imprest Account Operations Manual                                                            | Submitted and accepted by AusAID.                                                                                                                 | End Sept 2010      | 10%                                      |
| 4                   | Program Team operational                                                                     | Report accepted by AusAID that all due international long term staff deployed and 75% of due national long term staff engaged and commenced work. | End Sept 2010      | 15%                                      |
| 5                   | Improved Valuation Services Standards and procedures developed and commenced implementation. | Procedures Manual submitted to PMC and accepted by AusAID.                                                                                        | End of Jan 2011    | 20%                                      |
| 6                   | Annual Report and Annual Plan                                                                | Submitted to PMC and accepted by AusAID.                                                                                                          | End of March 2011  | 20%                                      |
| <b>Total Amount</b> |                                                                                              |                                                                                                                                                   |                    | [=management costs for Inception period] |

Annex B Table 2: Milestones for 1 April 2011 to 31 March 2012

| <b>Milestone Number</b> | <b>Item and associated Schedule 1 Part</b> | <b>Verifiable Indicators</b> | <b>Timing</b> | <b>Amount AUD</b>                  |
|-------------------------|--------------------------------------------|------------------------------|---------------|------------------------------------|
| <b>6</b>                |                                            |                              |               |                                    |
| <b>7</b>                |                                            |                              |               |                                    |
| <b>8</b>                |                                            |                              |               |                                    |
| <b>9</b>                |                                            |                              |               |                                    |
| <b>10</b>               |                                            |                              |               |                                    |
| <b>Total Amount</b>     |                                            |                              |               | [= management costs for 12 months] |

**PART A PROGRAM PROCUREMENTS****Part A Procurements**

Table C – 1 below provides an indicative list of Program procurements of goods and services required under Part A:

**Table C-1: Indicative Cost of Program Procurements**

| <b>Item</b>                                 | <b>Number of units</b> | <b>Indicative Cost AUD</b> | <b>Comments</b>                                                                                 |
|---------------------------------------------|------------------------|----------------------------|-------------------------------------------------------------------------------------------------|
| Laptop/ Computer Suite                      | 29<br>See Note 1       | Amount                     | <i>Tenderer to specify cost for this item (refer Table 3: Procurement A: Part A of the RFT)</i> |
| Vehicle                                     | 9<br>See Note 2        | Amount                     | <i>Tenderer to specify cost for this item (refer Table 3: Procurement A: Part A of the RFT)</i> |
| Land Registry Information System            | 1                      | 170,000                    |                                                                                                 |
| Land Lease Information System               | 1                      | 200,000                    |                                                                                                 |
| Luganville Nodal System                     | 1                      | 70,000                     |                                                                                                 |
| Customary Land and Training Workshops       |                        | 75,000                     | Component 1<br>(Comp 1)                                                                         |
| Specialist Training Workshops               |                        | 360,000                    | 187,500 Comp 1<br>172,500 Comp 2                                                                |
| Meetings Venue Hire and Food etc            |                        | 44,800                     | 15,000 Comp 1<br>15,000 Comp 2<br>14,800 Comp 3                                                 |
| Workshop and Training Materials             |                        | 352,000                    | 180,000 Comp 1<br>114,000 Comp 2<br>58,000 Comp 3                                               |
| Provincial Governance Workshops             |                        | 135,000                    | Comp 2                                                                                          |
| Awareness Workshops (area/ island councils) |                        | 90,000                     | Comp 2                                                                                          |
| Multi-Stakeholder Participatory Workshops   |                        | 175,000                    | Comp 2                                                                                          |
| International Study Tours (Australia)       | 4                      | 210,000                    | 105,000 Comp 2<br>105,000 Comp 3                                                                |
| Regional Study Tours (Pacific Land Program) | 2                      | 105,000                    | Comp 2                                                                                          |

## RFT Part 4 - Annex C to Draft Basis of Payment

| Item                                    | Number of units | Indicative Cost AUD | Comments                                                                                                                                             |
|-----------------------------------------|-----------------|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|
| Land Registry Training Package          |                 | 150,000             | Comp 3                                                                                                                                               |
| Zoning and Development Training Package |                 | 100,000             | Comp 3                                                                                                                                               |
| Organisational Training Package         |                 | 35,000              | Comp 3                                                                                                                                               |
|                                         | <b>Total</b>    | <b>Amount</b>       | <i>Note: The total amount will be the specified AusAID amount of AUD2,271,800 plus the amount as costed by the Tenderer for the items indicated.</i> |

**Note 1:** The laptop/ PC suite includes the computer, surge protector, printer and software. It is expected there will be a need for an initial allocation of 15 and then a replacement buy of 14 if necessary during the Program. Laptop / PCs are anticipated for:

**Table C-2: PCs Table**

| Recipient                                        | Initial   | Replacement at 2-3 years and/or repair |
|--------------------------------------------------|-----------|----------------------------------------|
| Customary Land Adviser (International)           | 1         | 1                                      |
| National Kastom Land Officer                     | 1         | 1                                      |
| Local Kastom Land Officer (local - 6 positions)  | 6         | 6                                      |
| Administrative Support to Kastom Land Office     | 1         | 1                                      |
| Program Director *                               | 1         | 1                                      |
| Participatory Governance Facilitators (National) | 2         | 2                                      |
| M&E Adviser (National)                           | 1         | 1                                      |
| Awareness Program                                | 1         | 1                                      |
| Visiting Advisers                                | 1         |                                        |
| <b>Total</b>                                     | <b>15</b> | <b>14</b>                              |

\* **Note:** The Program Director will also occupy the position of **either** the International Indigenous Land Specialist **or** the Participatory Land Governance Adviser.

**Note 2:** Vehicles should be suitable for the duration of the Program. Distribution of vehicles is anticipated as follows:

**Table C-3: Vehicle Table**

|                                                          | <b>Initial</b> |
|----------------------------------------------------------|----------------|
| National Kastom Land Office                              | 1              |
| Local Kastom Land Offices ( 6 offices)                   | 6              |
| Vanuatu Land Office (Main Office and Consultant Vehicle) | 1              |
| Land Lease and Land Registry Team Vehicle                | 1              |
| <b>Total</b>                                             | 9              |



**PART B PROGRAM PROCUREMENTS****Part B Procurements****Table D-1:Table of Procurements**

| Item Description                                 | Unit Cost | Number | Total   | Total   | Comments                              |
|--------------------------------------------------|-----------|--------|---------|---------|---------------------------------------|
|                                                  | NZD       |        | NZD     | AUD     |                                       |
| <b>Component 1</b>                               |           |        |         |         |                                       |
| <b>Sub-Component 1.1</b>                         |           |        |         |         |                                       |
| Travel costs for public consultation             | 2,000     | 6      | 12,000  | 10,000  | Visit to each province                |
| Travel costs to discuss draft legislation        | 2,000     | 6      | 12,000  | 10,000  |                                       |
| Mediation "training of trainers" course          | 8,000     | 1      | 8,000   | 6,667   | 2 weeks training for 25 people        |
| Mediation Training                               | 5,000     | 25     | 75,000  | 62,500  | 25 courses for up to 200 people       |
| Preparation Materials                            | 5,000     | 1      | 5,000   | 4,167   |                                       |
|                                                  |           |        |         |         |                                       |
| <b>Sub-Component 1.2</b>                         |           |        |         |         |                                       |
| Booklet Production                               | 10,000    | 1      | 10,000  | 8,333   |                                       |
| DVD Production                                   | 10,000    | 1      | 10,000  | 8,333   |                                       |
| Public Awareness - Print, radio                  | 15,000    | 3      | 45,000  | 37,500  | Per annum                             |
| Internet Based Awareness-web site                | 6,000     | 1      | 6,000   | 5,000   |                                       |
| Public Awareness-telephone - Digicel and Telecom | 6,000     | 3      | 18,000  | 15,000  | Per annum                             |
| Wan Smolbag                                      | 10,000    | 2      | 20,000  | 16,667  | Preparation of theatre                |
| Public Awareness Workshops                       | 3,000     | 40     | 120,000 | 100,000 |                                       |
| Women's Workshops                                | 500       | 40     | 20,000  | 16,667  | Held after Public Awareness Workshops |
| Training of Tribunal Members                     | 4,000     | 20     | 80,000  | 66,667  |                                       |
| Training of Area Council Secretaries etc         | 4,000     | 15     | 60,000  | 50,000  |                                       |
| Consumables                                      | 2,000     | 3      | 6,000   | 5,000   | Per annum                             |
|                                                  |           |        |         |         |                                       |
| <b>Sub-Component 1.3</b>                         |           |        |         |         |                                       |

**RFT Part 4 - Annex D to Draft Basis of Payment**

| Item Description                            | Unit Cost | Number | Total  | Total  | Comments                               |
|---------------------------------------------|-----------|--------|--------|--------|----------------------------------------|
|                                             | NZD       |        | NZD    | AUD    |                                        |
| Laptop Computers                            |           | 2      | Amount | Amount | <i>Tenderer to specify cost in bid</i> |
| 5 x PC's for CLU                            |           | 5      |        |        |                                        |
| Printers                                    |           | 2      |        |        | <i>Tenderer to specify cost in bid</i> |
| Scanner A4                                  |           | 1      |        |        | <i>Tenderer to specify cost in bid</i> |
| TV                                          |           | 2      |        |        | <i>Tenderer to specify cost in bid</i> |
| DVD Player                                  |           | 2      |        |        | <i>Tenderer to specify cost in bid</i> |
| Filing Cabinets                             |           | 4      |        |        | <i>Tenderer to specify cost in bid</i> |
| Digital Camera                              |           | 2      |        |        | <i>Tenderer to specify cost in bid</i> |
| O/H Projector                               |           | 1      |        |        | <i>Tenderer to specify cost in bid</i> |
| Desks and Chairs                            |           | 4      |        |        | <i>Tenderer to specify cost in bid</i> |
| Whiteboards                                 |           | 3      |        |        | <i>Tenderer to specify cost in bid</i> |
| Provincial Travel                           | 1,000     | 18     | 18,000 | 15,000 | 6 trips per annum                      |
| Consumables                                 | 1,000     | 3      | 3,000  | 2,500  | Per annum                              |
| Overseas Conferences/meetings               | 4,000     | 3      | 12,000 | 10,000 | Per annum                              |
| Visit to Fiji to study customary activities | 4,000     | 1      | 4,000  | 3,333  |                                        |
| Visit to NZ to study Maori custom issues    | 5,000     | 1      | 5,000  | 4,167  |                                        |
|                                             |           |        |        |        |                                        |
| <b>Component 2</b>                          |           |        |        |        |                                        |
| <b>Hardware/Software</b>                    |           |        |        |        |                                        |
| PC's                                        |           | 6      | Amount | Amount | <i>Tenderer to specify cost in bid</i> |
| Tables and Chairs                           |           | 3      |        |        | <i>Tenderer to specify cost in bid</i> |
| A3 Scanner (survey plans)                   |           | 1      |        |        | <i>Tenderer to specify cost in bid</i> |
| A4 Scanner (Geo Docs)                       |           | 1      |        |        | <i>Tenderer to specify cost in bid</i> |
| ArcGIS x 2                                  | 1,800     | 2      | 3,600  | 3,000  |                                        |
| Maintenance                                 | 1,200     | 3      | 3,600  | 3,000  | Per annum                              |
| MapInfo x 2                                 | 5,500     | 2      | 11,000 | 9,167  |                                        |
| Maintenance                                 | 1,100     | 3      | 3,300  | 2,750  | Per annum                              |
| ProVec                                      | 3,000     | 1      | 3,000  | 2,500  |                                        |

**RFT Part 4 - Annex D to Draft Basis of Payment**

| Item Description                                 | Unit Cost | Number | Total   | Total             | Comments                                                                                                                                                  |
|--------------------------------------------------|-----------|--------|---------|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                  | NZD       |        | NZD     | AUD               |                                                                                                                                                           |
| Zan                                              | 500       | 1      | 500     | 417               |                                                                                                                                                           |
| Web-base Dev tools                               | 5,000     | 1      | 5,000   | 4,167             |                                                                                                                                                           |
| Consumables @ \$5,000 per year                   | 5,000     | 3      | 5,000   | 12,500            |                                                                                                                                                           |
| Upgrade Server                                   | 100,000   | 1      | 100,000 | 83,333            |                                                                                                                                                           |
|                                                  |           |        |         |                   |                                                                                                                                                           |
| <b>MISC</b>                                      |           |        |         |                   |                                                                                                                                                           |
| Study Trip - Survey, Mapping and Valuation to NZ | 5,000     | 3      | 15,000  | 12,500            |                                                                                                                                                           |
| Regional Conferences                             | 5,000     | 3      | 15,000  | 12,500            |                                                                                                                                                           |
| ArcGIS - refresher training                      | 10,000    | 1      | 10,000  | 8,333             |                                                                                                                                                           |
| MapInfo - refresher training                     | 10,000    | 1      | 10,000  | 8,333             |                                                                                                                                                           |
|                                                  |           |        |         |                   |                                                                                                                                                           |
| <b>TOTAL</b>                                     |           |        |         | <b>AUD Amount</b> | <i>Note: The total amount will be the specified as the AusAID amount of AUD620,000 plus the amount as costed by the Tenderer for the items indicated.</i> |

## SECTION 2 – STANDARD TENDER CONDITIONS

### CONTENTS

|                                                                                                  |            |
|--------------------------------------------------------------------------------------------------|------------|
| <b>PART 5 – STANDARD TENDER CONDITIONS</b>                                                       | <b>104</b> |
| 1. DOCUMENTS THAT MUST BE LODGED                                                                 | 104        |
| 2. TENDERER ENQUIRIES                                                                            | 105        |
| 3. LATE TENDERS                                                                                  | 106        |
| 4. NON-CONFORMING TENDERS                                                                        | 106        |
| 5. CLARIFICATION OF TENDERS                                                                      | 107        |
| 6. AMENDMENT OF THE RFT                                                                          | 107        |
| 7. ASSESSMENT OF TENDERS                                                                         | 107        |
| 8. JOINT VENTURES AND CONSORTIUMS                                                                | 111        |
| 9. ASSOCIATES AND OTHER SUB-CONTRACTORS                                                          | 111        |
| 10. OWNERSHIP OF TENDERS AND RFT                                                                 | 112        |
| 11. CONFLICT OF INTEREST                                                                         | 112        |
| 12. TENDERING CONDUCT                                                                            | 112        |
| 13. INELIGIBILITY TO TENDER                                                                      | 113        |
| 14. AusAID's RIGHTS                                                                              | 113        |
| 15. TENDERER'S ACKNOWLEDGEMENT                                                                   | 114        |
| 16. DEBRIEFING OF TENDERERS                                                                      | 114        |
| 17. COMPLIANCE WITH LAWS, GUIDELINES AND POLICIES                                                | 114        |
| 18. FURTHER REQUIREMENTS                                                                         | 117        |
| 19. CONTRACT NEGOTIATIONS                                                                        | 117        |
| 20. CONTRACT PLANS                                                                               | 118        |
| 21. APPLICABLE LAW                                                                               | 118        |
| <b>ANNEX A - AUSAID USE OF PERFORMANCE INFORMATION</b>                                           | <b>119</b> |
| <b>ANNEX B – TENDERER DECLARATION</b>                                                            | <b>121</b> |
| 1. DEFINITIONS                                                                                   | 121        |
| 2. BASIS OF DECLARATION                                                                          | 121        |
| 3. THE OFFER                                                                                     | 121        |
| 4. ADDENDA TO TENDER DOCUMENTS                                                                   | 123        |
| 5. ADDRESS OF TENDERER                                                                           | 123        |
| <b>ANNEX C – TENDERER'S SUBMISSION CHECKLIST</b>                                                 | <b>125</b> |
| <b>ANNEX D – CONDITIONS FOR USE OF AUSTENDER, THE AUSTRALIAN GOVERNMENT ONLINE TENDER SYSTEM</b> | <b>129</b> |
| 1. AUSTENDER, THE AUSTRALIAN GOVERNMENT ONLINE TENDER SYSTEM                                     | 129        |
| 2. REGISTERED TENDERERS AND NOTICES                                                              | 129        |
| 3. AUSTENDER HELP DESK                                                                           | 129        |
| 4. PREPARING TO LODGE A TENDER ELECTRONICALLY                                                    | 130        |
| 5. ELECTRONIC LODGEMENT PROCESS                                                                  | 131        |
| 6. LATE TENDERS, INCOMPLETE TENDERS AND CORRUPTED FILES                                          | 132        |
| 7. PROOF OF LODGEMENT                                                                            | 132        |
| 8. AUSTENDER SECURITY                                                                            | 132        |
| <b>PART 6 - STANDARD CONTRACT CONDITIONS</b>                                                     | <b>133</b> |

## PART 5 – STANDARD TENDER CONDITIONS

Bolded words are defined in the Tender Particulars in **Part 1** of this RFT.

### 1. DOCUMENTS THAT MUST BE LODGED

#### 1.1 Tenders must be lodged either:

- (a) Electronically, via AusTender at <https://tenders.gov.au> before the **Closing Time** and in accordance with the tender lodgement procedures set out in **Annex D to this Part** and on AusTender; or
- (b) Physically, by depositing by hand in the Canberra Tender Box before the **Closing Time**.

#### 1.2 AusAID's preference is for electronic lodgement of Tenders. However, if electronic lodgement is not possible, you may lodge a hard copy of your Tender instead.

#### 1.3 For both electronic and hard copy Tender lodgement, you must submit the following documents as part of your Tender:

- (a) the technical proposal which includes:
  - (i) **Tender Schedule A** addressing the selection criteria and including the required annexes in the form specified in **Part 1**; and
  - (ii) **Tender Schedule B** providing details of Specified Personnel in the form specified in **Part 1**;
- (b) The financial proposal in the form specified in **Part 1 Tender Schedule C**. For electronic submissions, **Tender Schedule C** must be submitted as a separate file, and for hard copy submissions, **Tender Schedule C** must be submitted in a separate sealed envelope;
- (c) The financial assessment material in the form specified in **Part 1 Tender Schedule D**. For electronic submissions, **Tender Schedule D** must be submitted as a separate file, and for hard copy submissions, **Tender Schedule D** must be submitted in a separate sealed envelope; and
- (d) The completed and signed Tenderer Declaration in the form specified in **Annex B of this Part**.
- (e) The completed and signed Tenderer's Submission Checklist in the form specified in **Annex C of this Part**.

#### 1.4 All documentation submitted as part of the Tender must be in English.

#### 1.5 Tenderers must include all information specified in this RFT in their Tender. Tenderers accept that their failure to provide all information required, in the format specified will result in their Tender being considered as a non-conforming Tender and liable to rejection.

## **RFT Part 5 – Standard Tender Conditions**

- 1.6 The Tenderer must submit the number of copies specified in the Tender Particulars (**Part 1 Clause 1** of this RFT). Different numbers of copies may be required for hard copy lodgement and for electronic lodgement.
- 1.7 Tenders submitted by facsimile or email will not be considered.
- 1.8 It is a condition of this RFT that each Tender must remain valid and available for acceptance by AusAID for the **Tender Validity Period** specified in the Tender Particulars (**Part 1 Clause 1** of this RFT).
- 1.9 A person or persons having authority to lodge the Tender and enter into a contract on behalf of the Tenderer must sign the Tenderer Declaration (**Annex B of this Part**).
- 1.10 AusAID may extend the **Closing Time** at its sole and absolute discretion, and will issue an Addendum notifying any decision to extend.

### **Conditions Applying to Electronic Tender Lodgement**

- 1.11 Electronic tenders must be lodged electronically via the Australian Government Tender System, AusTender, at <https://www.tenders.gov.au> before the **Closing Time** and in accordance with the tender lodgement procedures set out in **Annex D of this Part** and on AusTender.
- 1.12 Where there is any inconsistency between the tender lodgement procedures set out on AusTender and those set out in this RFT, this RFT will prevail.
- 1.13 Tenders not submitted in accordance with **Clause 1.11** will be excluded from evaluation.
- 1.14 It is the responsibility of tenderers to ensure that their infrastructure including operating system and browser revision levels meet the minimum standards as defined on AusTender. Neither AusAID nor the Commonwealth takes any responsibility for any problems arising from tenderers' infrastructure and/or Internet connectivity.

### **Conditions Applying to Hard Copy Tender Lodgement**

- 1.15 For hard copy lodgement, the Tenderer is responsible for the delivery of their Tender. The Tender must be placed in AusAID's **Canberra Tender Box**. The Tender must be delivered during **Business Hours** by the **Closing Time**. Failure to submit a Tender in accordance with this clause may render the Tender liable to rejection.
- 1.16 The Original Tender document and any copies requested should be bound using a plastic comb binding, and should contain no plastic page separators.
- 1.17 The Tender should be endorsed with the name of the Project and marked: "Tender Box: Attention **Contact Person**." The Tenderer's postal address and fax number should be provided on the outside of the Tender.

## **2. TENDERER ENQUIRIES**

- 2.1 Any enquiries that Tenderers may have must only be directed to the **Contact Person** specified in the Tender Particulars.
- 2.2 If a Tenderer:

## **RFT Part 5 – Standard Tender Conditions**

- (a) finds any discrepancy, error or omission in the terms and conditions of the RFT, including of the Contract Conditions; or
- (b) wishes to make any enquiry, including seeking clarification, of the RFT, including of the Contract Conditions,

the Tenderer must notify the **Contact Person** in writing, which notice may be sent by means of facsimile transmission or email, as soon as possible and not later than 14 days prior to the **Closing Time**.

- 2.3 AusAID will respond to any Tenderer enquiries no later than 7 days prior to the **Closing Time**.
- 2.4 AusAID reserves the right to issue or publish answers to any Tenderer enquiries to all Tenderers.

### **3. LATE TENDERS**

#### **Conditions Applying to Tenders Lodged Electronically**

- 3.1 A Tender lodged electronically is a **Late Tender** in accordance with the conditions specified in **Clause 6, Annex D of this Part** and will be excluded from evaluation.
- 3.2 For tenders submitted electronically, the time displayed on AusTender is deemed to be the correct time and will be the means by which AusAID will determine whether Tenders lodged electronically have been lodged by the **Closing Time**.
- 3.3 The judgement of AusAID as to the time a Tender has been lodged electronically will be final.

#### **Conditions Applying to Tenders Lodged in Hard Copy**

- 3.4 A hard copy Tender lodged after the **Closing Time** is a late Tender.
- 3.5 AusAID will admit to evaluation a Tender that was received late solely due to AusAID mishandling. AusAID mishandling does not include mishandling by a courier or mail service provider engaged by a Tenderer to deliver their Tender. It is the responsibility of tenderers to ensure that their Tender is dispatched in sufficient time for it to be received by AusAID by the **Closing Time**.
- 3.6 Late Tenders that are rejected by AusAID will be returned to tenderers unopened, except in cases where a Tender must be opened to identify the return address of the Tenderer or to establish which tender process the Tender was for.
- 3.7 If a Tender is taken to be late, the Tenderer may be asked to provide explanatory evidence in an appropriate form to the **Contact Person** specified in the Tender Particulars.

### **4. NON-CONFORMING TENDERS**

- 4.1 Subject to **Clause 3 (Late Tenders) of this Part**, Tenders will be regarded as non-conforming if they fail to conform with one or more of the requirements of the RFT.
- 4.2 AusAID reserves the right to seek clarification of non-conforming Tenders in accordance with **Clause 5 of this Part**.

## **RFT Part 5 – Standard Tender Conditions**

- 4.3 Subject to **Clause 3 (Late Tenders) of this Part**, AusAID may, at its absolute discretion, assess or reject a non-conforming Tender.
- 4.4 AusAID will not enter into correspondence about a decision to assess or reject a non-conforming Tender.

### **5. CLARIFICATION OF TENDERS**

- 5.1 AusAID reserves the right to seek clarification of any Tender. Tenderers must:
- (a) respond to any request for clarification within the time period specified by AusAID;
  - (b) ensure that additional information provided answers AusAID's enquiry and is fully consistent with the Tender submitted by the Tenderer; and
  - (c) not seek to change any aspect of their Tender by providing additional information to AusAID.
- 5.2 Clarifications are provided on the terms of the RFT.
- 5.3 Failure to supply clarification to the satisfaction of AusAID may render the Tender liable to rejection.

### **6. AMENDMENT OF THE RFT**

- 6.1 AusAID may amend the RFT at any time by issuing an Addendum. All conditions of this RFT will apply to Addenda.

### **7. ASSESSMENT OF TENDERS**

- 7.1 Tenders will be assessed on the following basis:
- (a) technical, which includes the other factors described in **Clause 7.8 of this Part** which in AusAID's opinion may impact upon the suitability of any Tenderer including the financial viability of any Tenderer; and
  - (b) financial
- to achieve the best value for money outcome.
- 7.2 Tenderers should note that value for money determinations are made on a whole-of-life basis and that AusAID is not bound or required to accept the lowest priced Tender or any Tender.

#### **Technical Assessment**

- 7.3 The technical assessment will be undertaken by the Technical Assessment Panel (the “**TAP**”) comprising AusAID representative(s) and independent specialists appointed at AusAID's sole discretion. Representatives of the Partner Government may also participate. The TAP will assess Tenders based on the technical selection criteria specified in **Part 1**.
- 7.4 AusAID may invite a Tenderer (shortlisted or otherwise) to give AusAID a short presentation and be interviewed by the TAP. Specified Personnel, such as the proposed in-country team leader and the project director, will be required to attend at the presentation. If



## **RFT Part 5 – Standard Tender Conditions**

Specified Personnel are unable to attend, a teleconference presentation may be arranged. Specified Personnel will be required to answer any questions asked by the TAP. The TAP will be convened in Canberra and the costs of the Tenderer's (and its personnel's) attendance must be borne by the Tenderer.

- 7.5 Tenderers should note that failure by a Tenderer or proposed Specified Personnel to attend the presentation (either in person or via teleconference) may disadvantage the Tender.
- 7.6 TAP members are required to maintain the “commercial-in-confidence” nature of the proceedings of the TAP meeting. TAP members must not discuss matters relating to the technical assessment of any tender with any party. Tenderers must not make contact with any members of the TAP, outside any TAP meeting, and any such contact will be considered a breach of confidentiality and may result in AusAID rejecting the tender of the Tenderer concerned.
- 7.7 AusAID reserves the right to take into account in the assessment of this Tender the past performance of the Tenderer or any proposed personnel contained in the Tender in accordance with **Annex A of this Part**.
- 7.8 In making its assessment the TAP or AusAID may have regard to other factors relevant to the suitability, capacity and qualifications of a Tenderer including but not limited to:
- (a) the Tenderer's ability to comply with AusAID policies referred to in this RFT and the Tenderer's ability to comply with the **Contract Conditions**;
  - (b) the resourcing of Tenders;
  - (c) information obtained from any source which is relevant to the capacity of the Tenderer or any proposed personnel to perform the Services and achieve the Project goals and objectives. Such information may be the result of inquiries made by AusAID; and
  - (d) the Tenderer's demonstrated understanding of the cultural environment of the Project.

These other factors have not been allocated any specific weightings.

- 7.9 TAP members may adjust technical scores as a consequence of the presentation, interview and consideration of past performance.

### **Goods and Services Tax**

- 7.10 All Tenderers should be aware that under *A New Tax System (Goods and Services Tax) Act 1999 (GST Act)*, AusAID is treated as a taxable enterprise. To allow a like-for-like price assessment, the financial proposal must state the value of the supplies exclusive of the GST.

### **Insurances**

- 7.11 The financial proposal must be inclusive of all necessary insurances required by the Contract Conditions and for the performance of the Services. Notwithstanding the requirements of the Contract AusAID strongly recommends that all Tenderers seek advice on and consider arranging professional indemnity insurance as a matter of prudent commercial practice. Where such insurance is arranged, AusAID recommends that it be

## **RFT Part 5 – Standard Tender Conditions**

maintained for the duration, plus a further 3 years, of the full Term of the Contract or earlier termination.

### **Project Vehicles**

- 7.12 For the purposes of this clause, “Project Vehicles” are defined as vehicles paid for by AusAID, remain the responsibility of the Contractor for the term of the Project and that are provided primarily for Contractor Personnel use for Project activities. Vehicles purchased by the Project, but handed over to the Partner Country immediately (where maintenance and insurance are Partner Country responsibilities and Contractor Personnel do not use the vehicles or only use them on an exceptional basis) are not considered Project Vehicles for the purposes of requiring a financial contribution by the Contractor.
- 7.13 In consideration of the Contractor being entitled to use Project Vehicles for non-project use the Contractor must contribute \$250.00 for each Project Vehicle for each month of the Project. The contribution will be deducted by the Contractor and must be clearly identified on the Contractor’s invoices.
- 7.14 The Contractor must abide by the following requirements with regards to Project Vehicles:
- (a) Project demands must always take precedence over private use;
  - (b) the Contractor must ensure that any persons driving the Project Vehicle must have a current valid international or Partner Country drivers license for the class of Project Vehicle;
  - (c) the Contractor is responsible for ensuring Project Vehicles are appropriately insured;
  - (d) the Contractor is responsible for ensuring that seat belts are fitted and must make every effort to ensure that they are worn at all times by drivers and all passengers;
  - (e) the Contractor is responsible for any costs incurred in the event of an accident while the Project Vehicle is being privately used; and
  - (f) the Contractor must ensure that Project Vehicles are serviced in accordance with manufacturer’s requirements.

Tenderers Note: compliance with the requirements detailed in **Clause 7.13 above** may be subject to a review undertaken by or on behalf of AusAID under the **Standard Conditions** clause of the Contract titled **Reviews**.

### **Technical proposal format**

- 7.15 The technical proposal must:
- (a) indicate the Tenderer’s nominated contact person and contact details on the cover page;
  - (b) be in a type font of no less than 12 point on A4 paper;
  - (c) have left and right page margins of no less than 2.5 cm, and top and bottom page margins of no less than 3 cm, excluding headers, footers and page numbers;

## RFT Part 5 – Standard Tender Conditions

- (d) not have the AusAID logo or any other representation or mark which may indicate that the Tenderer is in any way related to or connected with AusAID; and
- (e) be no longer than the page limit detailed in the Tender Particulars (inclusive of tables, diagrams or graphs), but exclusive of required annexes.

### Curricula vitae

7.16 The curriculum vitae for team member must include the following information:

- (a) name and personal contact details (this can be an email address or phone number);
- (b) nationality and if relevant permanent resident status;
- (c) professional qualifications, including institution and date of award; and
- (d) details of recent relevant professional and development work experience, including the duration and extent of inputs.

7.17 CVs must be no longer than the page limit detailed in the Tender Particulars, must be signed and dated by the proposed team member, and must include the following certification:

“I, *[insert name]*, declare that:

- (a) the information provided in this CV is accurate and hereby authorise the Commonwealth to make whatsoever inquiries it may consider reasonable and necessary to undertake in the course of the Tender assessment in relation to the information I have provided in this CV or any other matter which may relate to my suitability for the position for which I have been nominated;
- (b) I am available to participate in the Project in the role in which I have been nominated in the Tender for the period or periods indicated in the Tender;
- (c) I am a person of good fame and character; and
- (d) I have not been convicted of an offence of, or relating to, bribery of a public official, nor am I subject to any proceedings which could lead to such a conviction.”

While an original signature on CVs is preferred, copies are allowed. However, Tenderers are reminded of their warranties (**paragraphs 3.3 and 3.4**) and the potential consequences to their Tender (**paragraph 3.5**), as detailed in the Tenderer Declaration (**Annex B of this Part**).

### Referees

7.18 Tenderers must nominate at least two (2) referees who can provide an objective assessment of the quality of relevant and recent work performed by the organisation (if the Annex titled Past Experience Forms is used) or the proposed team member (regarding Tender Schedule B). Referees who can supply character references only are not sufficient.

7.19 Tenderers must ensure that nominated referees do not have an actual or potential conflict of interest when acting as a referee. In particular, Tenderers must ensure that referees:

## **RFT Part 5 – Standard Tender Conditions**

- (a) are not an employee of, or the holder of a current executive office (or similar position) within the organisation of, or do not have a business in association with, the Tenderer or a subsidiary organisation of the Tenderer;
- (b) are not included in the Tender as proposed team members; and
- (c) are not AusAID employees.

7.20 Tenderers must further ensure that nominated referees:

- (a) are available to be contacted in the 3 week period after the **Closing Time**; and
- (b) are able to provide comments in English.

7.21 AusAID reserves the right to check with nominated referees and with other persons as AusAID chooses, the accuracy of the information and quality of work performed.

## **8. JOINT VENTURES AND CONSORTIUMS**

8.1 AusAID intends to contract with a single legal entity.

8.2 In the case of a joint venture or consortium that does not constitute a single legal entity, AusAID will contract with the lead joint venture or consortium member that is required to be nominated by the tenderer.

8.3 Tenders by a joint venture or consortium must be submitted on the basis that details on the activities to be performed and responsibility assumed by each party of the joint venture or consortium must be clearly specified in the body of the text in **Tender Schedule A**.

8.4 AusAID may require parent company guarantees from the parent companies of parties to a joint venture or consortium.

## **9. ASSOCIATES AND OTHER SUB-CONTRACTORS**

9.1 Tenders involving two or more parties who have not formed a single legal entity will only be accepted if the Tender is submitted on the basis that one party, the Tenderer, is intended to act as the prime contractor and any other party becomes a sub-contractor known as an “Associate”.

9.2 Tenders involving Associates will be assessed on the basis of that arrangement. Tenders must include:

- (a) details on the activities to be performed and responsibilities assumed by each party where Associates are involved must be described in the body of the text of **Tender Schedule A**; and
- (b) assurance to AusAID from an authorised representative of the Associate of their corporate commitment to and involvement in the Project in the form of a single page Letter of Association in a separate annex to **Tender Schedule A**.

Details of Associate responsibilities, if any, will be included in the Contract.

9.3 In addition to Associates, Tenderers are required to include detailed information on other work to be sub-contracted (excluding Specified Personnel) and proposed sub-contractors,

## **RFT Part 5 – Standard Tender Conditions**

where these are reasonably known at the time of the Tender and have expressed their willingness to be involved in the Project. These details must also be included in a separate annex to **Tender Schedule A** in the form described in **Clause 9.4 below**.

9.4 Letters in which organisation's express their willingness to be involved with the Tenderer in the Project as a sub-contractor must be limited to a single page per organisation and include details on the broad skills or areas in which the organisation may add value.

9.5 Tenderers note: AusAID contracts assign full responsibility for all sub-contracted Services to the contractor.

### **10. OWNERSHIP OF TENDERS AND RFT**

10.1 All Tenders become the property of AusAID on lodgement.

10.2 Such intellectual property rights as may exist in the information contained in each Tender will remain the property of the Tenderer.

10.3 The Tenderer authorises AusAID to copy, adapt, amend, disclose, including to AusAID contractors and advisers, or do anything else necessary, in AusAID's sole discretion, to all materials including that which contains intellectual property rights of the Tenderer or other parties contained in the Tender.

10.4 Copyright in the RFT is reserved to AusAID.

### **11. CONFLICT OF INTEREST**

11.1 Tenderers must:

- (a) identify any actual or potential conflict of interest; and
- (b) the procedures they intend to implement for dealing with, any actual or potential conflicts of interest,

which may arise in connection with the submission of their Tender or the conduct of the Services described in this RFT. Tenders should include details of any known circumstances that may give rise to either an actual conflict or potential of conflict of interest in relation to the Project.

11.2 If any actual or potential conflicts of interest arise for a Tenderer before entering into a Contract for the Services, AusAID may:

- (a) enter into discussions to seek to resolve such conflict of interest; or
- (b) disregard the Tender submitted by such a Tenderer; or
- (c) take any other action that AusAID considers appropriate.

### **12. TENDERING CONDUCT**

12.1 Each Tenderer warrants that it has not engaged in collusive or anti-competitive practices with any other Tenderer in the preparation of its Tender.

## **RFT Part 5 – Standard Tender Conditions**

- 12.2 If a Tenderer is found to have made false or misleading claims or statements, or receives improper assistance or improperly obtains confidential information, AusAID reserves the right to reject at any time, any Tender lodged by or on behalf of that Tenderer.

### **13. INELIGIBILITY TO TENDER**

- 13.1 A Tenderer is ineligible to tender where the Tenderer or any subcontractor of the Tenderer is listed by the World Bank in its “Listing of Ineligible Firms” or “Listings of Firms, Letters of Reprimand” posted at [www.worldbank.org](http://www.worldbank.org) (the “**World Bank List**”).
- 13.2 Tenderers warrant by submitting a tender that the Tenderer, and any subcontractor of the Tenderer, are not listed on a World Bank List. Tenderers must also state in their tender whether the Tenderer or any subcontractor of the Tenderer is listed on any similar list maintained by any other donor of development funding (“**Relevant List**”) or are subject to any proceedings which could lead to listing on a World Bank List or listing on any Relevant List. Tenderers must also immediately notify AusAID where the Tenderer or any of their subcontractors becomes listed on a World Bank List or Relevant List, or subject to proceedings which may lead to such a listing, after the tender is submitted to AusAID.
- 13.3 AusAID will exclude any tender where the Tenderer is in breach of the warranty, or does not disclose any circumstance required under **Clause 13.2**. AusAID also reserves the right to exclude any tender, where the Tenderer or a subcontractor of the Tenderer becomes listed on a World Bank List or Relevant List, or is subject to proceedings which could lead to such a listing.
- 13.4 Tenderers should note that if they tender in breach of this **Clause 13**, or are subsequently listed on a World Bank List or Relevant List, AusAID may terminate any contract subsequently entered into with that Tenderer.
- 13.5 For the purpose of this **Clause 13**, a reference to a Tenderer or a subcontractor of a Tenderer, includes any company in the same group as the Tenderer or the subcontractor (including but not limited to, related bodies corporate of the Tenderer or subcontractor within the meaning of the *Corporations Act 2001*) and parties with whom the Tenderer or subcontractor is associated in respect of this Tender.

### **14. AusAID’s RIGHTS**

- 14.1 As a Commonwealth Government agency, all AusAID procurement is subject to the Commonwealth Procurement Guidelines. The core principle of Commonwealth procurement is to achieve value for money. AusAID is also bound to conduct its procurement in an ethical, accountable, transparent, efficient and effective manner.
- 14.2 AusAID reserves the right to:
- (a) seek Tenders from any organisation;
  - (b) accept or reject any Tender;
  - (c) terminate, extend or vary its procurement process for the Services;
  - (d) request clarification in relation to a Tender;

## **RFT Part 5 – Standard Tender Conditions**

- (e) seek information or negotiate with any organisation that has not been invited to submit a Tender;
- (f) terminate negotiations with the preferred Tenderer and commence negotiations with any other Tenderer;
- (g) evaluate Tenders as AusAID sees appropriate; and
- (h) negotiate with any one or more Tenderers.

### **15. TENDERER'S ACKNOWLEDGEMENT**

#### **15.1 A Tender is submitted on the following basis:**

- (a) no legal obligation or agreement whatsoever is intended to be or is created between AusAID and any Tenderer by virtue of the tender process (including but not limited to statements contained in this RFT) unless and until contract negotiations are completed and a formal written agreement acceptable to AusAID is entered into and executed by an authorised officer of AusAID and by the successful Tenderer, if any;
- (b) the Tenderer acknowledges and agrees that AusAID, its employees, agents and advisers are not, and will not be responsible, or liable for the accuracy or completeness of any information contained in this RFT; and
- (c) the Tenderer is responsible for all costs of and incidental to the preparation and delivery of the Tender, including obtaining this RFT, or any subsequent stage of the procurement process, including answering any queries and providing any further information sought by AusAID.

### **16. DEBRIEFING OF TENDERERS**

- 16.1 If requested, AusAID will provide Tenderers with a written debriefing on the results of the assessment of their Tender, including reasons why the tender was not successful.
- 16.2 AusAID will not enter into discussion or communications on the content of the tender debrief once it has been completed.

### **17. COMPLIANCE WITH LAWS, GUIDELINES AND POLICIES**

#### **17.1 Freedom of Information**

- (a) The *Freedom of Information Act 1982* gives members of the public rights of access to official documents of the Australian Government and its agencies. The *Freedom of Information Act 1982* extends, as far as possible, the right of the Australian community to access information (generally documents) in the possession of the Australian Government, limited only by considerations of the protection of essential public interest and of the private and business affairs of persons in respect of whom information is collected and held by departments and public authorities.
- (b) Tenderers should obtain, and will be deemed to have obtained, their own advice on the impact of the *Freedom of Information Act 1982* on their participation in this RFT process and any subsequent contract.

#### **17.2 Privacy**

## **RFT Part 5 – Standard Tender Conditions**

- (a) The *Privacy Act 1988* establishes a national scheme providing, through codes of practice adopted by private sector organisations and the National Privacy Principles, for the appropriate collection, holding, use, correction, disclosure and transfer of personal information by private sector organisations.
- (b) The Draft Contract provides that the Contractor will comply with the *Privacy Act 1988*, including the Information Privacy Principles and the National Privacy Principles whether or not the Contractor is an organisation subject to the *Privacy Act 1988*.
- (c) The Tenderers acknowledge that the *Privacy Act 1988* reflects the principles of the International Covenant on Civil and Political Rights and OECD Guidelines, in particular, the OECD Guidelines on the Protection of Privacy and Transborder Flows of Personal Data.
- (d) Tenderers should obtain, and will be deemed to have obtained, their own advice on the impact of the *Privacy Act 1988* on their participation in this RFT process and any subsequent contract.

### **17.3 Access by Australian National Audit Office**

- (a) Attention of Tenderers is drawn to the *Auditor-General Act 1997* which provides the Auditor-General, or an authorised person, with a right to have, at all reasonable times, access to information, documents and records.
- (b) In addition to the Auditor-General's powers under the *Auditor-General Act 1997*, the Draft Contract provides that the Contractor will provide the Auditor-General, or an authorised person, access to information, documents, records and agency assets, including those on the Contractor's premises. Such access will apply for the term of the contract and for a period of seven years from the date of expiration or termination.
- (c) Tenderers should obtain, and will be deemed to have obtained, their own advice on the impact of the *Auditor-General Act 1997* on their participation in the RFT process and any subsequent contract.

### **17.4 Access by Ombudsman**

- (a) Attention of Tenderers is drawn to the *Ombudsman Act 1976* which gives Australians access to a government officer, known as an ombudsman, who investigates complaints from the public about government agencies and their operations and decisions, and reports on ways in which they may be resolved.
- (b) In addition to the ombudsman's powers under the *Ombudsman Act 1976*, the Draft Contract provides that the Contractor will provide the ombudsman, access to information, documents, records and agency assets, including those on the Contractor's premises. Such access will apply for the term of the contract and for a period of seven years from the date of expiration or termination.
- (c) Tenderers should obtain, and will be deemed to have obtained, their own advice on the impact of the *Ombudsman Act 1976* on their participation in the RFT process and any subsequent contract.



## RFT Part 5 – Standard Tender Conditions

### 17.5 Equal Employment Opportunity for Women in the Workplace Act 1999.

- (a) Australian Government policy prevents AusAID from entering into contracts with suppliers who are currently named as non compliant under the *Equal Employment Opportunity for Women in the Workplace Act 1999* (EEO Act).
- (b) AusAID will exclude from consideration any Tender from a Tenderer who is named or whose subcontractor is named as not complying with the EEO Act.

### 17.6 United Nations Act, Criminal Code Act 1995 and World Bank List

- (a) AusAID will exclude from consideration any Tender from a Tenderer who is listed:
- (b) by the Minister for Foreign Affairs under the *Charter of the United Nations Act 1945* and/or listed in regulations made under Division 102 of the *Criminal Code Act 1995* (Cth). Further information about listed persons and entities is available from the Department of Foreign Affairs and Trade website at [www.dfat.gov.au/icat/UNSC\\_financial\\_sanctions.html](http://www.dfat.gov.au/icat/UNSC_financial_sanctions.html) and from <http://www.nationalsecurity.gov.au/>
- (c) by the World Bank on its “Listing of Ineligible Firms” or “Listings of Firms, Letters of Reprimand” posted at [www.worldbank.org](http://www.worldbank.org) (the “World Bank List”) and/or any similar list maintained by any other donor of development funding.

### 17.7 Other Australian Government and AusAID Policies

- (a) Tenderers should familiarise themselves with AusAID policies including:
  - (i) the policy *Gender Equality in Australia’s Aid Program – Why and How* (March 2007). This document is available on AusAID’s website at <http://www.ausaid.gov.au/publications/default.cfm>;
  - (ii) The strategy 'Development for All: Towards a Disability-Inclusive Australian Aid Program 2009-2014', and in particular the strategy’s six guiding principles, located at [http://www.ausaid.gov.au/publications/pdf/FINAL%20AusAID\\_Disability%20for%20All.pdf](http://www.ausaid.gov.au/publications/pdf/FINAL%20AusAID_Disability%20for%20All.pdf);
  - (iii) AusAID’s policy on the environment as set out in the *Environmental Management Guide for Australia’s Aid Program*. This document is available on AusAID’s website at <http://www.ausaid.gov.au/keyaid/envt.cfm>.
  - (iv) AusAID’s *Child protection policy*, in particular the child protection compliance standards at Attachment 1 to the policy. This document is available on AusAID’s website at <http://www.ausaid.gov.au/publications/default.cfm>.
  - (v) any other policies published from time to time on <http://www.ausaid.gov.au> or as otherwise notified to Tenderers.

**18. FURTHER REQUIREMENTS**

- 18.1 Tenderers should be aware that current employees of AusAID cannot be included in Tenders for AusAID projects. Former AusAID employees may be included in Tenders if doing so does not represent a breach of conflict of interest.
- 18.2 Tenderers should note that the Commonwealth Procurement Guidelines require that Commonwealth agencies must not enter into agreements with suppliers who have had a judicial decision against them (not including decisions under appeal) relating to employee entitlements and who have not paid the claim. AusAID will reject submissions from tenderers in this position.
- 18.3 Tenderers must keep any discussions or contact with AusAID in connection with the Tender, the RFT and any contract negotiations confidential. Any unauthorised approach by a Tenderer to an AusAID officer or discussion of matters pertaining to the procurement process will be considered a breach of confidentiality.

**19. CONTRACT NEGOTIATIONS**

- 19.1 AusAID may select, as preferred Tenderer, the Tenderer(s) who best meet the requirements of the RFT on the basis of the tender assessment process.
- 19.2 The preferred Tenderer(s) must within 14 days of written notification from AusAID that it has been selected as preferred Tenderer, provide AusAID with originals of Police Clearance Certificates for all Personnel nominated in their Tender in positions specified in the Specified Personnel Table (**Clause 7, Part 1**) as working with children.
- (a) Police Clearance Certificates must be provided for each country in which the individual has lived for 12 months or longer over the last five years, and for the individual's country of citizenship;
  - (b) Police Clearance Certificates must be dated no earlier than 12 months before the Tender Closing Time;
  - (c) If any required Police Clearance Certificates are not provided to AusAID in accordance with **Clause 18.2** above, AusAID may exercise its right, specified at **Clause 14.2** above, to terminate negotiations with the preferred Tenderer and commence negotiation with any other Tenderer. AusAID recognises that in limited instances it may prove impossible to obtain a reliable Criminal Record Check, and will take non-provision of a Criminal Record Check into account upon request;
  - (d) AusAID reserves the right to require the preferred Tenderer(s) to replace any Personnel whose Police Clearance Certificate shows conviction of criminal offences of, or relating to, child abuse where AusAID, in its absolute discretion, considers that the Personnel poses an unacceptable risk to children's safety or well-being. Nominated replacement Personnel must have qualifications and experience equal to or better than those of the personnel being replaced, and must be acceptable to AusAID.
- 19.3 It is AusAID's intention to contract on the basis of the Contract Conditions contained in this RFT. Following the selection of a preferred Tenderer AusAID may enter into negotiations with the preferred Tenderer in respect of the Scope of Services and the Basis of Payments of

## **RFT Part 5 – Standard Tender Conditions**

the contract. Such negotiations will be strictly limited to matters of detail rather than substance.

- 19.4 If the Scope of Services is reduced as a result of constraints imposed on AusAID before or after the **Closing Time**, AusAID and the preferred Tenderer must negotiate, in good faith, a proportionate reduction, if necessary, to the fixed price quote.
- 19.5 Enhancements to the Scope of Services included in the Tender in accordance with the selection criteria should be costed into the fixed price quote for the purposes of the like-for-like price assessment. Where AusAID has instructed that the like-for-like price assessment is to be based on indicative values, the Tenderer must clearly specify all cost implications of enhancements proposed in the Tenderer's technical proposal.

## **20. CONTRACT PLANS**

- 20.1 The preferred Tenderer may be required to convert the Technical Proposal submitted in its Tender into appropriate schedules for the Contract based on the Contract Conditions. The Tenderer must take into account the outcome of any negotiations and give effect to amendments agreed with AusAID.

## **21. APPLICABLE LAW**

- 21.1 The laws of the Australian Capital Territory apply to the RFT and the RFT process.

**ANNEX A - AUSAID USE OF PERFORMANCE INFORMATION**

1. AusAID reserves the right to take into account in the assessment of this Tender the past performance, in previous AusAID and non-AusAID activities, or activities of:
  - (a) any Tenderer; and
  - (b) any member of the proposed personnel,in its capacity as:
  - (c) contractor, consultant or sub-contractor;
  - (d) an associate or employee of a contractor, consultant or sub-contractor; or
  - (e) a joint venture partner.
2. AusAID may:
  - (a) include in the assessment any contractor performance information contained in any internal AusAID contractor performance reporting systems in relation to performance of the Tenderer or proposed management, administrative and Project personnel on previous AusAID activities, providing the contractor has seen the report and has had reasonable opportunity to comment; and
  - (b) take into account relevant performance information provided by external referees in relation to a Tenderer or proposed personnel member obtained by AusAID as a result of inquiries made within the previous 12 months.
3. AusAID reserves the right to use any relevant information obtained in relation to a Tenderer or proposed personnel member obtained either during the Tender period or within the previous 12 month period by providing it to the Technical Assessment Panel (TAP) or to any other relevant person for the purposes of Tender assessment, and such information may be taken into account in the course of assessment of the Tender by the TAP and AusAID. Where information has been received in accordance with paragraph 2 (a) above, this may also be introduced into the TAP process.
4. AusAID may, at any time, make independent inquiries of:
  - (a) any person or entity which it reasonably believes to have actual knowledge of the performance of the Tenderer or proposed personnel member/s on a previous project or activity, whether or not that person or entity is nominated in the Tender as a referee for the Tenderer or proposed personnel member; and
  - (b) any Commonwealth Government department, agency or other government entity in Australia whether Commonwealth or State, or any other country, including law enforcement agencies in relation to a person who is proposed for inclusion in a Tender or a Tenderer.
5. AusAID may request a Tenderer to provide additional or clarifying information in relation to information obtained during the assessment process following the **Closing Time** for the purpose of assessment of the Tender.

**RFT Part 5 – Annex A**

6. Information obtained as a result of inquiries made by AusAID in relation to performance on previous activities will be sought on a confidential basis and AusAID shall not be obliged to disclose the content or source of prior performance information about a Tenderer or individual to any person.
7. AusAID shall not be liable upon any claim, demand, proceeding suit or action by any Tenderer or any proposed personnel member in relation to any matter, thing or issue arising out of or in any way in relation to the collection of information from any source or the use of any information collected pursuant to this Annex in the Tender assessment process.

## ANNEX B – TENDERER DECLARATION

I, *[name, address and corporation of person making the declaration]*, do solemnly and sincerely declare that:

### 1. DEFINITIONS

#### 1.1 In this declaration:

“**AusAID**” means the means the Australian Agency for International Development and represents the Commonwealth of Australia;

“**Services**” means Services to be performed by the Contractor in the *[enter Project name]*;

“**Tenderer**” means *[list name, address and ABN and ACN if appropriate. Note, Tenderers must provide their ABN if they have one. Moreover, if you are a Company and your ACN is not included in your ABN, you must also provide your ACN)]*; and

“**Tender Price**” means the total amount excluding Reimbursable Expenses indicated by a Tenderer as being the lowest amount for which that Tenderer is prepared to undertake the Services.

### 2. BASIS OF DECLARATION

2.1 I hold the position of *[managing director or other title]* of the Tenderer and am duly authorised by the Tenderer to make this declaration.

2.2 I make this declaration on behalf of the Tenderer and on behalf of myself.

### 3. THE OFFER

3.1 The Tenderer tenders to perform the Services for the Tender Price set out in the Tender, which is submitted as a separate file (for electronic submissions), or in a separate sealed envelope (for hard copy submissions).

3.2 The Tenderer undertakes, if this Tender is accepted and a Contract acceptable to AusAID is executed by both parties, to commence the provision of the Services and to perform them in accordance with the Contract.

3.3 The Tender is accurate in every respect. In particular, I warrant that the information and certification included in each CV submitted in the Tender is accurate, that the proposed team members have been approached and confirmed their availability, that no proposed team member is a current AusAID employee, and that AusAID has the authority to make the inquiries referred according to the CV certification.

3.4 I warrant that the Tenderer has used its best endeavours to ensure that all employees of the Tenderer, or of its agents or contractors, proposed as Contractor Personnel for the Contract are of good fame and character.

3.5 I acknowledge that if the Tenderer is found to have made false or misleading material claims or statements in the Tender or in this declaration, or to have used confidential information, or received improper assistance, AusAID will reject at any time any Tender lodged by or on behalf of the Tenderer.

- 3.6 I acknowledge and agree to the matters specified in **Clauses 14** (AusAID's Rights) and **15** (Tenderer's Acknowledgement) of **Part 5**.
- 3.7 I agree:
- (a) that the Tenderer will be bound by this Tender for the Tender Validity Period of 180 days after the **Closing Time**; and
  - (b) that this Tender may be accepted by AusAID at any time before the expiration of that period or any additional period to which we may agree.
- 3.8 I acknowledge that this Tender will not be deemed to have been accepted except as specified in the RFT.
- 3.9 I understand that AusAID is not bound to accept the lowest priced or any Tender.
- 3.10 I warrant that in preparing the Tender for the Services the Tenderer did not act in any way which did or could have had the effect of reducing the competitiveness of the tender process for the Services. In particular I warrant that the Tenderer did not engage in:
- (a) any discussion or correspondence with other tenderers concerning the amount of the Tender;
  - (b) any collusive tendering or other anti-competitive practices with any of the other Tenderers or any other person; or
  - (c) any conduct or have any arrangement or arrive at any understanding with any of the other Tenderers.
- 3.11 **[This clause applies to government owned Tenderers only.]** I warrant that in preparing the Tender, the Tenderer has complied with the principles of competitive neutrality.
- 3.12 I warrant that the Tenderer, and any subcontractor of the Tenderer are not:
- (a) listed on a World Bank List as referred to in **Clause 13** (Ineligibility to Tender) of **Part 5** of this RFT;
  - (b) listed on any similar list maintained by any donor of development funding (Relevant List), or;
  - (c) subject to any proceedings which could lead to listing on a World Bank List or listing on a Relevant List.
- 3.13 Neither the Tenderer nor any of its employees, agents or contractors have been convicted of an offence of, or relating to bribery of a public official, nor are they subject to any proceedings which could lead to such a conviction.
- 3.14 I undertake that the Tenderer will not permit any of its employees, agents or contractors, to work with children if they pose an unacceptable risk to children's safety or well being.
- 3.15 No employees of the Tenderer, or its agents or contractors, who have been nominated in Project positions that involve working with children, have been convicted of a criminal offence relating to child abuse, nor are they subject to any proceedings which could lead to such a conviction.

- 3.16 Neither the Tenderer nor any of its agents or contractors has an unsettled judicial decision against it relating to employee entitlements.
- 3.17 Neither the Tenderer nor any of its employees, agents or contractors had knowledge of the technical proposal or the Tender Price for the Services of any other tenderer prior to the Tenderer submitting its Tender for the Services.
- 3.18 Neither the Tenderer nor any of its employees, agents or contractors disclosed the technical proposal or the Tender Price for the Services submitted by the Tenderer to any other tenderer who submitted a tender for the Services or to any other person or organisation prior to the **Closing Time**.
- 3.19 Neither the Tenderer nor any of its employees, agents or contractors provided information to any other tenderer, person or organisation, to assist another tenderer for the Services to prepare a tender known in the building and construction industry as a “cover bid”, whereby the Tenderer was of the opinion or belief that another tenderer did not intend to genuinely compete for the Contract.
- 3.20 The Tenderer is genuinely competing for the Contract and its Tender is not a “cover bid”.
- 3.21 Prior to the Tenderer submitting its Tender for the Services neither the Tenderer nor any of its employees, agents or contractors entered into any Contract, agreement, arrangement or understanding that the successful Tenderer for the Services would pay any money, or would provide any other benefit or other financial advantage, to or for the benefit of any other tenderer who unsuccessfully tendered for the Tender.
- 3.22 I acknowledge that each party constituting the Tenderer is bound jointly and severally by this Tender.

**4. ADDENDA TO TENDER DOCUMENTS**

I acknowledge receipt of the following Addenda, the terms of which are incorporated in the Tender:

Number \_\_\_\_\_ Dated \_\_\_\_\_                      Number \_\_\_\_\_ Dated \_\_\_\_\_

Number \_\_\_\_\_ Dated \_\_\_\_\_                      Number \_\_\_\_\_ Dated \_\_\_\_\_

**5. ADDRESS OF TENDERER**

Address or Registered Office of Tenderer

Address for service of notices (NOT PO. BOX)

Telephone Number: ..... Fax Number: .....



SIGNED for and on behalf of *insert* )  
*organisation/ company name ABN (and* )  
*ACN if applicable) by:* )

---

*insert name and title*

---

*Signature*

### ANNEX C – TENDERER’S SUBMISSION CHECKLIST

This checklist is to be included with all proposals. Tenderers are to confirm that their proposal complies with the requirements of the Request for Tender (RFT) by initialling the box where appropriate.

This checklist is provided to Tenderers in order to assist in the submission of a proposal which conforms with the requirements of the RFT, however it is not an exhaustive list of these requirements. It is incumbent on Tenderers to ensure that proposals comply with the terms of the RFT. It should be considered that all requirements detailed in the RFT are mandatory and that failure to comply with any of the requirements detailed in the RFT may lead to a Tenderer’s proposal being deemed non-conforming.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Checked |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|
| <b>Tenderer’s Declaration</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |         |
| Has the Tenderer’s Declaration been completed and signed?                                                                                                                                                                                                                                                                                                                                                                                                                                                |         |
| For electronic lodgement has one (1) electronic copy in a separate file been submitted?                                                                                                                                                                                                                                                                                                                                                                                                                  |         |
| For hard copy lodgement, has one (1) printed original been submitted?                                                                                                                                                                                                                                                                                                                                                                                                                                    |         |
| <b>Tender Schedule A: Technical Proposal and Annexes</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                 |         |
| Is the Technical Proposal within the specified page limit?                                                                                                                                                                                                                                                                                                                                                                                                                                               |         |
| Does the Technical Proposal address each of the selection criteria individually?                                                                                                                                                                                                                                                                                                                                                                                                                         |         |
| Does the Technical Proposal indicate the Tenderer’s nominated contact person and contact details on the cover page?                                                                                                                                                                                                                                                                                                                                                                                      |         |
| Are the required annexes included and within the specified page limit(s)?                                                                                                                                                                                                                                                                                                                                                                                                                                |         |
| <p>If the Past Experience Annex is used have at least two (2) referees been nominated and has it been confirmed that they are:</p> <ul style="list-style-type: none"> <li>a) not an employee of, or the holder of a current executive office (or similar position) within the organisation of, or do not have a business in association with the Tenderer or a subsidiary of the Tenderer?</li> <li>b) not included in the Tender as proposed team members?</li> <li>c) not AusAID employees?</li> </ul> |         |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Checked |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|
| <p>Are both the Technical Proposal and annexes:</p> <ul style="list-style-type: none"> <li>a) in a type font of no less than 12 point on A4 paper?</li> <li>b) formatted with left and right page margins no less than 2.5cm and top and bottom page margins no less than 3cm, excluding headers, footers and page numbers?</li> <li>c) absent of AusAID's logo or any representation or mark which indicates that the Tenderer is in any way related to or connected with AusAID?</li> </ul> |         |
| For electronic lodgement, has one (1) electronic copy containing all parts and annexes been provided?                                                                                                                                                                                                                                                                                                                                                                                         |         |
| For hard copy lodgement, has one (1) printed original containing all parts and annexes been provided?                                                                                                                                                                                                                                                                                                                                                                                         |         |
| <b>Tender Schedule B: Specified Personnel</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                 |         |
| Are the CV's within the specified page limit and certified and signed by the nominated personnel?                                                                                                                                                                                                                                                                                                                                                                                             |         |
| Do the CV's include the name and personal contact details of the nominated personnel?                                                                                                                                                                                                                                                                                                                                                                                                         |         |
| Do the CV's include the nationality and, if relevant, permanent residency status of the nominated personnel?                                                                                                                                                                                                                                                                                                                                                                                  |         |
| Do the CV's include details of the professional qualifications of nominated personnel (if relevant), including institution and date of award?                                                                                                                                                                                                                                                                                                                                                 |         |
| Do the CV's include details of recent relevant professional and development work experience, including the duration and extent of inputs?                                                                                                                                                                                                                                                                                                                                                     |         |
| <p>Have at least two (2) referees been nominated on each of the CV's and has it been confirmed that they are:</p> <ul style="list-style-type: none"> <li>a) not an employee of, or the holder of a current executive office (or similar position) within the organisation of, or do not have a business in association with the Tenderer or a subsidiary of the Tenderer</li> <li>b) not included in the Tender as proposed team members?</li> <li>c) not AusAID employees?</li> </ul>        |         |

|                                                                                                                                                                                                                                                                                                                                                                            |                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
|                                                                                                                                                                                                                                                                                                                                                                            | <b>Checked</b> |
| For electronic lodgement, has one (1) electronic copy been provided in a separate file?                                                                                                                                                                                                                                                                                    |                |
| For hard copy lodgement, has one (1) printed original been provided?                                                                                                                                                                                                                                                                                                       |                |
| <b>Tender Schedule C: Financial Proposal</b>                                                                                                                                                                                                                                                                                                                               |                |
| Is the financial proposal in the required format?                                                                                                                                                                                                                                                                                                                          |                |
| Is the financial proposal a fully costed schedule of rates expressed in Australian dollars and based on the inputs as specified in the Scope of Services, including:<br>(a) escalation?<br>(b) necessary insurances required by the Contract Conditions and for the performance of the Services?<br>(c) detailed information on assumptions used in preparing the pricing? |                |
| For electronic lodgement has one (1) electronic copy been provided in a separate file?                                                                                                                                                                                                                                                                                     |                |
| For hard copy lodgement has one (1) printed original been provided in a separate, sealed envelope?                                                                                                                                                                                                                                                                         |                |
| <b>Tender Schedule D: Financial Proposal</b><br><b>(Option 1 or 2 is to be selected by Tenderers in accordance with the terms detailed in the RFT).</b>                                                                                                                                                                                                                    |                |
| <b>Option 1</b>                                                                                                                                                                                                                                                                                                                                                            |                |
| Have the names of the tendering entity and its ultimate owners been provided?                                                                                                                                                                                                                                                                                              |                |
| Have the names and financial relationships between the tendering entity, its parent entity (if relevant) and other related entities within the group been provided?                                                                                                                                                                                                        |                |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Checked |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|
| <p>Have the previous three (3) years annual financial statements for the tendering entity been provided including:</p> <ul style="list-style-type: none"> <li>(a) a balance sheet?</li> <li>(b) a profit and loss statement?</li> <li>(c) a cashflow statement?</li> <li>(d) contact name and telephone number of the Tenderer's financial accountant?</li> <li>(e) a statement detailing any other tendering opportunities being pursued by the tendering entity or the group and the likely impact of such tendering opportunities on the financial capacity of the Tenderer to discharge its potential contractual obligations to AusAID under this RFT ?</li> </ul> |         |
| For electronic lodgement, has one (1) electronic copy been provided in a separate file?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |         |
| For hard copy lodgement, has one (1) printed copy been provided in a separate sealed envelope?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |         |
| <b>Option 2</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |         |
| Has the date of the most recent financial assessment and the name of the Tender for which this assessment was undertaken been provided?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |         |
| Has an explanation of why the Tenderer believes a further financial assessment is not necessary been provided?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |         |
| Has a statutory declaration declaring that the Tenderer's financial position has not altered materially since the date of the previous assessment been provided?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |         |
| For electronic lodgement, has one (1) electronic copy been provided in a separate file?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |         |
| For hard copy lodgement, has one (1) printed copy been provided in a separate sealed envelope?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |         |

## **ANNEX D – CONDITIONS FOR USE OF AUSTENDER, THE AUSTRALIAN GOVERNMENT ONLINE TENDER SYSTEM**

### **1. AUSTENDER, THE AUSTRALIAN GOVERNMENT ONLINE TENDER SYSTEM**

- 1.1 AusTender is the online tendering system for Australian Government Agencies. AusTender allows tenderers to download tender documentation and upload tender responses. Tenderers must first register with AusTender at <https://www.tenders.gov.au>.
- 1.2 Access to and use of AusTender is subject to terms and conditions. Tenderers must agree to comply with those terms and conditions and any applicable instructions, processes, procedures and recommendations as advised on AusTender.
- 1.3 It is the responsibility of tenderers to ensure that their infrastructure including operating system and browser revision levels meet the minimum standards as defined on AusTender. Neither AusAID nor the Commonwealth take any responsibility for any problems arising from tenderers' infrastructure and/or Internet connectivity.
- 1.4 Tenderers must inform themselves concerning all security measures and other aspects of the AusTender technical environment. Tenderers must make their own assessment of the AusTender system prior to using it for any matter related to this RFT and no responsibility will be accepted by AusAID arising in respect of any use or attempted use by any party of AusTender.

### **2. REGISTERED TENDERERS AND NOTICES**

- 2.1 In the event that AusAID elects to vary or supplement this RFT or change the conditions of tender, it will make reasonable efforts to inform tenderers in accordance with this **Clause 2**.
- 2.2 Tenderers may be informed by notices and other information issued as addenda posted on the webpage for this RFT on AusTender.
- 2.3 Tenderers who have registered and downloaded the tender documentation will be notified by AusTender via email of any addenda issuance. It is in the interest of tenderers to ensure they have correctly recorded their contact details prior to downloading tender documentation. If tenderers have not recorded their details correctly, they should amend their details and download the tender documentation again.
- 2.4 Tenderers are required to log in to AusTender and collect addenda as notified.
- 2.5 The Commonwealth will accept no responsibility if a tenderer fails to become aware of any addendum notice which would have been apparent from a visit to the AusTender page for this RFT.
- 2.6 If a tenderer has obtained tender documentation other than from AusTender, they must visit AusTender, register as a user and download the tender documentation for this RFT.

### **3. AUSTENDER HELP DESK**

- 3.1 All queries and requests for technical or operational support must be directed to:

AusTender Help Desk  
 Telephone: 1300 651 698  
 Email: [tenders@finance.gov.au](mailto:tenders@finance.gov.au)

The AusTender Help Desk is available between 9am and 5pm Canberra Time, Monday to Friday (excluding ACT and national Australian public holidays).

#### 4. **PREPARING TO LODGE A TENDER ELECTRONICALLY**

##### **Virus Checking**

- 4.1 In submitting their tenders electronically, tenderers warrant that they have taken reasonable steps to ensure that tender response files are free of viruses, worms or other disabling features which may affect AusTender and/or AusAID computing environment. Tenders found to contain viruses, worms or other disabling features will be excluded from the evaluation process.

##### **Tender File Formats, Naming Conventions and Sizes**

- 4.2 Tenderers must lodge their tender in accordance with the requirements set out in this **Clause 4** for file format/s, naming conventions and file sizes. Failure to comply with any or all of these requirements may result in the tender not uploading successfully and/or may eliminate the bid from consideration.
- 4.3 AusAID will accept tenders lodged in the **File Formats for Electronic Tenders** specified in the Tender Particulars (**Clause 1 of Part 1** of this RFT).
- 4.4 The tender file name/s must:
- (a) incorporate the tenderer's company name
  - (b) reflect the various parts of the bid they represent, where the tender comprises multiple files;
  - (c) not contain \ / : \* ? " < > | characters. Check your files and re-name them if necessary; and
  - (d) not exceed 100 characters.
- 4.5 Tender files:
- (a) must not exceed a combined file size of 5 megabytes per upload;
  - (b) should be uploaded from a high level directory on a tenderer's desktop, so as not to impede the upload process; and
  - (c) should be zipped (compressed) together for transmission to AusTender.
- 4.6 AusTender will accept up to a maximum of five files in any one upload of a tender. Each upload should not exceed the combined file size limit of 5 megabytes. If an upload would otherwise exceed 5 megabytes, the tenderer should either:
- (a) transmit the tender files as a compressed (zip) file not exceeding 5 megabytes; and/or

- (b) lodge the tender in multiple uploads ensuring that each upload does not exceed 5 megabytes and clearly identify each upload as part of the tender.
- 4.7 If a tender consists of multiple uploads, due to the number of files or file size, tenderers should ensure that transmission of all files is completed before the **Closing Time**.
- 4.8 Tenders must be completely self-contained. No hyperlinked or other material may be incorporated by reference.

**Scanned or Imaged Material, including Statutory Declarations**

- 4.9 Scanned images of signed and/or initialled pages within the tender, including Statutory Declarations and Deeds of Confidentiality, where they are required, are permitted so long as the total file size does not exceed the 5 megabyte limit. The use of scanned or imaged material, where it expands the tender file size beyond the 5 megabyte limit per upload, is prohibited.

**5. ELECTRONIC LODGEMENT PROCESS**

- 5.1 Before submitting an electronic tender, tenderers must:
  - (a) ensure their technology platform meets the minimum requirements identified on AusTender;
  - (b) refer to AusTender’s Help guidance, if required, on uploading tenders;
  - (c) take all steps to ensure that the tender is free from anything that might reasonably affect useability or the security or operations of AusTender and/or AusAID computing environment;
  - (d) ensure that the tender does not contain macros, script or executable code of any kind unless that specific material has previously been approved in writing by AusAID; and
  - (e) ensure that the tender complies with all file type, format, naming conventions, size limitations or other requirements specified in **Clause 4** above or otherwise advised by AusAID or required by AusTender.
- 5.2 Tenderers must allow sufficient time for tender lodgement, including time that may be required for any problem analysis and resolution prior to the **Closing Time**.
- 5.3 Tenderers should be aware that holding the “Lodge a Response” page in the web browser will not hold the electronic tender box open beyond the **Closing Time**. An error message will be issued if the lodgement process is attempted after the **Closing Time**.
- 5.4 Tenders lodged through AusTender will be deemed to be authorised by the tenderer.
- 5.5 If tenderers have any problem in accessing the AusTender website or uploading a tender they must contact AusAID via the nominated **Contact Person (Clause 1 of Part 1)** or the AusTender Help Desk (**Clause 3.1** above) **prior to the Closing Time**. Failure to do so will exclude a tender from consideration.



6. **LATE TENDERS, INCOMPLETE TENDERS AND CORRUPTED FILES**

- 6.1 Any attempt to lodge a tender electronically after the **Closing Time** will not be permitted by AusTender. Such a tender will be deemed to be a Late Tender.
- 6.2 Where electronic submission of a tender has commenced prior to the **Closing Time** but concluded after the **Closing Time**, and upload of the tender file/s has completed successfully, as confirmed by AusTender system logs, the tender will not be deemed to be a Late Tender. Such tenders will be identified by AusTender to AusAID as having commenced transmission prior to, but completed lodgement after, **Closing Time**.
- 6.3 Where a tender lodgement consists of multiple uploads, due to the number and/or size of the files, tenderers must ensure that transmission of all files is completed and receipted before the **Closing Time** and **Clause 6.2** above will only apply to the final upload.
- 6.4 Late Tenders, incomplete tenders, including those with electronic files that cannot be read or decrypted, tenders which AusAID believes to potentially contain any virus, malicious code or anything else that might compromise the integrity or security of AusTender and/or AusAID's computing environment, will be excluded from evaluation.

7. **PROOF OF LODGEMENT**

- 7.1 When a tender lodgement has successfully completed, an official receipt is provided on screen. The on-screen receipt will record the time and date the tender was received by AusTender and will be conclusive evidence of successful lodgement of a tender. It is essential that tenderers save and print this receipt as proof of lodgement. A separate email confirming receipt of the tender will also be automatically dispatched to the email address of the registered user whose details were recorded at login.
- 7.2 Failure to receive a receipt means that lodgement has not completed successfully. Where no receipt has been issued by AusTender, the attempted lodgement will be deemed to have been unsuccessful. Tenderers should refer to **Clauses 5.2 and 5.5** above.

8. **AUSTENDER SECURITY**

- 8.1 Tenderers acknowledge that although the Commonwealth has implemented the security measures described on AusTender, the Commonwealth does not warrant that unauthorised access to information and data transmitted via the Internet will not occur.
- 8.2 Tenderers acknowledge that:
  - (a) lodgement of their tender on time and in accordance with these conditions of tender is entirely their responsibility; and
  - (b) AusAID will not be liable for any loss, damage, costs or expenses incurred by tenderers or any other person if, for any reason, a tender or any other material or communication relevant to this RFT, is not received on time, is corrupted or altered or otherwise is not received as sent, cannot be read or decrypted, or has its security or integrity compromised.

**PART 6 - STANDARD CONTRACT CONDITIONS**

**Note to Tenderers:** Although these Standard Contract Conditions are presented as **Part 6** of this RFT, in the consolidated Contract Conditions they will appear as **Part B**.

**PART B – STANDARD CONTRACT CONDITIONS**

**CONTENTS**

|                                                           |            |
|-----------------------------------------------------------|------------|
| <b>PART B – STANDARD CONTRACT CONDITIONS</b>              | <b>135</b> |
| 1. INTERPRETATION                                         | 135        |
| 2. SCOPE OF CONTRACT                                      | 139        |
| 3. AUSAID’S OBLIGATIONS                                   | 140        |
| 4. NON-EXCLUSIVITY                                        | 140        |
| 5. PROVISION OF SERVICES                                  | 140        |
| 6. EARLY NOTIFICATION                                     | 141        |
| 7. CONTRACTOR PERSONNEL                                   | 141        |
| 8. SPECIFIED PERSONNEL                                    | 142        |
| 9. PROJECT VEHICLE CONTRIBUTION                           | 144        |
| 10. PROCUREMENT SERVICES                                  | 144        |
| 11. SUB-CONTRACTING                                       | 145        |
| 12. CONTRACT AMENDMENTS AND VARIATION                     | 146        |
| 13. EXTENSION OF TIME                                     | 149        |
| 14. HANDOVER                                              | 150        |
| 15. ACCOUNTS AND RECORDS                                  | 151        |
| 16. REPORTS                                               | 151        |
| 17. REVIEWS                                               | 151        |
| 18. AUDITS                                                | 152        |
| 19. ACCESS TO THE CONTRACTOR’S PREMISES, DATA AND RECORDS | 153        |
| 20. PAYMENT                                               | 153        |
| 21. GOODS AND SERVICES TAX                                | 155        |
| 22. INTELLECTUAL PROPERTY RIGHTS                          | 155        |
| 23. INTELLECTUAL PROPERTY RIGHTS INDEMNITY                | 156        |
| 24. MORAL RIGHTS                                          | 156        |
| 25. CONFIDENTIALITY                                       | 156        |
| 26. PRIVACY                                               | 157        |
| 27. AusAID USE OF CONTRACT INFORMATION                    | 158        |
| 28. PUBLICITY                                             | 158        |
| 29. WARRANTIES                                            | 159        |
| 30. PERSONNEL SECURITY                                    | 159        |
| 31. TERMINATION FOR CONTRACTOR DEFAULT                    | 160        |
| 32. TERMINATION FOR CONVENIENCE                           | 161        |
| 33. INDEMNITY                                             | 162        |
| 34. INSURANCE                                             | 162        |
| 35. CONFLICT OF INTEREST                                  | 164        |
| 36. FRAUD                                                 | 164        |
| 37. COMPLIANCE WITH LAWS, GUIDELINES AND POLICIES         | 166        |
| 38. INVESTIGATION BY THE OMBUDSMAN                        | 168        |
| 39. RESOLUTION OF DISPUTES                                | 169        |
| 40. NOTICES                                               | 169        |
| 41. MISCELLANEOUS                                         | 170        |

## PART B – STANDARD CONTRACT CONDITIONS

### 1. INTERPRETATION

#### 1.1 Definitions

In this Contract, unless the context otherwise requires:

**"APS Code of Conduct"** refers to the code of conduct of the Australian Public Service (for details visit the Internet website address: [www.apsc.gov.au](http://www.apsc.gov.au)).

**"APS Values"** refers to the values of the Australian Public Service (for details visit the Internet website address: [www.apsc.gov.au](http://www.apsc.gov.au)).

**"Associates"** means an organisation or organisations whom the Contractor identified in its tender for the Project as an associate or joint venturer or consortium member to provide the Services.

**"Auditor-General"** has the meaning set out in the *Auditor-General Act 1997*.

**"AusAID Confidential Information"** means information that:

- (a) is designated by AusAID as confidential; or
- (b) the Contractor knows or ought to know is confidential;
- (c) is comprised in or relating to the Contract Material, the Data, any Intellectual Property of AusAID or third parties where the third party Intellectual Property is made available by or on behalf of AusAID, or the internal management and structure of the Department of Foreign Affairs and Trade, AusAID or the Commonwealth of Australia;
- (d) is personal information under the *Privacy Act 1988*, but does not include this Contract or information which:
- (e) is or becomes public knowledge other than by breach of this Contract or any other confidentiality obligation; or
- (f) has been independently developed or acquired by the Contractor, as established by written evidence.

**"AusAID Eligibility Criteria"** means the criteria organisations wishing to tender for AusAID contracts must satisfy. Details are available at <http://www.ausaid.gov.au/business/contracting/eligibility.cfm>.

**"Business Day"** means a day on which AusAID is open for business.

**"Commonwealth"** means Commonwealth of Australia or AusAID, as appropriate.

**"Commonwealth Procurement Guidelines"** means the guidelines issued by the Minister for Finance and Administration that governs purchasing by Commonwealth agencies and departments. Details are available at: [http://www.finance.gov.au/procurement/procurement\\_guidelines.html](http://www.finance.gov.au/procurement/procurement_guidelines.html).

**"Contract"** means this agreement including all Parts, the Schedules and any annexes.

**"Contract Conditions"** means the provisions contained in Part A **"Project Specific Conditions"** and Part B **"Standard Conditions"** of the Contract excluding the Schedules and any annexes.

**"Contract Material"** means all material created or required to be developed or created as part of, or for the purpose of performing, the Services, including documents, equipment, information and data stored by any means.

**"Contractor Personnel"** means personnel either employed by the Contractor or Associates, engaged by the Contractor or Associates on a sub-contract basis, including the Specified Personnel, or agents of the Contractor or Associates engaged in the provision of the Services.

**"Control"** has the meaning given to that term in the *Corporations Act 2001*.

**"Cost"** or **"Costs"** means any actual costs or expenses.

**"Criminal Record Check"** means a check of an individual's criminal history record, conducted by the police or other authority responsible for conducting such checks.

**"Data"** includes any information provided to the Contractor under this Contract from any source, or collected or created by the Contractor in connection with the Services, whether in magnetic, electronic, hardcopy or any other form.

**"Director of Equal Opportunity for Women in the Workplace"** means the person so named in section 9 of the *Equal Opportunity for Women in the Workplace Act 1999*.

**"Dispute Notice"** means a notice of dispute given by one Party to the other Party under this Contract.

**"Document"** includes:

- (a) any paper or other material on which there is writing or printing or on which there are marks, figures, symbols or perforations having a meaning for persons qualified to interpret them; and
- (b) a disc, tape or other article, or any material, from which sounds, images, writings or messages are capable of being reproduced with or without the aid of any other article or device.

**"Encumbrance"** means any lien, mortgage, charge or third party right or interest.

**"Fees"** means the fees for the Services set out in **Schedule 2**, including Reimbursable Costs.

**"Force Majeure Event"** means any of the following where they are beyond the reasonable control of the Contractor or AusAID and where they make it impossible to perform the Contract obligation:

- (a) acts of God, lightning strikes, earthquakes, floods, storms, explosions, fires and any natural disaster;
- (b) acts of war (whether declared or not), invasion, acts of foreign enemies, mobilisation, requisition, or embargo;

- (c) acts of public enemies, terrorism, riots, civil commotion, malicious damage, sabotage, rebellion, insurrection, revolution, or military usurped power, or civil war; and
- (d) contamination by radio-activity from any nuclear fuel, or from any nuclear waste from the combustion of nuclear fuel, radio-active toxic explosion, or other hazardous properties of any explosive nuclear assembly or nuclear component of such assembly.

"**GST**" means the goods and services tax imposed by *A New Tax System (Goods and Services Tax) Act 1999* (Cth).

"**Intellectual Property**" means business names, copyrights, patents, trade marks, service marks, trade names, designs, and similar industrial, commercial and intellectual property.

"**Loss**" or "**Losses**" means any loss, losses, damage, liability, cost or expense including legal expenses on a solicitor and own client basis.

"**MOU**" or "**Treaty**" (if any) means the Memorandum of Understanding or treaty in relation to development cooperation, including any related "**Subsidiary Arrangement**" entered into between AusAID and the government of the Partner Country which deals with a number of governmental arrangements relevant to this Contract.

"**NAA**" means National Archives of Australia.

"**Partner Government**" means the Government of the Partner Country.

"**Party**" means AusAID or the Contractor.

"**Personal Information**" means information or an opinion (including information or an opinion forming part of a database), whether true or not and whether recorded in a material form or not, about an individual whose identity is apparent, or can reasonably be ascertained, from the information or opinion, as set out in section 6 of the *Privacy Act 1988* (Cth).

"**Police Clearance Certificate**" means the certificate showing the results of a "**Criminal Record Check**", issued by the police or other authority responsible for conducting such checks.

"**Privacy Commissioner**" means the person so named in section 19 of the *Privacy Act 1988* (Cth).

"**Prior Material**" means all material developed by the Contractor or a third party independently from the Services whether before or after commencement of any Services.

"**Project Administration and Equipment**" means goods and services, such as office furniture, computers, vehicles, communications, utilities and office rent required by the Contractor for the day-to-day administration of the Project.

"**Project Specific Conditions**" means Contract Conditions in Part A of this Contract.

"**Project Start Date**" means the date specified in the Project Specific Conditions **Clause 2** (Project Commencement) as the date by which the Contractor must commence the Services.

**"Project Supplies"** means goods provided to the Partner Country by the Contractor during the course of the Project as required by this Contract.

**"Reimbursable Costs"** means any costs incurred by the Contractor for which AusAID shall reimburse the Contractor as specified in **Schedule 2**.

**"Relevant List"** means any similar list to the World Bank List maintained by any other donor of development funding.

**"Services"** means the services described in **Schedule 1** together with any supplies or materials incidental to the services.

**"Specified Acts"** means classes or types of acts or omissions performed by or on behalf of AusAID which would infringe an author's right of attribution, or integrity, of authorship but does not include those which would infringe an author's right not to have authorship falsely attributed.

**"Specified Personnel"** means the Contractor Personnel who are identified in **Schedule 1**.

**"Standard Conditions"** means Contract Conditions in Part B of this Contract.

**"Supplies"** means Project Supplies and Project Administration and Equipment purchased by the Contractor for use in this Project.

**"Tax"** means any income tax, capital gains tax, recoupment tax, land tax, sales tax, payroll tax, fringe benefits tax, group tax, profit tax, interest tax, property tax, undistributed profits tax, withholding tax, goods and services tax, consumption tax, value added tax, municipal rates, stamp duties and other fees, levies and impositions, assessed or charged, or assessable or chargeable by or payable to any governmental taxation or excise authority and includes any additional tax, interest, penalty, charge, fee or other amount imposed or made on or in relation to a failure to file a relevant return or to pay the relevant tax.

**"Working With Children"** means working in a position that involves regular contact with children, either under the position description or due to the nature of the work environment.

**"World Bank List"** means a list of organisations maintained by the World Bank in its "Listing of Ineligible Firms" or "Listings of Firms, Letters of Reprimand" posted at: <http://web.worldbank.org/external/default/main?theSitePK=84266&contentMDK=64069844&menuPK=116730&pagePK=64148989&piPK=64148984>

## **General**

In this Contract, including the recitals, unless the context otherwise requires:

- (e) the contractual obligations of the Parties must be interpreted and performed in accordance with the Contract as a whole;
- (f) Contract clause headings are for convenience only and shall not be taken into consideration in the interpretation or construction of the Contract;
- (g) a reference to any legislation or legislative provision includes any statutory modification or re-enactment of, or legislative provision substituted for, and any statutory instrument issued under, that legislation or legislative provision;
- (h) a word denoting the singular number includes the plural number and vice versa;

## **RFT Part 6 – Standard Contract Conditions**

- (i) a word denoting an individual or person includes a corporation, firm, authority, body politic, government or governmental authority and vice versa;
- (j) a word denoting a gender includes all genders;
- (k) a reference to a recital, clause, Schedule or annexure is to a recital, clause, Schedule or annexure of or to this Contract;
- (l) where used in the Contract the words “including” or “includes” will be read as “including, without limitation” or “includes, without limitation” (as the case may be);
- (m) a reference to any contract or document is to that contract or document (and, where applicable, any of its provisions) as amended, novated, supplemented or replaced from time to time;
- (n) “shall” and “must” denote an equivalent positive obligation;
- (o) a reference to any Party to this Contract, or any other document or arrangement, includes that Party’s executors, administrators, substitutes, successors and permitted assigns; and
- (p) a reference to "dollars" or "\$" is to an amount in Australian currency.

### **1.2 Counterparts of the Contract**

This Contract may be executed in any number of counterparts and by the different Parties on different counterparts, each of which constitutes an original Contract. In the event of any discrepancy between the Parties’ versions of the Contract, the Contract held by AusAID as the original will prevail.

### **1.3 Contract prevails**

If there is any inconsistency (whether expressly referred to or to be implied from the Contract or otherwise) between the Contract Conditions and the provisions in the Schedules, the Schedules are to be read subject to the Contract Conditions and the Contract Conditions prevail to the extent of the inconsistency.

### **1.4 Inconsistency**

If there is any inconsistency (whether expressly or to be implied from the Contract or otherwise) between the Project Specific Conditions and the Standard Conditions, the Project Specific Conditions are to be read subject to the Standard Conditions and the Standard Conditions prevail to the extent of the inconsistency, unless explicitly amended in the Project Specific Conditions.

## **2. SCOPE OF CONTRACT**

- 2.1 The Contract sets out the terms and conditions on which the Contractor agrees to secure the aims and objectives of the Project supplying, or procuring the supply of, the provision of the Services.
- 2.2 The activities to be performed and responsibilities assumed by the Contractor are detailed in **Schedule 1**.



- 2.3 Neither the Contractor, nor Contractor Personnel or Associates, shall by virtue of this Contract be, or for any purpose be deemed to be, and must not represent themselves as being, an employee, partner or agent of AusAID.

**3. AUSAID’S OBLIGATIONS**

3.1 AusAID must:

- (a) make payments to the Contractor in accordance with the Contract; and
- (b) perform, fulfill, comply with, submit to and observe the terms and conditions of the Contract, which are to be performed, fulfilled, complied with, submitted to or observed by AusAID.

**4. NON-EXCLUSIVITY**

- 4.1 The Contractor may not be the exclusive provider of services to AusAID similar to those provided under this Contract.
- 4.2 The Contractor must cooperate with any other service provider appointed by AusAID to ensure the integrated and efficient carrying on of the Project and must provide such reasonable assistance to other service providers as AusAID may reasonably request.

**5. PROVISION OF SERVICES**

5.1 In providing the Services, the Contractor must:

- (a) perform the Services as described in **Schedule 1** for the term of the Contract, unless the Contract is terminated earlier;
- (b) accept and implement AusAID’s reasonable directions in relation to the management of the Project;
- (c) use its best endeavours to ensure the spirit and intent of the Project are fully met by the Services, including acting within the spirit and intent of the Treaty, MOU or Subsidiary Arrangement;
- (d) liaise and cooperate with AusAID, with the stakeholders, and the Australian Diplomatic Mission in or having responsibility for the Partner Country especially in relation to security, personal safety and welfare matters;
- (e) subject to any flexibility permitted in the Contract, ensure all timing obligations included in the Contract are fully met;
- (f) within the term of the Contract assist AusAID in the maintenance and ongoing implementation of the Services, including monitoring and evaluating the Services to ensure results accord with the aims of the Project, and provide necessary handover assistance to any subsequent service provider and to the Partner Country;
- (g) ensure that the Services are provided to a standard which shall promote Australia's international reputation and standing as a source of skill and expertise in the provision of international assistance;

- (h) perform the Services in a manner which, as far as possible, establishes and maintains a harmonious, cooperative and effective working relationship with stakeholders and any personnel from the Partner Country involved in the Project;
- (i) if a Risk Management Plan for the Project has not been prepared prior to the Project Start Date, the Contractor must prepare a Risk Management Plan within 30 days after the Project Start Date. If requested by AusAID, the Contractor will make the Risk Management Plan available to AusAID;
- (j) whether a Risk Management Plan is prepared under paragraph (i) above, or was prepared prior to the Project Start Date (for example, as part of the Contractor's tender for the Project or as part of the Project Design Document or similar document), the Contractor must maintain and update the Risk Management Plan, as necessary, to ensure that at all times it adequately reflects Project risks and includes risk-minimisation strategies. The Contractor must promptly advise AusAID of any significant risks in accordance with **Clause 6 below**. The Contractor must also ensure the Risk Management Plan contains provisions for advance notification of AusAID of risks that may lead to disruption or delay of the Project;
- (k) liaise with, and obtain all necessary consents, approvals and authorisations from any public and other authorities in the Partner Country;
- (l) provide adequate support resources to secure the aims and objectives of the Project;
- (m) be responsive to the changing needs and environment of the Partner Country; and
- (n) seek to improve the quality, effectiveness and efficiency of the Services at every opportunity.

5.2 Without limiting its other obligations and liabilities under this Contract, the Contractor must remedy at its cost any failure to comply with its obligations to perform the Services in accordance with this Contract as soon as practicable after becoming aware of the failure.

5.3 The Parties recognise that the performance of the Services may be affected by changes to relevant policy in the Partner Country, and that some flexibility in the performance of the Services shall be required.

## **6. EARLY NOTIFICATION**

6.1 The Contractor must report immediately, and in any event within seven (7) days, to AusAID on any actual, perceived or anticipated problems or risks that may have a significant effect on the achievement of the aims and objectives of the Project, on the cost to AusAID or on the delivery or operation of the Services. If the report is first given orally, the Contractor must promptly confirm the report in writing to AusAID. The Contractor must recommend to AusAID options to prevent or mitigate the impact of problems on the Project.

## **7. CONTRACTOR PERSONNEL**

7.1 The Contractor must ensure that Contractor Personnel are aware of, and must use its best endeavours to ensure Contractor Personnel comply with, the requirements of the Contract.

7.2 The Contractor must use its best endeavours to ensure that all Contractor Personnel conduct themselves in a manner consistent with the *Public Service Act 1999*, and in accordance with *APS Values* and the *APS Code of Conduct*.

- 7.3 The Contractor must use its best endeavours to ensure that no Contractor Personnel or their accompanying family members:
- (a) become involved in the political affairs of the Partner Country (unless citizens of the Partner Country);
  - (b) interfere in the religious affairs of the Partner Country (unless citizens of the Partner Country); or
  - (c) share information known as a result of their work on, or relationship to, the Project, in a way that a reasonable person could foresee may be detrimental to the relationship between the Australian and Partner Governments.
- 7.4 The Contractor must not employ a currently serving Commonwealth employee in any capacity in connection with the Services without the prior written approval of AusAID.
- 7.5 The Contractor must ensure that media advertisements placed by the Contractor for personnel to fill a Project position acknowledge that the Project is funded by AusAID as part of the Australian Government's official overseas aid program.
- 7.6 AusAID may give notice to the Contractor requiring the Contractor to remove any Contractor Personnel from work in respect of the Services. The Contractor must promptly arrange for the removal of such Contractor Personnel from work in respect of the Services and their replacement (if required) with Contractor Personnel acceptable to AusAID.
- 7.7 The Contractor must advise AusAID promptly in writing of any change in the circumstances of any Contractor Personnel that, in the Contractor's reasonable opinion, is likely to affect AusAID's assessment of the person under the Contract.
- 7.8 The Contractor must advise AusAID immediately in writing if any Contractor Personnel is arrested for, or convicted of, criminal offences relating to child abuse, or for accessing or possessing child pornography. AusAID may require Contractor Personnel under formal investigation in relation to such criminal offences to be suspended from duty or transferred to other duties during the investigation.
- 7.9 AusAID may give notice to the Contractor requiring the Contractor to temporarily suspend from duty, or transfer to other duties, any Contractor Personnel who are under criminal or internal investigation in relation to child abuse or accessing or possessing child pornography. The Contractor must comply promptly with any such notice.
8. **SPECIFIED PERSONNEL**
- 8.1 The Contractor must provide all Specified Personnel for the Project and for the minimum periods specified in **Schedule 1**.
- 8.2 During the minimum periods specified in **Schedule 1**, the Long Term Advisers included in the Specified Personnel must be exclusively dedicated to the Project and only perform the duties required under this Contract unless otherwise agreed in writing by AusAID.
- 8.3 The Contractor must use its best endeavours to ensure that persons nominated as Specified Personnel:
- (a) are of good fame and character;

- (b) are properly qualified for the tasks they are to perform;
- (c) are certified as fit and healthy by a legally qualified medical practitioner to work in the Partner Country, and have received the necessary medical advice, including that on vaccinations and other preventive medical assistance allowing them to undertake work in-country in a safe manner;
- (d) are adequately briefed and understand the environment and culture of the Partner Country; and
- (e) act in a fit and proper manner while they are carrying out work or performing duties under the Contract.

8.4 The Contractor must use its best endeavours to secure the availability of Specified Personnel for the term of the Contract. If a change to Specified Personnel is required the Contractor must provide AusAID with not less than 3 months prior written notice of such change except where circumstances beyond the reasonable control of the Contractor make the giving of such notice impracticable. Any proposed change to the Specified Personnel must only be made after written consent from AusAID and in accordance with the procedure set out in **Clause 8.8 below**.

8.5 Subject to **Clause 8.4 above**, Specified Personnel may be temporarily absent from the Project. Where Specified Personnel are unavailable for work in respect of the Contract, for any period in excess of 2 weeks, the Contractor must notify AusAID in writing immediately and, if requested, must provide replacement personnel acceptable to AusAID and the Partner Government at no additional charge and at the earliest opportunity and for the duration of the absence.

8.6 The costs incurred by the Contractors in providing temporary substitute personnel due to the absence of Specified Personnel are the responsibility of the Contractor.

8.7 The Contractor must advise AusAID promptly in writing of any change in the circumstances of any Specified Personnel that, in the Contractor's reasonable opinion, is likely to affect AusAID's assessment of the person under the Contract.

8.8 Before appointing Specified Personnel to the Project, the Contractor must obtain AusAID's written approval. In seeking approval of proposed Specified Personnel the Contractor must provide to AusAID:

- (a) the full names, dates of birth, and nationalities of proposed personnel and their accompanying dependants;
- (b) a statement demonstrating that the proposed personnel has the demonstrated skills and capacity to undertake the job specification of the position and duration of the proposed appointment;
- (c) a copy of the proposed person's curriculum vitae certified as accurate by the person and showing recent and relevant experience and formal qualifications including dates of award; and
- (d) assurances that the nominated personnel has no existing commitments (defined in relation to the commitments of the position and not the individual's commitment to a particular organisation), to other AusAID projects that will suffer detriment if accepted on this Project. If this is not the case AusAID may require further information before assessing the individual's suitability.

- 8.9 If the Contractor is unable to provide acceptable replacement Specified Personnel with equivalent qualifications and experience AusAID may seek a reduction in fees.
- 8.10 This clause is a fundamental term of the Contract, such that breach shall entitle AusAID to terminate the Contract in accordance with **Clause 31** and sue for damages.

**9. PROJECT VEHICLE CONTRIBUTION**

- 9.1 For the purposes of this clause, “Project Vehicles” are defined as vehicles paid for by AusAID, remain the responsibility of the Contractor for the term of the Project and that are provided for Project activities. Vehicles purchased by the Project, but handed over to the Partner Country immediately (where maintenance and insurance are Partner Country responsibilities and Contractor Personnel do not use the vehicles or only use them on an exceptional basis) are not considered Project Vehicles for the purposes of requiring a financial contribution by the Contractor.
- 9.2 In consideration of the Contractor being entitled to use Project Vehicles for non-project use the Contractor must contribute \$250.00 for each Project Vehicle for each month of the Project. The contribution will be deducted by the Contractor and must be clearly identified on the Contractor’s invoices.
- 9.3 The Contractor must abide by the following requirements with regards to Project Vehicles:
- (a) Project demands must always take precedence over private use;
  - (b) the Contractor must ensure that any persons driving the Project Vehicle must have a current valid international or Partner Country drivers license for the class of Project Vehicle;
  - (c) the Contractor is responsible for ensuring Project Vehicles are appropriately insured;
  - (d) the Contractor is responsible for ensuring that seat belts are fitted and must make every effort to ensure that they are worn at all times by drivers and all passengers;
  - (e) the Contractor is responsible for any costs incurred in the event of an accident while the Project Vehicle is being privately used; and
  - (f) the Contractor must ensure that Project Vehicles are serviced in accordance with manufacturer’s requirements.

**10. PROCUREMENT SERVICES**

- 10.1 In procuring all Supplies, the Contractor must:
- (a) determine what Supplies are required for proper implementation of the Services and advise AusAID;
  - (b) keep AusAID informed of ongoing requirements for Supplies in connection with the Project;
  - (c) implement procedures so that procurement is undertaken in a manner that is consistent with the Commonwealth Procurement Guidelines, in particular, observing the Core Principle of achieving value for money and the supporting principles;

- (d) maintain complete and accurate records documenting the procedures followed in procuring, and the particulars of Supplies; and
  - (e) use its best endeavours to ensure Supplies are maintained including taking appropriate steps to ensure that manufacturers' warranties of Supplies are secured and warranty conditions followed.
- 10.2 The Contractor shall bear the loss or damage in respect of Supplies until handover of Supplies to the Partner Government.
- 10.3 The Contractor must use its best endeavours to ensure all Supplies are free from defects in design, material, manufacture or workmanship. The Contractor must replace defective Supplies under warranty provisions or at its own cost.
- 10.4 The Contractor shall establish and maintain a Register of Assets which shall record any non-consumable Supplies valued at \$2,000 or more, which at a minimum contains the following information: reference identification number (which may be for example, a serial number, engine number or chassis number); description of the asset; date of procurement; cost; location; current status; and disposal or handover details.
- 10.5 The Register of Assets and associated documentation such as import documents, invoices and warranties shall be subject to audit by or on behalf of AusAID at any time and from time to time.
- 10.6 The Contractor must use the Supplies for purposes permitted under this Contract only and must ensure that the Supplies at all times remain free from any Encumbrance.
- 11. SUB-CONTRACTING**
- 11.1 The Contractor may not sub-contract the whole of the Services. The sub-contracting of parts or elements of the performance of the Services is subject to compliance with the following requirements:
- (a) the sub-contracting of any of the Services shall not relieve the Contractor from any responsibility under this Contract;
  - (b) the Contractor must implement procedures that are consistent with the principles of the Commonwealth Procurement Guidelines for the engagement of all sub-contracting of the Services, with the exception of Associates;
  - (c) the Contractor must ensure that sub-contracts include equivalent provisions regarding the Contractor's relevant obligations under this Contract. In particular sub-contractors must:
    - (i) comply with relevant and applicable laws, regulations and development policies, including AusAID's *Child protection policy* (<http://www.ausaid.gov.au/publications/pubs.cfm?Type=PubPolicyDocuments>) and child protection compliance standards (Attachment 1 to the policy), both in Australia and in the Partner Country;
    - (ii) be insured to at least the minimum of their statutory obligations and that they carry all commercially prudent insurances for the activities to be undertaken as part of the Services;

- (iii) be bound by the same obligations regarding **Clauses 15** (Accounts and Records), **18** (Audits), **19** (Access to Premises), **26** (Privacy), and **35.4** (Anti-corruption) **below** and as required by Project Specific Conditions **Clause 3** (Accounts and Records) as the Contractor; and
- (iv) be bound by appropriate obligations in relation to ongoing software licensing, computer maintenance requirements and handover arrangements to the Partner Country;
- (d) if requested by AusAID, the Contractor must provide to AusAID an executed Deed of Novation and Substitution in the form at **Schedule 5** (Deed of Novation), contemporaneously with or within 10 Business Days of execution of the sub-contract by the Contractor;
- (e) the sub-contract must include the right of AusAID, in the event of AusAID issuing a notice of substitution under the Deed of Novation at **Schedule 5** (Deed of Novation), to further novate the sub-contract to another contractor;
- (f) the Contractor must maintain up-to-date records of the names of all sub-contractors engaged by the Contractor to perform part of the Services. If requested by AusAID, the Contractor must, within five (5) working days, provide to AusAID a copy of the records of sub-contractors' names, in the format specified by AusAID. The sub-contract must include the right of AusAID to disclose the name of the sub-contractor, in accordance with **Clause 27 (AusAID Use of Contract Information) below**;
- (g) the Contractor must maintain records as to the performance of each of the sub-contractors engaged by the Contractor including details of any defects in such performance and the steps taken to ensure compliance with the Contract and provide to AusAID if requested; and
- (h) if requested by AusAID, the Contractor must provide to AusAID a copy of any proposed or executed sub-contract.

11.2 The obligations of **Clause 11.1 above** apply equally to Associates.

11.3 Despite any consent or approval given by AusAID, the Contractor shall remain at all times responsible for ensuring the suitability of Associates and sub-contractors and for ensuring that the Services are performed in accordance with the Contract.

## **12. CONTRACT AMENDMENTS AND VARIATION**

12.1 The Contractor must not do anything that amounts to a variation of this Contract in whole or in part otherwise than in accordance with this clause.

### **Contract Amendment**

12.2 Changes to Contract Conditions shall not be legally binding upon either party unless agreed in writing and signed by both Parties in the form of a Deed of Contract Amendment.

### **Contract Variation**

12.3 AusAID or the Contractor may propose variations to the manner of providing the Services or changes to the Project, at any time for the purpose of improving the delivery of the Services or, the efficiency, cost-effectiveness and development impact of the Services. These changes shall be subject to a "Variation Order" as described below.

- 12.4 The Contractor must prepare a “Variation Proposal” for any variation sought by AusAID, or the Contractor, at no extra cost to AusAID and this must be submitted to AusAID in a timely manner.
- 12.5 The Variation Proposal must include specific identification of the proposed change, associated cost implications, a cost/benefit and risk analysis, including of developmental impact improvements, a general plan for implementation of the change and a projected time schedule.
- 12.6 No proposed variation must take effect unless it is in writing, titled a “Variation Order” and signed by AusAID. A summary of changes effected by Variation Orders will be maintained in the form set out in **Schedule 4B** (Variation Summary Schedule).

### **Costing Variations**

- 12.7 If AusAID approves the Contractor’s Variation Proposal including any increase or decrease in the amount payable, implementation shall be effected by issuing a Variation Order in the form of **Schedule 4A** and the Contractor must promptly perform the variation.
- 12.8 If AusAID does not approve the Contractor’s cost estimate in the Variation Proposal and appropriate rates on which to cost the variation are not included in **Schedule 2**, the Parties undertake to negotiate a fair and reasonable amount within 20 Business Days.
- 12.9 In the event that the Parties cannot resolve a reasonable amount within 20 Business Days, either Party may seek to have an independent third party appointed pursuant to **Clause 39** (Resolution of Disputes), to determine a reasonable amount and the Parties agree that they shall be bound by that determination.
- 12.10 If AusAID does not approve the Contractor’s cost estimate in the Variation Proposal and there are appropriate rates included in **Schedule 2**, AusAID may nevertheless issue a Variation Order requiring the Contractor to carry out the variation in the form of **Schedule 4A** and subject to the appropriate rates and fees detailed **Schedule 2**.
- 12.11 Where no agreement can be reached, AusAID reserves the right to perform itself, or engage another service provider to perform, the services which were the subject of the Variation Proposal.

### **Omission of Services**

- 12.12 AusAID may issue a Variation Order omitting any part, though not the whole, of the Services. AusAID shall notify the Contractor in writing of its determination in respect of the valuation of the omitted services. The value of the omitted Services will be determined in accordance with **Schedule 2** having regard to:
- (a) the Services omitted from the Contract;
  - (b) any particular Payment Milestone affected by the omission;
  - (c) other relevant payments; and
  - (d) the extent of the work and/or inputs already performed or provided by the Contractor in respect of any such Payment Milestones.



- 12.13 AusAID will not be liable upon any claim by the Contractor as a result of any part of the Services being omitted, whether or not AusAID performs the omitted Services itself, or engages another service provider to carrying out the omitted Services.
- 12.14 If the Contractor disagrees with AusAID's determination of the value of the omitted Services, based on the rates included in **Schedule 2**, the Parties undertake to negotiate fair and reasonable amount within 20 Business Days.
- 12.15 In the event that the Parties cannot resolve a reasonable amount within 20 Business Days, either Party may seek to have an independent third party appointed pursuant to **Clause 39** (Resolution of Disputes), to determine a reasonable amount and the Parties agree that they shall be bound by that determination.

**Suspension and rescheduling of Services**

- 12.16 AusAID may issue a Variation Order directing the Contractor to:

- (a) suspend for such time as AusAID thinks fit, the progress of the whole or any part of the Services; or
- (b) reschedule the performance of Services to a time determined by AusAID.

AusAID does not have a duty to exercise this right for the benefit of the Contractor.

- 12.17 If the suspension or rescheduling is due to:

- (a) an act, default or omission of the Contractor or Contractor Personnel, the Contractor will not be entitled to any Costs or Losses arising from the suspension; or
- (b) any other cause:
  - (i) the Contractor will be entitled to be paid any extra reasonable Costs incurred by it as a result of the suspension subject to the Contractor taking reasonable steps to mitigate the extra Costs incurred;
  - (ii) AusAID's liability under the payments provisions of **Schedule 2** shall, in the absence of an agreement to the contrary, abate proportionately to the reduction in the Services.

- 12.18 Upon receipt of a Variation Order suspending part or all of the Services, the Contractor must:

- (a) stop work as specified;
- (b) take all reasonable steps to minimise any Loss resulting from the suspension and to protect Supplies and Contract Material; and
- (c) continue work on any part of the Services not affected by the suspension.

- 12.19 The Contractor must promptly recommence the performance of the Services when so directed by AusAID.

13. **EXTENSION OF TIME**

- 13.1 Subject to **Clause 13.6 below** neither AusAID nor the Contractor shall be in breach of the Contract to the extent that performance of their Contract obligations is prevented by any of the following causes (“**Relevant Causes**”) that arise during the term of the Contract:
- (a) a Force Majeure Event;
  - (b) a significant change in circumstances beyond the control of the Contractor;
  - (c) an Australia-wide or Partner Country-wide industrial dispute; or
  - (d) a change in the laws of Australia or the Partner Country that directly impacts on the provision of the Services.
- 13.2 Where in the Contractor’s reasonable opinion there is likely to be a delay in the Contractor’s discharging an obligation under the Contract because of a Relevant Cause the Contractor must:
- (a) immediately notify AusAID in writing when the Contractor considers any event or circumstance may cause a delay and the estimated period of delay or likely period of delay;
  - (b) give details of the likely effect on the Project and any Contractual implications;
  - (c) take all reasonable steps to mitigate the effects of any delay and make written recommendations of additional strategies to avoid or mitigate the effects of the event;
  - (d) request in writing an extension of time which the Contractor considers reasonable in all the circumstances in the form of a Variation Proposal in accordance with **Clause 12.5 above**; and
  - (e) use its best endeavours to continue to perform its obligations under the Contract.
- 13.3 AusAID must give consideration to the Contractor’s recommendations and request for an extension of time and as soon as practicable after receiving the request notify the Contractor in writing whether it has determined that a Relevant Cause has occurred and whether all or part of the request has been granted. AusAID’s approval of a request may be granted subject to conditions.
- 13.4 If AusAID approves in writing a request, the approval should be titled a “Variation Order” and recorded in the Variation Schedule, **Schedule 4B** (Variation Summary Schedule). The Contract shall be deemed to have been varied accordingly.
- 13.5 Even if the Contractor has not given notice under **Clause 13.2 above**, where AusAID considers that a delay has arisen, in whole or in part, because of an act or omission on the part of AusAID, its employees or agents, AusAID may, by notice in writing to the Contractor, extend the time for performance of the relevant obligation of the Contractor.
- 13.6 Where AusAID has determined that a Relevant Cause has occurred, and the Contractor’s inability to perform its obligations due to a Relevant Cause exceeds 45 Business Days, AusAID may:
- (a) notify the Contractor that the Contract is suspended for a specified period of time; or

- (b) delete part of the Services; or
  - (c) immediately or thereafter terminate the Contract in whole or in part by providing notice in writing to the Contractor.
- 13.7 A notice of suspension, deletion or termination of the Contract under **Clause 13.6 above** takes effect on the date that the notification is received by the Contractor.
- 13.8 In the event of suspension, deletion or termination of Services or the Contract under **Clause 13.6 above** the Contractor may claim, Fees for Services performed as payable under **Schedule 2**, prior to the date of suspension, deletion or termination, on a pro rata basis and Costs that are reasonably and properly incurred by the Contractor in connection with the Contract to the extent to which those Costs are unavoidable as a direct consequence of the suspension, deletion or termination of the Contract in accordance with this clause. Any Cost must be substantiated to AusAID and must not include loss of profits or any other form of expectation loss.
- 14. **HANDOVER**
  - 14.1 The Contractor must within 12 months of the Project Start Date draft a Handover Plan which includes all the functions to be performed to hand over the Project to the Partner Country in a manner which ensures the Partner Country is able to continue the Project and in particular to manage ongoing maintenance requirements as well as any other matters specified in **Schedule 1** and provide a copy to AusAID.
  - 14.2 The Contractor must make changes to the Handover Plan as reasonably requested by AusAID and update the Handover Plan as necessary during the Project but at least annually and 6 months before the end of the Contract.
  - 14.3 The Contractor must ensure that a finalised Handover Plan is provided to AusAID within seven (7) days of any early termination of the Project, or one month prior to the expected completion of the Project.
  - 14.4 The Contractor must provide all reasonable assistance and cooperation necessary to, on expiration or termination of the Contract, facilitate the provision of the Services by AusAID or an alternative service provider. In particular the Contractor must:
    - (a) deliver to AusAID or its nominee complete copies of all the Data, in a form and on a media approved by AusAID, and any other AusAID property including the Supplies held or used by the Contractor;
    - (b) either destroy or deliver to AusAID all copies of AusAID Confidential Information as required by AusAID;
    - (c) if requested by the Partner Country, facilitate the assignment to the Partner Country of sub-contracts relating to Supplies, including for licensing and support of information technology and any construction work maintenance;
    - (d) vacate the Project Office where this has been supplied by AusAID or the Partner Country;
    - (e) co-operate with AusAID and, if requested, AusAID's nominee, and provide reasonable assistance relating to the transfer of any contracts to AusAID, its nominee or the Partner Country;

- (f) provide all information necessary for an alternative service provider to assume provision of the Services;
- (g) continue the provision of the Services for as long as reasonably requested by AusAID on the terms and conditions of the Contract;
- (h) cooperate with AusAID and any other service provider in the ongoing provision of services similar to the Services; and
- (i) allow AusAID to audit compliance with this clause.

**15. ACCOUNTS AND RECORDS**

- 15.1 The Contractor must at all times maintain full, true, separate and up-to-date accounts and records in relation to the Fees, Supplies and the Services. Such accounts and records must:
- (a) record all receipts and expenses in relation to the Supplies and Services, including those involving foreign exchange transactions;
  - (b) be kept in a manner that permits them to be conveniently and properly audited;
  - (c) enable the extraction of all information relevant to this Contract;
  - (d) contain details of the disposition of Supplies as agreed to by AusAID such as replacement, write-off or transfer to the Partner Country; and
  - (e) the Contractor shall provide to AusAID a statement of Project expenditure on a regular basis for the duration of the Project. The details of the timing and content of the statement of expenditure are defined in Part A Project Specific Contract Conditions.
- 15.2 Accounts and records must be provided for inspection by AusAID immediately upon the request of AusAID.
- 15.3 The accounts and records must be held for the term of this Contract and for a period of seven (7) years from the date of expiry or termination of this Contract.

**16. REPORTS**

- 16.1 The Contractor must ensure that all reports required in accordance with **Schedule 1**, provide the information required and conform with the quality and format requirements specified.
- 16.2 The Contractor is responsible for any extra costs occasioned by any clarifications, discrepancies, errors or omissions in reports provided to AusAID or other information supplied in writing by the Contractor, provided such discrepancies, errors or omissions are not due to inaccurate information supplied in writing to the Contractor by AusAID.
- 16.3 AusAID may reject and withhold payment of Fees for any report which does not conform to the requirements of the Contract until the Contractor rectifies the report.

**17. REVIEWS**

- 17.1 For the purpose of ensuring that this Contract is being properly performed, AusAID may itself, or may appoint an independent person or persons to assist in the performance of, or to

perform, a review of this Contract at the frequency and in relation to any matter specified by AusAID.

17.2 The Contractor must participate cooperatively in any reviews conducted by AusAID or its nominees. In addition the Contractor must respond in writing to any draft review report within 28 days after the date of receipt by the Contractor of the draft report unless otherwise agreed in writing by AusAID.

17.3 Reviews may be conducted of:

- (a) the efficiency and effectiveness of the Contractor's operations in relation to the provision of the Services, including procurement and risk management procedures;
- (b) the accuracy and reliability of the Contractor's financial management systems;
- (c) the Contractor's compliance with their obligations under the Contract in relation to foreign exchange transactions;
- (d) the accuracy of the Contractor's reports in relation to the provision of the Services;
- (e) the Contractor's compliance with AusAID's *Child protection policy* and child protection compliance standards (Attachment 1 to the policy);
- (f) the Contractor's compliance with its Contractor Personnel, confidentiality and privacy obligations; or
- (g) any other matters relevant to the performance of any Services including user satisfaction.

17.4 Each Party must bear its own costs of any such reviews conducted by or on behalf of AusAID.

17.5 The requirement for, and participation in, reviews does not in any way reduce the Contractor's responsibility to perform its obligations in accordance with this Contract.

## **18. AUDITS**

18.1 Where AusAID has reasonable concerns regarding the Contractor's financial management systems AusAID must provide the Contractor with written notification of those concerns and what action is required of the Contractor. This may include:

- (a) that the Company Director must provide a Statutory Declaration confirming that they have sighted the necessary supporting documentation and confirm the veracity of the claim for payment;
- (b) providing AusAID with additional documentation to support the claim for payment; or
- (c) a direction that the Contractor engage an independent, suitable organisation to undertake an audit of those financial management systems, including invoicing procedures and practices.

18.2 The Contractor must respond to any notice received under **Clause 18.1 above** within 14 days.

18.3 Where the Contractor does not respond within 14 days, or the response does not alleviate AusAID's concern, AusAID reserves the right, if it has not already done so, to direct the Contractor to provide AusAID with certification from an independent auditor as described in **Clause 18.1(c) above**.

18.4 If AusAID directs the Contractor to undertake an independent audit under this clause:

- (a) the terms of reference must be agreed in writing by AusAID;
- (b) the audit must be undertaken according to the standards of the professional body relevant to the particular audit and those standards must be detailed in the terms of reference;
- (c) the Contractor will bear the total cost of the audit; and
- (d) AusAID will not make any further payments owed to the Contractor pending certification of the reliability of the Contractor's financial management systems and the veracity of the invoicing procedures and practices.

**19. ACCESS TO THE CONTRACTOR'S PREMISES, DATA AND RECORDS**

19.1 The Contractor must grant AusAID and/or its nominees (including the Auditor-General or the Privacy Commissioner or their delegates), access to the Contractor's premises, the Data, records, accounts and other financial material or material relevant to the Services, however and wherever stored, in the Contractor's or its sub-contractors' custody, possession or control, for inspection and copying.

19.2 Such access must be available to AusAID and its nominees:

- (a) during the hours of 9 am and 5 pm on a Business Day;
- (b) except in the case of a breach of this Contract, subject to reasonable prior notice; and
- (c) at no additional charge to AusAID.

19.3 In the case of documents or records stored on a medium other than in writing, the Contractor must make available on request such reasonable facilities as may be necessary to enable a legible reproduction to be created at no additional cost to AusAID.

19.4 This clause applies for the term of this Contract and for a period of seven (7) years from the date of its expiration or termination.

**20. PAYMENT**

20.1 AusAID must make payment of the Fees within 30 days of:

- (a) AusAID's acceptance of the satisfactory completion of the Services or relevant Payment Milestone as specified in **Schedule 2**; and
- (b) receipt of a correctly rendered invoice.

20.2 It is AusAID corporate practice to inform Contractors as soon as reasonably possible, and in any case within 30 days of receipt of notice of the completion of an identified output or provision of a report whether or not that output or report is accepted.

## RFT Part 6 – Standard Contract Conditions

- 20.3 The Fees are fixed for the term of the Contract unless varied in accordance with the Contract.
- 20.4 The Contractor must make all foreign exchange transactions at arms length and at commercially competitive rates. Supporting documentation must be retained in accordance with Standard Conditions **Clause 15.1** (Accounts and Records) and may be audited by AusAID in accordance with Standard Conditions **Clause 17** (Reviews).
- 20.5 Where the Contractor is entitled to reimbursement for expenditure in a currency other than Australian dollars under this Contract, the Contractor must invoice AusAID for the equivalent Australian dollar amount as recorded by the Contractor in their general ledger converted at an exchange rate which is calculated in accordance with appropriate accounting standards.
- 20.6 No invoice for any period is to be submitted before the provision of any reports required by the Contract for the relevant period.
- 20.7 Subject to the Contract AusAID shall pay Reimbursable Costs within 30 days of receipt of a correctly-rendered invoice.
- 20.8 An invoice is correctly rendered if:
- (a) the invoice details all Services provided against the Fees and records the amount payable in respect of each category of Services described in the Contract;
  - (b) the invoice details the Contractor's monthly contribution toward Project Vehicles in accordance with **Clause 9.2**;
  - (c) the invoice is based upon the calculation of Fees referred to in **Schedule 2**; and
  - (d) a company director of the Contractor, or their delegate has certified that the invoice:
    - (i) has been correctly calculated;
    - (ii) that the Services included in it have been performed in accordance with this Contract; and
    - (iii) in the case of Reimbursable Costs that these costs have been paid.
- 20.9 If an invoice is found to have been rendered incorrectly, any underpayment or overpayment shall be recoverable by or from the Contractor, as the case may be and, without limiting recourse to other available remedies may be offset against any amount subsequently due from AusAID to the Contractor.
- 20.10 A payment by AusAID is not an admission of liability. In the event that AusAID makes a payment for the completion of a Payment Milestone or the procurement of Supplies or inputs that AusAID subsequently learns have not been completed to the quality or performance specifications required or provided as required, the payment shall be deemed an overpayment and recoverable from the Contractor. Without limiting recourse to other available remedies, the overpayment may be offset against any amount subsequently due to the Contractor.
- 20.11 AusAID need not pay an amount that is disputed in good faith by AusAID until the dispute is resolved.

- 20.12 AusAID need not pay any amount due to the Contractor until the Contractor delivers to AusAID a written statement which satisfies the requirements of section 127 of the *Industrial Relations Act 1996* (NSW) or the requirements of similar State or Territory legislation, in relation to the payment of employees or sub-contractors of the Contractor who were engaged in the performance of the Contract.
- 20.13 Except as otherwise specified in this Contract, the Fees are inclusive of all costs, expenses, disbursements, levies and taxes and the actual costs and expenses incurred by the Contractor in providing the Services.
- 20.14 If the Contractor does not have an Australian Business Number (ABN), AusAID, in accordance with the relevant provisions of the Pay as You Go (PAYG) legislation, shall be required to withhold a prescribed proportion of the amount payable to the Contractor under the Contract, unless the exceptions under Division 12 of the PAYG legislation apply.
- 20.15 AusAID will make all amounts payable to the Contractor under this Contract into a bank account nominated by the Contractor. The Contractor must provide AusAID with the necessary details as soon as possible following execution of this Contract. Requests to change bank account must be provided to AusAID with 45 days notice.

**21. GOODS AND SERVICES TAX**

- 21.1 Except as provided by this clause, all taxes, duties and charges imposed or levied in Australia in connection with the performance of this Contract shall be borne by the Contractor or its sub-contractor(s), as the case requires.
- 21.2 The amount shown against each item in **Schedule 2** is the ‘value’ of the ‘periodic supplies’ to be made under this Contract, as these terms are used in the *A New Tax System Act 1999* (Cth).
- 21.3 The amount payable under the Contract for each supply listed in **Schedule 2** is the value of that supply plus any GST payable by the Contractor under the GST legislation. Payment by AusAID to the Contractor of the GST shall be subject to the Contractor providing AusAID with a valid Tax Invoice issued in accordance with the relevant provisions of the GST legislation and regulations.
- 21.4 The total amount of GST payable by the Contractor, and for which the Contractor seeks payment from AusAID, in respect of any supply shall be shown as a separate item on the Tax Invoice.
- 21.5 AusAID shall not pay to the Contractor any amount referable to GST, except as provided in this clause.

**22. INTELLECTUAL PROPERTY RIGHTS**

- 22.1 Subject to **Clause 22.2**, the title to all Intellectual Property rights in or in relation to Contract Material shall vest upon its creation in AusAID. If required by AusAID, the Contractor must bring into existence, sign, execute or otherwise deal with any document which may be necessary to enable the vesting of such title or rights in AusAID.
- 22.2 **Clause 22.1** does not affect the ownership of Intellectual Property in any Prior Material incorporated into the Contract Material, but the Contractor grants to AusAID a permanent, irrevocable, royalty-free worldwide, non-exclusive licence to use, reproduce, adapt and otherwise exploit such Prior Material in conjunction with the Contract Material. The licence granted under this **Clause 22.2** includes the right of AusAID to sub-licence any of



its employees, agents or contractors to use, reproduce, adapt and otherwise exploit the Prior Material incorporated into the Contract Material for the purposes of performing functions, responsibilities, activities or services for, or on behalf of, AusAID.

22.3 The Contractor must ensure that the Contract Material is used, copied, supplied or reproduced only for the purposes of this Contract.

22.4 The Contractor must deliver all Contract Material to AusAID or to the Partner Government counterpart agency as may be directed in writing by AusAID.

**23. INTELLECTUAL PROPERTY RIGHTS INDEMNITY**

23.1 The Contractor must at all times indemnify AusAID, its employees and agents and the Partner Country ("**those indemnified**") from and against any Loss or liability whatsoever incurred by any of those indemnified or arising from any claim, demand, suit, action or proceeding by any person against any of those indemnified where such Loss or liability arose out of an infringement, or an alleged infringement, of the Intellectual Property rights of any person, which occurred by reason of the performance or use of the Services.

**24. MORAL RIGHTS**

24.1 The Contractor warrants or undertakes that the author of any Contract Material, other than Prior Material, has given or will give a written consent to the Specified Acts (whether occurring before or after the consent is given) which extends directly or indirectly for the benefit of AusAID in relation to such material used, reproduced, adapted and exploited in conjunction with the other Contract Material.

**25. CONFIDENTIALITY**

25.1 Subject to this clause, the Contractor must not, without the prior written approval of AusAID, make public or disclose to any person any AusAID Confidential Information. In giving written approval, AusAID may impose such terms and conditions as in AusAID's opinion are appropriate.

25.2 The Contractor must take all reasonable steps to ensure Contractor Personnel do not make public or disclose the AusAID Confidential Information and must promptly notify AusAID of any unauthorised possession, use or disclosure of AusAID Confidential Information.

25.3 The Contractor must ensure that any Contract Personnel who will have access to AusAID Confidential Information complete a written undertaking in the form set out at **Schedule 3**, relating to the non-disclosure of that information.

25.4 The Contractor may disclose AusAID Confidential Information:

- (a) to its legal advisers in order to obtain advice in relation to its rights under this Contract, but only to the extent necessary for that purpose;
- (b) to the extent required by law or by a lawful requirement of any government or governmental body, authority or agency having authority over the first-mentioned party; or
- (c) if required in connection with legal proceedings,

but in the case of (b) and/or (c) above, subject to the Contractor giving AusAID sufficient notice of any proposed disclosure to enable AusAID to seek a protective order or other remedy to prevent the disclosure.

- 25.5 The Contractor must not transfer AusAID Confidential Information outside Australia, except to the Partner Country, or allow parties outside Australia to have access to it, without the prior approval of AusAID.
- 25.6 The Contractor must use AusAID Confidential Information held, acquired or which the Contractor may have had access to in connection with this Contract only for the purposes of fulfilling its obligations under this Contract. Upon expiry or earlier termination of this Contract the Contractor must either destroy or deliver to AusAID all AusAID Confidential Information, as required by AusAID.
- 25.7 This clause shall survive expiration or termination of this Contract.

**26. PRIVACY**

- 26.1 This clause applies only where the Contractor deals with Personal Information when, and for the purpose of, providing the Services.
- 26.2 In this clause, the terms ‘agency’, ‘Information Privacy Principles’ (IPPs), and ‘National Privacy Principles’ (NPPs) have the same meaning as they have in section 6 of the *Privacy Act 1988*.
- 26.3 The Contractor acknowledges that it is a ‘contracted service provider’ within the meaning of section 6 of the *Privacy Act 1988* (the Privacy Act), and agrees in respect of the provision of the Services:
- (a) to use or disclose Personal Information obtained during the course of providing the Services, only for the purposes of this Contract;
  - (b) not to do any act or engage in any practice that would breach an IPP contained in section 14 of the Privacy Act, which if done or engaged in by an agency, would be a breach of that IPP;
  - (c) to carry out and discharge the obligations contained in the IPPs as if it were an agency under that Act;
  - (d) to notify individuals whose Personal Information the Contractor holds, that complaints about acts or practices of the Contractor may be investigated by the Privacy Commissioner who has power to award compensation against the Contractor in appropriate circumstances;
  - (e) not to use or disclose Personal Information or engage in an act or practice that would breach section 16F of the Privacy Act (direct marketing), a NPP (particularly NPPs 7 to 10) where that section or NPP is applicable to the Contractor, unless:
    - (i) in the case of section 16F – the use or disclosure is necessary, directly or indirectly, to discharge an obligation under this Contract; or
    - (ii) in the case of a NPP – where the activity or practice is engaged in for the purpose of discharging, directly or indirectly, an obligation under this Contract, and the activity or practice which is authorized by this Contract is inconsistent with the NPP;

- (f) to disclose in writing to any person who asks, the content of the provisions of this Contract (if any) that are inconsistent with a NPP binding a party to this Contract;
- (g) to immediately notify AusAID if the Contractor becomes aware of a breach or possible breach of any of the obligations contained in, or referred to in, this clause, whether by the Contractor or any sub-contractor;
- (h) not to transfer such information outside Australia, except to the Partner Country, or to allow parties outside Australia or the Partner Country to have access to it, without the prior approval of AusAID; and
- (i) to ensure that any employee of the Contractor who is required to deal with Personal Information for the purposes of this Contract is made aware of the obligations of the Contractor set out in this clause.

26.4 The Contractor agrees to ensure that any sub-contract entered into for the purpose of fulfilling its obligations under this Contract contains provisions to ensure that the sub-contractor has the same awareness and obligations as the Contractor has under this clause, including the requirement in relation to sub-contracts.

26.5 The Contractor agrees to indemnify AusAID in respect of any loss, liability or expense suffered or incurred by AusAID which arises directly or indirectly from a breach of any of the obligations of the Contractor under this clause, or a sub-contractor under the sub-contract provisions referred to in **Clause 26.4 above**.

26.6 This clause shall survive expiration or termination of this Contract.

## **27. AusAID USE OF CONTRACT INFORMATION**

27.1 AusAID may disclose matters relating to the Contract, including the Contract and the names of sub-contractors as specified in **Clause 11.1 (f) above**, except where such information may breach the *Privacy Act 1988*, to Commonwealth governmental departments and agencies, Commonwealth Ministers and Parliamentary Secretaries, and to the Commonwealth Parliament, including responding to requests for information from Parliamentary committees or inquiries.

27.2 This clause shall survive termination or expiration of the Contract.

## **28. PUBLICITY**

28.1 The Contractor must identify and implement appropriate opportunities for publicising the Project.

28.2 The Contractor must not make any press, media or other announcements or releases relating to this Contract and the Services without the prior approval of AusAID Public Affairs Group as to the form, content and manner of the announcement or release.

28.3 The Contractor must only use the Australian Government/AusAID logo (in-line version) to denote association with Australia, the Australian Government or AusAID in any publicity or other project related materials. The Contractor must comply with the “AusAID Logo Guidelines for Managing Contractors” at all times, including when advertising for sub-contractors or personnel. The Guidelines are available from the AusAID Business website.

28.4 The Contractor shall, if appropriate, erect a sign at each Project site that acknowledges the contributions of the Australian and Partner Governments. Such signs shall in all cases be

discussed and agreed between AusAID and the Partner Government. Signs should use the Australian Government/AusAID logo (in-line version). No independent project or program logos or emblems are to be used. Contractor signs may also be displayed, but not in greater number or prominence than acknowledgments to either the Australian or Partner Governments.

**29. WARRANTIES**

- 29.1 The Contractor represents and warrants that it has made its own assessment of all information made available to the Contractor in respect of the Services and sought appropriate professional advice concerning:
- (a) any information, statements or representations;
  - (b) the regulatory regime applicable to the delivery of the Services both in Australia and in the Partner Country;
  - (c) the assumptions, uncertainties and contingencies which may affect the future business of the Services; and
  - (d) the impact that a variation in future outcomes may have on any Services.
- 29.2 Subject to any law to the contrary, and to the maximum extent permitted by law, AusAID, its employees, agents and advisers each disclaim all liability for any Losses (whether foreseeable or not) suffered by any other person acting on any part of the information made available to the Contractor in respect of the Service, whether or not the loss arises in connection with any negligence, default or lack of care on the part of AusAID, its employees, agents or advisers or any other person or any misrepresentation or any other cause.
- 29.3 The Contractor warrants that it shall have full corporate power and authority to enter into, perform and observe its obligations under this Contract and that the execution, delivery and performance of this Contract shall be duly and validly authorised by all necessary corporate action.

**30. PERSONNEL SECURITY**

- 30.1 The Contractor is responsible for the security of Contractor Personnel and for taking out and maintaining appropriate insurances in respect of Contractor Personnel.
- 30.2 The Contractor is responsible for the immediate development and implementation of a Security Plan to ensure the safety and security of Contractor Personnel. The Security Plan should incorporate prevention strategies and response plans, including evacuation plans where appropriate. The Contractor shall submit a copy of the Security Plan to AusAID prior to mobilisation in the Partner Country. The Contractor shall review and update the Security Plan whenever considered necessary by the Contractor and shall submit the revised document to AusAID.
- 30.3 The Contractor must keep abreast of the security situation in the Partner Country including where relevant having regard to travel advisories and notices including those issued by the Australian Department of Foreign Affairs and Trade. The Australian advisories and notices are available at: [www.dfat.gov.au](http://www.dfat.gov.au)
- 30.4 The Contractor acknowledges and confirms that, notwithstanding any other provisions of the Contract:

- (a) it is not the function or responsibility of AusAID or any person acting or purporting to act on behalf of AusAID, to comment on or approve the Contractor's Security Plans; and
- (b) the Contractor has not entered the Contract based on any representation, statement or assurance by AusAID or any person acting or purporting to act on behalf of AusAID, in respect of the safety or security of the Contractor, Contractor Personnel or any person acting on behalf of the Contractor, in the Partner Country or in any other location.

**31. TERMINATION FOR CONTRACTOR DEFAULT**

31.1 In addition to any other rights or remedies it has at law or in equity or under this Contract, AusAID may, by notice in writing to the Contractor terminate this Contract, with effect from the date in the notice, if the Contractor:

- (a) commits a breach of this Contract and:
  - (i) that breach is not capable of remedy;
  - (ii) fails to remedy that breach within **[10]** Business Days (or such further time as AusAID may, in its absolute discretion, specify), after receiving a notice from AusAID requiring the Contractor to remedy the breach; or
  - (iii) does not commence to remedy that breach, within a reasonable time (having regard to the nature of the breach) after being given notice by AusAID requiring the Contractor to remedy the breach;
- (b) becomes, or in AusAID's reasonable opinion is likely to become, bankrupt, insolvent or otherwise financially unable to fulfil its obligations under this Contract;
- (c) becomes subject to one of the forms of external administration provided for in Chapter 5 of the *Corporations Act 2001*;
- (d) is wound up by resolution or an order of the court;
- (e) ceases to carry on business;
- (f) makes an assignment of its estate for the benefit of creditors or enters into any arrangement or composition with its creditors or has a receiver and manager appointed on behalf of debenture holders or creditors;
- (g) suffers any execution against its assets having, or which in AusAID's reasonable opinion is likely to have, an adverse effect on its ability to perform this Contract;
- (h) ceases to hold any licence, qualification, approval, authority or consent required for the Contractor to comply with its obligations under this Contract (except to the extent that this is outside the Contractor's reasonable control);
- (i) assigns its rights otherwise than in accordance with the requirements of this Contract;
- (j) suffers a change in Control which in AusAID's reasonable opinion may adversely affect the Contractor's ability to perform the Services under this Contract;

- (k) is in breach of the warranty, regarding listing on a World Bank List or Relevant List, given in the Tenderer Declaration submitted by the Contractor with its tender leading to this Contract;
- (l) is, during the term of this Contract, listed on a World Bank List or Relevant List;
- (m) is in breach of the warranty, regarding convictions or proceedings relating to an offence of, or relating to, bribery of a public official, given in the Tenderer Declaration submitted by the Contractor with its tender leading to this Contract;
- (n) is in breach of the warranty, regarding unsettled judicial decisions relating to employee entitlements, given in the Tenderer Declaration submitted by the Contractor with its tender leading to this Contract;
- (o) is, during the term of this Contract, convicted of an offence of, or relating to, bribery of a public official. In this Clause 31.1(o) the Contractor includes Contractor Personnel; or
- (p) is in breach of the warranty, regarding convictions or proceedings relating to an offence of, or relating to, child abuse, given in the Tenderer Declaration submitted by the Contractor with its tender leading to this Contract.

**31.2 If this Contract is terminated under this Clause 31:**

- (a) subject to this Contract, the parties are relieved from future performance of this Contract, without prejudice to any right of action that has accrued at the date of termination;
- (b) subject to this Contract, all licences and authorisations granted to the Contractor by AusAID under this Contract terminate immediately despite anything to the contrary contained in the relevant licence or authorisation;
- (c) the AusAID Confidential Information, Supplies and any other property supplied or given to the Contractor by AusAID pursuant to this Contract must be immediately returned to AusAID;
- (d) AusAID is not obliged to make any further payments (including the payment of Fees) to the Contractor. However, AusAID may, in its absolute discretion, consider making a payment to the Contractor in such amount and upon such terms as AusAID determines is appropriate in the circumstances; and
- (e) the Contractor will indemnify and hold AusAID harmless against any Losses, costs and expenses arising out of or in connection with the termination or any breach of this Contract by the Contractor (including those arising from affected sub-contracts).

**32. TERMINATION FOR CONVENIENCE**

32.1 In addition to any other rights or remedies it has at law or in equity or under this Contract, AusAID may, by notice in writing to the Contractor, terminate or reduce the scope of this Contract from the time specified in the notice (and without the need to give further notice) and, in that event, AusAID may give to the Contractor such directions as it thinks fit in relation to subsequent performance of this Contract.

32.2 Where notice is given under **Clause 32.1** the Contractor must:

- (a) comply with all directions given by AusAID;
- (b) cease or reduce (as applicable) the performance of work under the Contract; and
- (c) immediately do everything possible to mitigate its Losses, and all other loss, costs (including the costs of its compliance with any directions) and expenses in connection with the termination (including those arising from affected sub-contracts) arising in consequence of termination of this Contract under this **Clause 32**.

32.3 In the event of termination or reduction in scope under this **Clause 32**, subject to **Clause 32.4**, AusAID will only be liable to the Contractor for:

- (a) Fees, as payable under **Schedule 2**, for Services performed prior to the termination, on a pro rata basis; and
- (b) Costs that are:
  - (i) directly attributable to the termination or reduction in scope of this Contract; and
  - (ii) in AusAID's opinion, reasonably and properly incurred by the Contractor in connection with the Contract,

to the extent that such Costs are substantiated to AusAID.

32.4 AusAID is not liable for any loss of profits or any other form of expectation loss arising out of, or in connection with, the termination or reduction in scope of this Contract under this **Clause 32**.

### 33. **INDEMNITY**

33.1 The Contractor must at all times indemnify AusAID, its employees, agents and contractors (except the Contractor) ("**those indemnified**") from and against any Loss or liability whatsoever suffered by those indemnified or arising from any claim, suit, demand, action or proceeding by any person against any of those indemnified where such Loss or liability was caused or contributed to in any way by any wilfully wrongful, unlawful or negligent act or omission of the Contractor, or any Contractor Personnel in connection with this Contract.

33.2 The Contractor agrees that AusAID may enforce the indemnity in favour of the persons specified in **Clause 33.1 above** for the benefit of each of such persons in the name of AusAID or of such persons.

33.3 The indemnity in this clause is reduced to the extent that the Loss or liability is directly caused by AusAID, its employees or contractors (except the Contractor), as substantiated by the Contractor.

33.4 The Contractor is responsible for all risks associated with the Data, the Supplies and any AusAID property while in the possession or control of the Contractor.

33.5 This indemnity shall survive termination or expiration of this Contract.

### 34. **INSURANCE**

34.1 The Contractor must arrange and maintain for the duration of the Contract unless otherwise specified:

## RFT Part 6 – Standard Contract Conditions

- (a) public liability insurance with a limit of at least \$5 million for each and every claim which covers:
  - (i) loss of, or damage to, or loss of use of any real or personal property; or
  - (ii) personal injury to, illness (including mental illness) or death of any person arising from the performance of the Contract;
- (b) motor vehicle third party property damage insurance;
- (c) workers' compensation insurance:
  - (i) which fully insures the Contractor for any amount it becomes liable to pay under any statute relating to workers' or accident compensation or for employer's liability at common law;
  - (ii) to be effected in the Partner Country as well as every state or territory in Australia where the Contractor Personnel normally reside or in which their contract of employment was made; and
  - (iii) which, where possible at law extends to indemnify AusAID as principal for AusAID's liability to persons engaged by the Contractor.

Where there is no workers compensation legislation in force in the Partner Country the Contractor should arrange adequate personal accident and illness insurance (accidental death and weekly benefits) for any Contractor Personnel not otherwise covered for the duration of the Contract;

- (d) property insurance covering any Contract Material, Supplies and the reinstatement of any Data while in the care, custody or control of the Contractor for its full replacement value;
- (e) professional indemnity insurance to cover the Contractor's obligations under this Contract. The Contractor's professional indemnity policy must respond to claims arising under the *Trade Practices Act (Cth)* 1974, in regard to this Contract. The Contractor may obtain the insurance on an annual basis if necessary, but must maintain the necessary insurance each year until the expiration of three (3) years after the full Term of the Contract or earlier termination of the Contract;
- (f) adequate medical and dental insurance for Contractor Personnel who are engaged to operate outside their country of permanent residence; and
- (g) adequate insurance for medical evacuation and evacuation resulting from an insured event for all Contractor Personnel.

34.2 The Contractor must, within 14 days after a request by AusAID, provide for any insurance policy: a certificate of currency, a list of exclusions; and the amount of excess payable.

34.3 Neither the effecting of insurance nor any failure to effect such insurance shall in any way limit, reduce or otherwise affect any of the obligations, responsibilities and liabilities of the Contractor under the other provisions of this Contract or at law.

34.4 In the event of an insurance claim any deductible/excess payable shall be the responsibility of the Contractor.



35. **CONFLICT OF INTEREST**

**Conflict of Interest**

- 35.1 The Contractor warrants that, at the date of signing this Contract, no conflict of interest exists or is likely to arise in the performance of its obligations under this Contract.
- 35.2 The Contractor must use best endeavours to ensure that a situation does not arise which may result in a conflict of interest. The Contractor must not engage in any activity, subject to **Clause 35.3 below**, that may result in a conflict of interest arising or continuing.
- 35.3 Where a conflict of interest arises in the performance of the Contractor's obligations under this Contract, the Contractor must notify AusAID immediately, and may request permission from AusAID to undertake the work despite that conflict of interest.

**Anti-Corruption**

- 35.4 The Contractor warrants that the Contractor shall not make or cause to be made, nor shall the Contractor receive or seek to receive, any offer, gift or payment, consideration or benefit of any kind, which would or could be construed as an illegal or corrupt act, either directly or indirectly to any party, as an inducement or reward in relation to the execution of this Contract. In addition, the Contractor shall not bribe public officials and shall ensure that all Contractor Personnel comply with this provision. Any breach of this clause shall be grounds for immediate termination of this Contract under Standard Conditions **Clause 31** (Termination for Contractor Default) by notice from AusAID.

36. **FRAUD**

- 36.1 For the purpose of this clause, 'fraudulent activity' or 'fraud' means: Dishonestly obtaining a benefit by deception or other means.
- 36.2 The Contractor and its sub-contractors must not engage in any fraudulent activity.
- 36.3 The Contractor must prepare a fraud risk assessment and zero tolerance fraud control strategy. These must contain appropriate fraud prevention, detection, investigation and reporting processes and procedures that comply with the *Commonwealth Fraud Control Guidelines*.
- 36.4 The Contractor is responsible for preventing and detecting fraud including fraud within those functions outsourced / performed by a sub-contractor or under any other arrangement established by the Contractor relating to the management or administration of AusAID provided funds. The Contractor is responsible for ensuring that its staff and its subcontractors' staff are responsible and accountable to the Contractor for preventing and reporting any fraud or suspected fraud as part of their routine responsibilities.
- 36.5 The Contractor must report in writing within 5 working days to AusAID any detected, suspected, or attempted fraudulent activity involving AusAID provided funds. Where a matter is reported in writing to AusAID by a Contractor, the advice must provide where known:
- (a) the name of the Project under which AusAID funding is being provided;
  - (b) name of any personnel or subcontractors involved;
  - (c) the allegation(s), including a chronological account of the facts giving rise to the allegation(s);

- (d) the names of the suspected offender(s) (where known);
- (e) details of witnesses;
- (f) copies of relevant documents;
- (g) references to any relevant legislation;
- (h) a nominated contact officer;
- (i) any other relevant information (eg, political sensitivities, any other party or agency that has been informed, involved or that can assist with investigations); and
- (j) the current status of any inquiries commenced by the Contractor.

- 36.6 The Contractor must, in consultation with AusAID, develop and implement a strategy to investigate the detected, suspected or attempted fraud based on the principles set out in the *Australian Government Investigations Standards* which are available from AusAID when a demonstrated need to distribute them exists. The Contractor will be responsible for the conduct of the investigation. Any investigator appointed by the Contractor should possess the minimum qualifications specified in the *Commonwealth Fraud Control Guidelines*. Before engaging a qualified investigator, the Contractor may consult with AusAID regarding the appointment and may request assistance from AusAID in meeting the actual costs of a qualified investigator. Provided that the Contractor has consulted with AusAID before engaging an investigator, AusAID may in its absolute discretion agree to meet some or all of those costs.
- 36.7 AusAID reserves the right to appoint its own investigator, conduct the investigation, conduct a concurrent investigation or refer the allegations to the appropriate law enforcement agencies or any other person or entity AusAID deems appropriate in Australia or in the partner countries for investigation. In this instance the Contractor shall provide all assistance that may be required at the Contractor's sole expense.
- 36.8 Following the conclusion of an investigation, where the investigation finds the Contractor, an employee of the Contractor or a subcontractor of the Contractor has acted in a fraudulent manner, the Contractor shall:
- (a) where money has been misappropriated, pay to AusAID or the project the full value of the AusAID funds that have been misappropriated; or
  - (b) where an item of property has been misappropriated, either return the item to AusAID or the project or if the item cannot be recovered or has been damaged so that it is no longer usable, replace the item with one of equal quality.
- 36.9 Following the conclusion of an investigation, where the investigation finds that a party other than the Contractor, an employee of the Contractor or a subcontractor of the Contractor, has acted in a fraudulent manner, the Contractor shall at the Contractor's cost:
- (a) make every effort to recover any AusAID funds or funded property acquired or distributed through fraudulent activity, including without limitation, one or both of the following:
    - (i) taking recovery action in accordance with recovery procedures, including civil litigation, available in the Partner Country. Before commencing any recovery action, the Contractor may consult with AusAID regarding the proposed course of action and may request assistance from AusAID in meeting the costs of the recovery action. Provided that the Contractor has

consulted with AusAID before commencing recovery action, AusAID may in its absolute discretion agree to meet some or all of those costs.

- (ii) referring the matter to the relevant Partner Country police or other authorities responsible for prosecution of fraudulent activity.
  - (b) keep AusAID informed, in writing, on a monthly basis, of the progress of the recovery action.
- 36.10 If the Contractor considers that after all reasonable action has been taken to recover the funds or funded property and full recovery has not been achieved or recovery has only been achieved in part, the Contractor may seek approval from AusAID that no further recovery action be taken. The Contractor must provide to AusAID all information, records and documents required by AusAID to enable the AusAID delegate to make a decision on whether to approve non-recovery of funds or funded property.
- 36.11 In the event that any investigation finds that the contractor, an employee of the Contractor or a subcontractor of the Contractor has been involved in any fraudulent activity, or in the event that AusAID discovers that a suspected, attempted or detected fraud has not been reported to AusAID, AusAID, at AusAID's sole discretion, reserves the right to:
- (a) Terminate the Contract with the Contractor, in which event, AusAID shall not be liable to the Contractor for any claim, demand, proceeding suit or action by the Contractor, and the Contractor shall indemnify, defend and hold harmless AusAID from any claim, demand, proceeding suit or action from any party or individual resulting from such termination; and / or.
  - (b) Not enter into any further agreement with the Contractor until such time as AusAID is satisfied that any recommended changes to the Contractor's management and procedures have been made in order to prevent any further fraudulent activity from occurring and to ensure timely reporting of suspected, attempted or detected fraud to AusAID.
- 36.12 **Clauses 36.6, 36.7, 36.8, 36.9 and 36.10**, shall survive expiration of this Contract in relation to:
- a) any fraud detected by the Contractor before the date of expiry of this Contract but the Contractor had not commenced an investigation under **Clause 36.6** before that date,
  - b) any investigation commenced by the Contractor under **Clause 36.6**, but not completed, before the date of expiry of this Contract, and
  - c) any investigation commenced by AusAID under **Clause 36.7**, but not completed, before the date of expiry of this Contract.

**37. COMPLIANCE WITH LAWS, GUIDELINES AND POLICIES**

- 37.1 The Contractor must at all times have regard to and comply with, and use its best endeavours to ensure that all Contractor Personnel comply with, the laws in Australia, the Partner Country and applicable laws of other countries.
- 37.2 A list, as amended from time to time, of Australian laws that may apply to the delivery of developmental aid to foreign countries can be found on the AusAID website:  
<http://www.ausaid.gov.au/business/contracting.cfm>.

## RFT Part 6 – Standard Contract Conditions

This list is not exhaustive and is provided for information only. The provision of this list does not relieve the Contractor from complying with the obligations contained in **Clause 37.1**.

- 37.3 The Contractor and all Contractor Personnel must at all times have regard to and operate in accordance with relevant guidelines, as amended from time to time, and listed on the AusAID website: <http://www.ausaid.gov.au/business/contracting.cfm>.
- 37.4 The Contractor must notify AusAID of any material breach by the Contractor or Contractor Personnel of a law or guideline referred to in this **Clause 37**.
- 37.5 On becoming aware of a material breach by the Contractor or Contractor Personnel of a law or guideline, whether or not such a breach is notified to AusAID by the Contractor, AusAID may, in addition to any other rights available to AusAID, terminate this Contract under **Clause 31**.
- 37.6 The Contractor must in carrying out its obligations under this Contract comply with those laws in relation to organisations and individuals associated with terrorism, including 'terrorist organisations' as defined in Division 102 of the *Criminal Code Act 1995 (Cth)* and listed in regulations made under that Act and regulations made under the *Charter of the UN Act 1945 (Cth)*. The Contractor must ensure that funds provided under this Contract do not provide direct or indirect support or resources to organisations and individuals associated with terrorism. If, during the course of this Contract, the Contractor discovers any link whatsoever with any organisation or individual associated with terrorism it must inform AusAID immediately.
- 37.7 The Contractor must when providing any Services and procuring the Supplies have regard to and operate in accordance with Australian policies on developmental aid to foreign countries including:
- (a) the policy Gender Equality in Australia's Aid Program – Why and How (March 2007);
  - (b) The strategy 'Development for All: Towards a Disability-Inclusive Australian Aid Program 2009-2014', and in particular the strategy's six guiding principles, located at [http://www.ausaid.gov.au/publications/pdf/FINAL%20AusAID\\_Disability%20for%20All.pdf](http://www.ausaid.gov.au/publications/pdf/FINAL%20AusAID_Disability%20for%20All.pdf);
  - (c) Child protection, in particular the child protection compliance standards at Attachment 1 to AusAID's *Child protection policy*;
  - (d) Environment. AusAID is bound by the Commonwealth's *Environment Protection and Biodiversity Conservation Act 1999*, which applies to all aid activities. The Contractor must:
    - 1. ensure that environmental requirements specified in the Scope of Services are implemented, monitored and reported;
    - 2. comply with AusAID's Environmental Management System outlined in the *Environmental Management Guide for Australia's Aid Program*, including:
      - a. assess and manage all actual or potential environmental impacts, both direct and indirect, to avoid or mitigate negative impacts and promote positive impacts;

- b. report regularly on any such impacts as required by the Scope of Services; and
- 3. comply with all relevant environmental laws and regulations of the Partner Country.

The *Environmental Management Guide for Australia's Aid Program* can be found at: <http://www.aisaid.gov.au/keyaid/envt.cfm>.

**38. INVESTIGATION BY THE OMBUDSMAN**

- 38.1 In carrying out the Services, the Contractor, and an employee or subcontractor of the Contractor, may be a "Commonwealth service provider" under section 3BA of the Ombudsman Act 1976.
- 38.2 The Contractor must use its best endeavours, and must ensure that employees and subcontractors of the Contractor use their best endeavours, in undertaking the Services, not to engage in conduct that:
  - (a) would, if the Contractor or an employee or subcontractor were an officer of AusAID, amount to a breach of duty or to misconduct; or
  - (b) should be brought to the attention of the principal officer of AusAID.
- 38.3 If the Commonwealth Ombudsman commences an investigation of conduct of the Contractor, as a Commonwealth service provider, the Contractor, at the cost of the Contractor, must cooperate with the investigator including:
  - (a) providing all documentation required by the investigator,
  - (b) making Contractor Personnel available to assist the investigator and
  - (c) allowing the investigator, at any reasonable time of the day, to enter a place occupied by the Contractor and carry on the investigation at that place.

- 38.4 If the Ombudsman brings evidence to the notice of AusAID concerning the conduct of the Contractor, or of an employee or subcontractor of the Contractor, the Contractor must, at the cost of the Contractor, take whatever remedial action is required by AusAID or by the Ombudsman to rectify the situation.
- 38.5 The Contractor must ensure that any subcontract entered into for the purpose of fulfilling its obligations under this Contract contains provisions to ensure that the subcontractor has the same awareness and obligations as the Contractor has under this clause, including this requirement in relation to subcontracts.
- 38.6 The Contractor agrees to indemnify AusAID in respect of any loss, liability or expense suffered or incurred by AusAID, due to conduct of the Contractor or of an employee or subcontractor, which arise directly or indirectly, as a result of an investigation carried out by the Ombudsman.
- 38.7 This clause shall survive expiration or termination of this Contract.

**39. RESOLUTION OF DISPUTES**

- 39.1 The Parties undertake to use all reasonable efforts in good faith to resolve any disputes which arise between them in connection with this Contract. Subject to **Clause 20.11** (Payment) and unless otherwise agreed by the Parties, the Parties shall at all times during the dispute proceed to fulfil their obligations under this Contract.
- 39.2 A Party may give the other Party a notice of dispute ("**dispute notice**") in connection with this Contract. Following the giving of a dispute notice, the dispute must be referred to a senior officer of AusAID and a senior officer of the Contractor, who must use reasonable endeavours to resolve the dispute within 20 Business Days or such other period as is agreed by the Parties.
- 39.3 If the Parties have not been able to resolve the dispute in accordance with **Clause 39.2 above**, then the Parties may agree on a process for resolving the dispute through means other than litigation or arbitration, including by mediation or conciliation.
- 39.4 In the event that the dispute, controversy or claim has not been resolved within 50 Business Days (or such other period as agreed between the Parties in writing) after the dispute notice has been received in accordance with clause headed 'Notices' in Part B (Standard Contract Conditions) of this Contract, then either Party is entitled to treat the mediation process as terminated and may, if it wishes, commence legal proceedings.
- 39.5 Nothing in this clause prevents either Party from seeking urgent injunctive relief.

**40. NOTICES**

- 40.1 A notice required or permitted to be given by one Party to another under this Contract must be in writing and is treated as having been duly given and received:
- (a) when delivered (if left at that Party's address);
  - (b) on the third Business Day after posting (if sent by pre-paid mail); or
  - (c) on the Business Day of transmission (if given by facsimile and sent to the facsimile receiver number of that Party and no intimation having been received that the notice had not been received, whether that intimation comes from that Party or from the operation of facsimile machinery or otherwise).

**41. MISCELLANEOUS**

**41.1 Waiver**

The failure, delay, relaxation or indulgence on the part of any Party in exercising any power or right conferred upon that Party by this Contract does not operate as a waiver of that power or right, nor does any single exercise of any power or right preclude any other or further exercise of it or the exercise of any other power or right under this Contract.

**41.2 Liability of Party**

If any Party to this Contract consists of more than one person then the liability of those persons in all respects under this Contract is a joint liability of all those persons and a separate liability of each of those persons.

**41.3 Entire agreement**

This Contract constitutes the sole and entire agreement between the Parties and a warranty, representation, guarantee or other term or condition of any nature not contained or recorded in this Contract is of no force or effect.

**41.4 Severance**

If any provision of this Contract is invalid and not enforceable in accordance with its terms, other provisions that are self-sustaining and capable of separate enforcement with regard to the invalid provision, are and continue to be valid and enforceable in accordance with their terms.

**41.5 Assignment**

No Party may assign or transfer any of its rights or obligations under this Contract without the prior consent in writing of the other Party.

**41.6 Governing Law and Jurisdiction**

This Contract is governed by, and is to be construed in accordance with, the law of the Australian Capital Territory and the Parties submit to the exclusive jurisdiction of the courts of the Australian Capital Territory and any court hearing appeals from those courts.

**41.7 Contra Proferentem**

No rule of construction shall apply in the interpretation of this Contract to the disadvantage of one Party on the basis that such Party put forward or drafted this Contract or drafted any provision of this Contract.

**41.8 False and Misleading Information**

The Contractor acknowledges that it is aware that, in relation to section 137.1 of the *Commonwealth Criminal Code*, giving false or misleading information is a serious offence.

### **SCHEDULE 3 - DEED OF CONFIDENTIALITY**

**THIS DEED POLL** is made on the \_\_\_\_\_ day of \_\_\_\_\_ [    ]

in favour of **COMMONWEALTH OF AUSTRALIA** represented by the Australian Agency for International Development ("**AusAID**") which is part of the Department of Foreign Affairs and Trade.

**BY** [Insert name and address of Recipient] (the "**Recipient**").

#### **RECITALS**

- A. AusAID and **Contractor's Name** (the "**Contractor**") have entered into a Contract for the purpose of a project in **Country**.
- B. The Recipient has been engaged by the Contractor to work on the project.
- C. The Recipient will, in carrying out that work, be given access to Confidential Information.
- D. AusAID requires the Recipient to enter into this Deed in relation to use of Confidential Information.

#### **THE RECIPIENT DECLARES AS FOLLOWS:**

##### **1. INTERPRETATION**

In this Deed:

**"Confidential Information"** means information that:

- (a) is designated by AusAID as confidential; or
  - (b) the Recipient knows or ought to know is confidential,  
  
and includes to the extent that it is confidential:
  - (c) information comprised in or relating to any Intellectual Property of AusAID or third parties where the third party Intellectual Property is made available by or on behalf of AusAID;
  - (d) information relating to the internal management and structure of the Department of Foreign Affairs and Trade, AusAID or the Commonwealth of Australia;
  - (e) the Data; and
  - (f) personal information under the *Privacy Act 1988*;
- but does not include information which:



- (g) is or becomes public knowledge other than by breach of this Deed or other obligation of confidentiality; or
- (h) has been independently developed or acquired by the Recipient as established by written evidence.

"**Data**" includes any information provided to the Contractor under this Contract from any source, or collected or created by the Contractor in connection with the Services, whether in magnetic, electronic, hardcopy or any other form.

**2. NON DISCLOSURE**

- 2.1 The Recipient must not copy, reproduce or disclose any of the Confidential Information without the prior written consent of AusAID, which consent AusAID may grant or withhold in its absolute discretion.

**3. RESTRICTION ON USE**

- 3.1 The Recipient must use the Confidential Information only for the purpose of the Services.

**4. DELIVERY UP OF DOCUMENTS**

- 4.1 AusAID may, at any time and without notice, demand, either orally or in writing, the delivery to AusAID of all documents in the possession or control of the Recipient which contain the Confidential Information.

**5. SURVIVAL OF OBLIGATIONS**

- 5.1 The obligations in this Deed are perpetual.

**EXECUTED** as a deed poll.

**SIGNED**, by the Recipient in the presence ) .....  
of: ) Signature of

.....  
Signature of witness

.....  
Name of witness  
**(Print)**

**SCHEDULE 4 – DEED OF NOVATION AND SUBSTITUTION**

This **DEED OF NOVATION AND SUBSTITUTION** made the                      day of  
BETWEEN:

**COMMONWEALTH OF AUSTRALIA** represented by the Australian Agency for International  
Development of the Department of Foreign Affairs and Trade ("**AusAID**")

AND:

[                                              ABN                      of                      ] (the  
"**Subcontractor**") of the second part;

AND:

**Contractor's Name** ACN# of **Contractor's Address** (the "**Contractor**") of the third part.

**WHEREAS:**

- A. AusAID is concerned to ensure that the Services under the Contract are properly delivered.
- B. The Subcontractor is a subcontractor to the Contractor for the Services.
- C. The Subcontractor and Contractor have agreed with AusAID to novate the Subcontract to AusAID in the event that AusAID exercises its right under **Clause 31** and **32** of the Contract.
- D. The Subcontractor agrees that AusAID may novate the Subcontract to another Contractor at its sole and absolute discretion in the event that AusAID has exercised its right under **Clause 31** and **32** of the Contract.

**NOW THIS DEED WITNESSES AS FOLLOWS:**

1. **DEFINITIONS**

"**Business Day**" means a day on which trading banks are open for business in Canberra;

"**Commencement Date**" has the same meaning as in the Contract;

"**Contract**" means the Contract for the provision of Services between AusAID and the Contractor dated on or about [                      ];

"**Deed**" means this Deed of Novation;

"**Services**" means the services to be provided by the Contractor to AusAID under the Contract;

"**Party**" means AusAID, the Subcontractor or the Contractor;

"**Subcontract**" means the contract between the Contractor and the Subcontractor for the provision of the Subcontractor Services; and

"**Subcontractor Services**" means the services that the Subcontractor is obliged to provide to the Contractor under the Subcontract.

**2. APPLICATION OF DEED**

The Contractor and the Subcontractor agree that:

this Deed is entered into for the benefit of AusAID; and

AusAID may exercise the rights granted to it under this Deed.

This Deed commences on the Commencement Date of the Subcontract.

**3. NOVATION**

AusAID may issue a notice of substitution to the Subcontractor if AusAID is entitled to exercise its rights under **Clauses 31** or **32** of the Contract.

The Parties agree that on and from the date of issue of a notice of substitution:

AusAID is substituted for the Contractor under the Subcontract in respect of the Subcontractor Services as if AusAID was originally the Party to the Subcontract instead of the Contractor, and all references in the Subcontract to the Contractor are to be read and construed as if they were references to AusAID;

AusAID is to pay any amount due to the Subcontractor under the Subcontract to the Subcontractor and the receipt of the Subcontractor shall be full and sufficient discharge for any such payments;

subject to paragraph (a), AusAID is bound by, and must fulfil, comply with and observe all the provisions of the Subcontract and enjoys all the rights and benefits of the Contractor under the Subcontract; and

the performance by the Subcontractor of services under the Subcontract, is instead of, and not in addition to, any performance by the Contractor of its obligations under that Subcontract.

If AusAID exercises its rights of novation under this deed, AusAID may further novate the Subcontract by substituting a new contractor in place of the Contractor on the terms of this deed with appropriate alterations. In the event of such novation, the rights and obligations of the Subcontractor with respect to the Contractor shall become the rights and obligations of the Subcontractor with respect to the new contractor.

**4. RELEASE**

Except in relation to payment due from the Contractor to the Subcontractor under the Subcontract but unpaid on the date of issuing of the notice of substitution referred to in **Clause 3** of this Schedule, the Contractor releases and discharges AusAID from any and all claims, actions, proceedings, obligations and liabilities (whether based in negligence or any other form of legal liability) in respect of or in any way arising from the Subcontract prior to the date of the notice of substitution in respect of the Subcontractor Services.

**5. FURTHER ASSURANCES**

Each Party must take such steps, execute all such documents, and do all such acts and things as may be reasonably required by the other Party to give effect to any of the transactions contemplated by this Deed.

**6. DISCHARGE**

Neither the Subcontractor nor the Contractor are discharged or released or excused from this Deed by an arrangement made between the Contractor and the Subcontractor prior to the issue of a notice of substitution with, by any change to the Subcontract, or by any forbearance whether as to payment, time or otherwise.

The Contractor undertakes to notify AusAID of any alterations to the Subcontract or other matter referred to in **Clause 3** of this Schedule. A failure of the Contractor to notify AusAID under this clause does not alter the Subcontractor's obligations under this Deed.

This Deed by the Subcontractor for AusAID to assume the obligations of the Contractor is discharged in relation to the Subcontract only on completion by the Subcontractor of all its obligations under the Subcontract in respect of the Subcontractor Services, or, in the event of the issue of a notice of substitution, on the due and proper performance of the Subcontract by the Subcontractor.

The obligations of AusAID under this Deed in its application to the Subcontract must not exceed the obligations of the Contractor under the Subcontract.

**7. NOTICES**

A notice required or permitted to be given by one Party to another under this Deed must be in writing and is treated as being duly given and received:

when delivered (if left at that Party's address);

on the third Business Day after posting (if sent by pre-paid mail); or

on the Business Day of transmission (if given by facsimile and sent to the facsimile receiver number of that Party and the facsimile machine provides an affirmation of a successful transmission).

**Address of Party**

For the purposes of this clause, the address of a Party is the address set out below or another address of which that Party may from time to time give notice to each other Party:

**AusAID**

To: **Desk Name**

Attention: Country Program Manager

Address: Australian Agency for International Development  
GPO Box 887  
CANBERRA ACT 2601

Facsimile: **Desk Fax**

**Contractor**

To: **Contractor's Name**

Attention:

Address: **Contractor's Address**

Facsimile: **Contractor's Fax**

**Subcontractor**

To:

Attention:

Address:

Facsimile:

**8. LAWS**

This Deed is subject to and construed in accordance with the laws in force in the Australian Capital Territory.

**9. WARRANTY**

The Subcontractor and the Contractor each warrant and represent to AusAID that at all times:

the execution and delivery of this Deed has been properly authorised by all necessary corporate action of the Subcontractor and the Contractor respectively;

the Subcontractor and the Contractor respectively each has full corporate power and lawful authority to execute and deliver this Deed and to consummate and perform or caused to be performed its obligations under this Deed;

this Deed constitutes a legal, valid and binding obligation of the Subcontractor and the Contractor respectively, enforceable in accordance with its terms by appropriate legal remedy; and

to the best of each of the Subcontractor's or the Contractor's knowledge, there are no actions, claims, proceedings or investigations pending or threatened against or by the Subcontractor or the Contractor respectively that may have a material effect on the ability of the Subcontractor or the Contractor respectively to perform its obligations under this Deed.

**10. GENERAL**

**Counterparts**

This Deed may be executed up to three (3) counterparts and all of those counterparts taken together constitute one and the same instrument.

**Attorneys**

Where this Deed is executed on behalf of a Party by an attorney, that attorney by executing declares that the attorney has no notice of the revocation of the power of attorney under the authority of which the attorney executes this Deed on behalf of that Party.

**Further Assurance**

Each Party must do, sign, execute and deliver and must procure that each of its employees and agents does, signs, executes and delivers all deeds, documents, instruments and acts reasonably required of it or them by notice from another Party effectively to carry out and give full effect to this Deed and the rights and obligations of the Party under it.

**Assignment**

No Party may assign or transfer any of its rights or obligations under this Deed without the prior consent in writing of the other Parties. AusAID may withhold its consent in its absolute discretion.

**EXECUTED** as a Deed.

|                                          |   |           |
|------------------------------------------|---|-----------|
| <b>SIGNED</b> , for and on behalf of the | ) |           |
| <b>COMMONWEALTH OF</b>                   | ) |           |
| <b>AUSTRALIA</b> in the presence of:     | ) | .....     |
|                                          | ) | Signature |

.....  
Signature of witness

.....  
Name of witness  
*(Print)*

|                                    |   |                            |
|------------------------------------|---|----------------------------|
| <b>SIGNED</b> for and on behalf of | ) |                            |
| <b>[Subcontractor]</b> by:         | ) |                            |
|                                    | ) |                            |
| .....                              |   | .....                      |
| Director                           |   | Director/Secretary         |
| Name of Director                   |   | Name of Director/Secretary |
| <i>(Print)</i>                     |   | <i>(Print)</i>             |

|                                    |   |                            |
|------------------------------------|---|----------------------------|
| <b>SIGNED</b> for and on behalf of | ) |                            |
| <b>[Contractor]</b> by:            | ) |                            |
|                                    | ) |                            |
| .....                              |   | .....                      |
| Director                           |   | Director/Secretary         |
| Name of Director                   |   | Name of Director/Secretary |
| <i>(Print)</i>                     |   | <i>(Print)</i>             |

## SCHEDULE 5 - UNCONDITIONAL FINANCIAL UNDERTAKING

THIS DEED POLL made

20

BY:

[ ]

(the “**Guarantor**”)

### FOR THE BENEFIT OF:

**COMMONWEALTH OF AUSTRALIA** (represented by the Australian Agency for International Development of the Department of Foreign Affairs and Trade (“**AusAID**”).

### RECITALS:

- E. AusAID and **[to be inserted]** (hereinafter called the “**Contractor**”) have agreed to enter into a contract for the provision of services in [ ] (“the Contract”).
- F. The Contractor has agreed to provide to AusAID prior to execution of the Contract a performance security in respect of the services to be performed by the Contractor in accordance with the executed Contract.
- G. The Contractor has agreed that the performance security shall be in the form of an unconditional and irrevocable financial undertaking of [to be inserted] for the period of [to be inserted] (“the Undertaking”).
- H. The Guarantor has signed this Deed Poll at the request of the Contractor and in consideration of AusAID accepting the Undertaking.
- I. AusAID shall enter into the Contract with the Contractor on condition that the Contractor provides the Undertaking and the Guarantor signs this Deed Poll.

### THE GUARANTOR DECLARES as follows:

- 1. The Guarantor unconditionally undertakes and covenants to pay to AusAID on demand without reference to the Contractor and notwithstanding any notice given by the Contractor to the Guarantor not to pay same, any sum or sums which may from time to time be demanded in writing by AusAID to a maximum aggregate sum of [ ].
- 2. The Guarantor’s liability under this Undertaking shall be a continuing liability and shall continue until payment is made under this Undertaking of the said maximum aggregate sum or AusAID notifies the Guarantor that this Undertaking is no longer required.
- 3. This Undertaking shall be governed by and construed in accordance with the laws for the time being in force in the Australian Capital Territory.
- 4. The Guarantor may at any time pay to AusAID the maximum aggregate sum or such lesser sum remaining after any part payment or payments, which payment shall discharge this Undertaking.

**EXECUTED** as a deed poll.

SIGNED, for and on behalf **of the**  
GUARANTOR, **by:**

Signature of Director

Signature of Director/Secretary

Name of Director  
**(Print)**

Name of Director/Secretary  
**(Print)**

OR

SIGNED, for and on behalf of **the**  
GUARANTOR **under power of attorney in**  
**the presence of:**

Signature of attorney

Signature of witness

Name of attorney  
**(Print)**

Name of witness  
**(Print)**

Date of power of attorney



## RECITALS

- ## AGREEMENT

- 180

**RFT Part 6 – Standard Contract Conditions**  
**Schedules 3 to 7**

notwithstanding that the Contractor has been dissolved or is subject to external administration procedures under chapter 5 of the *Corporations Law* or any other law.

7. The guarantee in this Deed is a continuing guarantee to AusAID until the obligations and liabilities of the Contractor under the Contract have in all respects been performed, observed and discharged.
8. The following notice arrangements apply:
  - (a) notice or other communication which may be given to or served on the Guarantor under this Deed shall be deemed to have been duly given or served if it is in writing, signed on behalf of AusAID and is either delivered by hand, posted or faxed or a copy transmitted electronically to the Guarantor or its agent at any registered office of the Guarantor or posted to the Guarantor's address set out above or such other address as is notified in writing to AusAID from time to time;
  - (b) a notice or other communication which may be given to or served on AusAID under this Deed shall be deemed to have been duly given or served if it is in writing, signed by or on behalf of the Guarantor and is either delivered by hand, posted or faxed or a copy transmitted electronically to AusAID at the address set out above or such other address as is notified in writing to the Guarantor from time to time;
  - (c) a notice sent by post shall be deemed to have been given at the time when, in due course of transmission, it would have been delivered at the address to which it is sent; and
  - (d) a notice sent by facsimile transmission or transmitted electronically shall be deemed to have been given when the machine on which the notice is sent reports that the notice has been transmitted satisfactorily.

**RFT Part 6 – Standard Contract Conditions**  
**Schedules 3 to 7**

**SIGNED**, for and on behalf of the )  
**COMMONWEALTH OF** )  
**AUSTRALIA** by: )

In the presence of: .....  
..... Name and Position  
Signature of witness **(Print)**

.....  
Name of witness (print)

**SIGNED**, for and on behalf of )  
**[Guarantor]** by: )  
 )

.....  
Director Director/Secretary  
Name Name  
**(Print)** **(Print)**

**RFT Part 6 – Standard Contract Conditions**  
**Schedules 3 to 7**

*Please attach the appropriate EFT Direct Credit Payment Form here*

**Domestic:**

<http://newintranet.ausaid.gov.au/sites/contracttemplates/documents/1/AUTHORITY%20FOR%20EFT%20DIRECT%20CREDIT%20PAYMENT%20-%20DOMESTIC.dot>

**International:**

<http://newintranet.ausaid.gov.au/sites/contracttemplates/documents/1/AUTHORITY%20FOR%20EFT%20DIRECT%20CREDIT%20PAYMENT%20INTERNATIONAL.dot>